

2025 ANNUAL REPORTING TO THE STATE OF CONNECTICUT

The documentation included in the 2025 Annual Reporting to the State of Connecticut for The University of Connecticut Foundation, Incorporated is submitted in accordance with Connecticut General Statute Section 4-37f.

December 2025

Submitted by
Amy Yancey
President and CEO
UConn Foundation

UConn
FOUNDATION

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Introduction by UConn Foundation President and CEO Amy Yancey

We're pleased to share that fiscal year 2025 was a year of meaningful impact for UConn and UConn Health — made possible through the steadfast support of our state leaders. Thanks to this support and partnership, the UConn Foundation raised \$144 million, including \$45 million dedicated to scholarships and fellowships, ensuring more students have the resources they need to thrive. Because of you, we can do more for our students and our state.

In accordance with Connecticut General Statute Section 4-37f, we are submitting the required documentation for The University of Connecticut Foundation, Incorporated for fiscal year 2025 (July 1, 2024–June 30, 2025). As outlined in the statute, this report has been provided to the executive authority of the University of Connecticut, the Office of the Attorney General (audit report only), standing committee of the General Assembly having cognizance of matters relating to higher education, the speaker of the House of Representatives, the president pro tempore of the Senate, legislative leadership in both chambers, the clerks of the House and Senate, the Office of Legislative Research, and the state librarian.

The statute also requires that the UConn Foundation make reasonable efforts to raise gifts and commitments for student support equal to no less than 15 percent of total funds raised each fiscal year. This year, 31 percent of all dollars raised directly supported students through scholarships, fellowships, awards, and prizes, exceeding the requirement and reflecting our strong commitment to student success.

With gratitude,

A handwritten signature in black ink that reads "Amy Yancey". The signature is fluid and cursive, with the first letters of "Amy" and "Yancey" being capitalized and prominent.

Amy Yancey
President and CEO, UConn Foundation

FY2025 Auditor's Opinion on Conformance of Operating Procedures



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REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

Board of Directors
The University of Connecticut Foundation, Incorporated

We have examined The University of Connecticut Foundation, Incorporated's (the "Foundation") compliance with Connecticut General Statute sections 4-37e to 4-37i ("specified requirements") during the period July 1, 2024 to June 30, 2025. The Foundation's management is responsible for the Foundation's compliance with the specified requirements. Our responsibility is to express an opinion on the Foundation's compliance with the specified requirements based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether the Foundation complied, in all material respects, with the specified requirements referenced above. An examination involves performing procedures to obtain evidence about whether the Foundation complied with the specified requirements. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we have obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

We are required to be independent and to meet our other ethical responsibilities in accordance with relevant ethical requirements relating to the engagement.

Our examination does not provide a legal determination on the Foundation's compliance with the specified requirements.

In our opinion, the Foundation complied, in all material respects, with the specified requirements during the period July 1, 2024 to June 30, 2025.

Grant Thornton LLP

Boston, Massachusetts
January 16, 2026

GT.COM

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Officers and Members of the UConn Foundation Board of Directors as of 10/25

Craig Ashmore '85 (ENG)
George Barrios '87 (CLAS), '89 MBA
Mark Beaudoin '82 (BUS)
Alan R. Bennett '69 (CLAS)
Lori A. Biancamano '01 (BUS)
Hon. Antonietta "Toni" Boucher '02 MBA
Christopher J. Chadbourne '88 (BUS)
Rodney S. Crevoiserat '87 MBA
Sue A. Collins '24 MA
Craig A. Douglas '77 (BUS)
Richard E. Eldh Jr. '81 (BUS)
John Fodor '85 (CLAS)
David H. Ford '88 (CLAS)
Jonathan L. Greenblatt '77 (CLAS)
Lorraine S. Hritcko
Kevin J. Kulak '85 (ENGR)
Dr. Robert W. Makuch '72 (CLAS)
Dr. Suresh K. Nair
Barbara I. Poremba '86 (CLAS)
Lindsay Schine '89 MD
Robert Sherman '79 (CLAS)
Paula R. Singer '76 (ED)
Michelle Swanston '03 (ENGR)
Mark Vergnano '80 (ENG)
Kathleen C. Walsh '77 (CLAS), '79 MA, '84 MBA
James F. Whalen Jr. '82 (BUS)

OFFICERS

Jonathan L. Greenblatt '77 (CLAS), Chair
Vice Chair (VACANT)
Amy Yancey, President and CEO, UConn Foundation
James F. Whalen Jr. '82 (BUS), Treasurer
Alan R. Bennett '69 (CLAS), Secretary
David L. Carney, CFA, Senior VP of Finance &
Administration and CFO, UConn Foundation
Senior Vice President for Advancement (VACANT)

EX-OFFICIO

Radenka Maric, President, UConn
S. Pamir Alpay, Interim Provost and EVP for Academic
Affairs, UConn
Dr. Andrew Agwunobi, Executive VP of Health Affairs
and CEO, UConn Health
Jeffrey Geoghegan, Executive VP for Finance and CFO,
UConn Health
David Benedict, Athletic Director
Andrea B. Dennis-LaVigne, DVM '03 (CCS), University
Board of Trustees
Amy Yancey, President and CEO, UConn Foundation
Christopher Bergen, Student Representative
Joe MacDougald, Faculty Representative



2025 UCONN FOUNDATION

ANNUAL REPORT

UConn | FOUNDATION

BECAUSE
OF UCONN

The Campaign
for UConn Nation



As 2025 comes to a close, I want to extend my heartfelt thanks for your unwavering support and reflect on a truly remarkable year for UConn and the UConn Foundation.

This year marked the public launch of our historic Because of UConn Campaign—a bold, \$1.5B effort to shape the future of our university. Thanks to your generosity, we've already raised more than \$800M, accelerating progress across all four pillars of the Campaign: Students First, Academic & Innovation Excellence, Health & Wellness of People & Planet, and Husky Pride.

In this report, you'll see the extraordinary impact of UConn Nation. This past year, 24,198 donors contributed \$144M in gifts and commitments. Your support is opening doors for over 8,600 first-generation students and helping ensure that financial need never stands in the way of a UConn education. Gifts like yours also supported emergency funding for students at risk of withdrawing from the University. Often, it is a small margin of funding that makes a difference in a student completing their degree. Because of you, more students are crossing that finish line.

Your generosity also fuels groundbreaking research and academic excellence across our campuses. At UConn Health, 1.4M patients receive exceptional care each year. And your Husky Pride continues to shine — this year, the UConn Women's Basketball team brought home their 12th title and UConn's 26th national championship across all sports. We saw a record-breaking 63,200 applications for Fall 2025 admission.

Our endowment grew to \$668M, powered by new gifts and a strong investment return, placing us among the top four-year universities and colleges in the country.

As we look ahead, we are energized by the momentum you've helped create. Together, we are building a future where UConn leads with purpose, impact, and pride. The world moves forward because of UConn, and all we do is possible because of YOU.

With heartfelt gratitude,

Amy Yancey

President & CEO, UConn Foundation

FISCAL YEAR HIGHLIGHTS 2025

More than halfway to our goal — and the momentum keeps building



Students First | \$34,326,181

In FY25, the Foundation awarded millions in scholarships — helping more than 4,200 students shape their futures at UConn.



Academic & Innovation Excellence | \$45,474,587

Donor support is helping UConn recruit and retain world-class educators and researchers, including 235 faculty who rank among the world's top 2% of scientists.



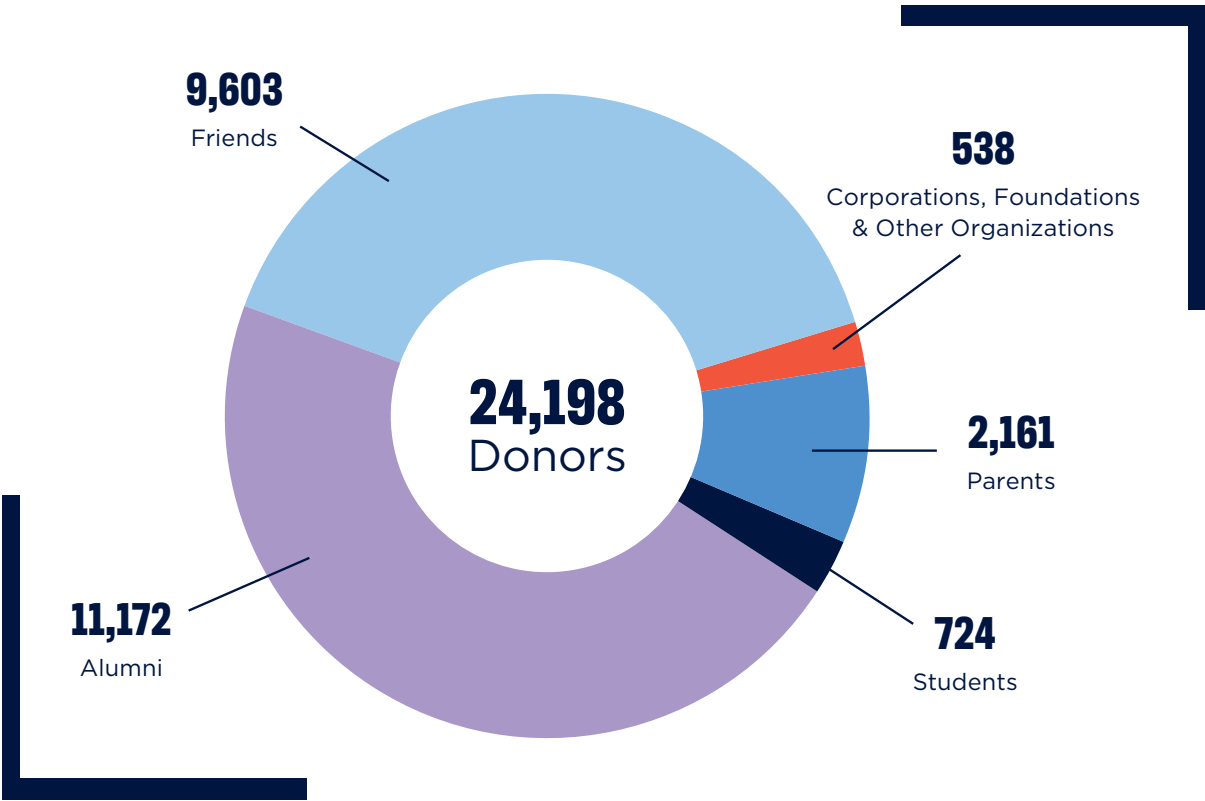
Health & Wellness of People & Planet | \$12,481,459

Transformative gifts are helping to train future leaders in specialized medicine, fueling research that will advance equity and improve medical outcomes for communities across Connecticut.



Husky Pride | \$51,999,811

Powered by passionate alumni, friends, and fans, UConn Nation is growing stronger every day, evidenced by a record-breaking 63,200 first-year applications and 26 National Championships.



CAMPAIGN & EVENT HIGHLIGHTS

The UConn Foundation publicly launched the Because of UConn campaign at a kickoff event on April 23, 2025 on the Avery Point campus, with UConn President Radenka Maric unveiling the most ambitious fundraising effort in the University's 144-year history.



The public launch of the Because of UConn campaign has accelerated fundraising for the University.



The Because of UConn campaign is a celebration of all of us. Whether you graduated five decades ago or are graduating five minutes from now, each one of our stories is a vital part of the UConn story. Tonight honors our impact, our journey, our legacy, and the generosity that keeps it thriving.

**UConn AND NBA LEGEND EMEKA OKAFOR '04 (BUS)
CAMPAIGN LAUNCH EVENT EMCEE**



Because of UConn is a powerful story. Each of you has a unique story — what UConn means for you, what it did for you, and what you are doing today for UConn.

PRESIDENT RADENKA MARIC



We're sitting here tonight because the people that you're sitting next to helped you become what you always thought you could become.

**12-TIME NCAA CHAMPION AND HALL OF FAME
UConn WOMEN'S BASKETBALL HEAD COACH, GENO AURIEMMA**



STUDENTS FIRST



The Because of UConn campaign launched with a core priority: putting students first. Donor generosity is easing financial burdens through scholarships and supporting student health, career readiness, and life skills. These investments help students thrive today, prepare them for lifelong success, and reduce barriers to graduation.

Scholarship support moves the University closer to our goal of raising our six-year graduation rate. While UConn's 84% graduation rate already exceeds the national average, reaching our goal of 90% means students graduate in fewer semesters and with less debt. It also elevates UConn's national standing as a leader in higher education — showing that when we put students first, we strengthen the entire University.

Impact Stats

36%

First-generation students in UConn's incoming Class of 2029

\$9,500

Average student unmet need per year

44%

Of students at risk of dropping out cite financial reasons for leaving

4,200+

Students receive donor-supported scholarships

\$55M+

Raised for scholarships in FY 25

Creating Pathways for Aspiring Nurses

Elisabeth DeLuca '69 (NUR) made the largest gift in UConn's history to the Elisabeth DeLuca School of Nursing, which was recently renamed in her honor. Her generosity will fund a new state-of-the-art facility in Storrs, which broke ground in the fall of 2024. DeLuca Hall will maximize space for student learning throughout its five floors and provide cutting-edge resources that foster innovation, such as a simulation lab suite. Additionally, DeLuca's commitment provides scholarships to increase programmatic support and reduce financial barriers for students. This investment will empower more students to follow their calling in healthcare, and help us successfully double the number of graduating nurses ready to deliver patient-centered care, playing a vital role in addressing the state and nation's nursing shortage.



The University recently broke ground on the new Elisabeth DeLuca School of Nursing building in fiscal year 2025. It is scheduled for completion in late 2026.

Empowering Future Leaders Through Scholarship Support

"Without scholarship support, I wouldn't be on the UConn campus," said Nicole Montalvo '28. She remembers the stress and uncertainty of trying to figure out if she could afford college. The moment she saw she had received the Elisabeth C. DeLuca Nursing Scholarship in her student portal, she and her family cried tears of joy.

Because of that award, she has thrived at UConn. During her nursing studies at UConn, she learned that many physical therapy patients struggle to do their exercises at home due to language barriers and other access issues. Montalvo and her peers decided to create Flexapy, a mobile application that helps physical therapy patients do their at-home exercises through gamification. "I mean, who wouldn't love playing a game?"

In addition to leading a startup, Montalvo has also mentored nearly 100 young women through Women in STEM (WiMSE).

"UConn has molded me into the leader I am today, someone who helps create a yellow brick road for the next generation of students like me."



Scholarships fuel Nicole Montalvo's entrepreneurship.

Generosity That Lifts Burdens

UConn School of Pharmacy Dean Phil Hritcko and UConn Foundation Board of Directors member Lorraine Hritcko know firsthand how higher education can change lives: Both were first-generation college students. They are paying it forward with transformative investments in the School of Pharmacy and its students. Recent scholarship recipients of the Philip and Lorraine Hritcko Pharmacy Endowment include Ashley Roding '23 (PHARM), '25 Pharm.D. She said, "It's such a stress relief knowing there is someone there supporting you in your pursuit of education, especially while you're trying to juggle your workload and extracurricular activities."



Phil and Lorraine Hritcko are passionate about supporting first-generation students.



ACADEMIC & INNOVATION EXCELLENCE



Donor generosity is driving discovery by equipping faculty and graduate students with the resources, facilities, and programs that spark breakthroughs and expanding innovative learning opportunities for the community.

Breaking Bias, Building Community

Judy Sarna and her late husband, Morris Sarna, were starting to see troubling signs of hatred, bias, and antisemitism emerging from the margins. “One day, my husband said, the world is getting like 1938 Germany,” Sarna said. They were familiar with this slippery slope — Morris had survived the Holocaust.



Judy and Morris Sarna's gift brings immersive Holocaust and bias awareness program to high school students.

They took action, making a transformative gift that could be used right away to expand the Morris and Judy Sarna Breaking Bias & Creating Community Program to high school students across Connecticut. By using interactive Holocaust survivor testimony, a virtual reality tour of a concentration camp, and a photo exhibit that focuses on identity, the program uses innovative technology to foster understanding and combat prejudice. Expected to reach tens of thousands of students, the program also gives UConn students hands-on teaching experience.

“This is a place where even a small amount of money can go a long way,” Judy says. “You’re educating teachers and students and that goes on and on generationally well beyond the program.”

Endowed Faculty Leader Opens Doors in STEM

Stephany Santos '12 (ENGR), '16 MS, '20 Ph.D., serves as the Vergnano Endowed Chair at UConn's College of Engineering. She is dedicated to mentoring students — a role she feels she's been preparing for since arriving on campus in 2008 as an undergraduate in BRIDGE, a program that helps first-year students transition to college. She has since gone from active student leader and academic standout to full-time faculty member and executive director of the Vergnano Institute.

"Many students expressed excitement to have a professor 'like me,' which can mean a lot of things — Afro-Latina, child of immigrants," Santos said. "I'm breaking stigmas and stereotypes that exist in [STEM] domains and showing students they can, too." Recently, while preparing for the largest regional science bowl in the country, she connected with a student about what it means to be the first woman in her family to attend college.

Her position is made possible by Mark Vergnano '80 (ENGR) and Betsy '81 (CLAS) Vergnano, whose generosity established the Institute and endowed the chair to help aspiring engineers overcome barriers and pursue STEM careers.



Stephany Santos is one of the 125 endowed faculty members whose impactful work is sustained by donors.

A Gift That Adapts to the Moment

It is fitting that the Reading Room in William F. Starr Hall — a space considered the heart of the UConn Law campus in Hartford — is now named for Stuart F. Smith '80 JD in honor of his extraordinary generosity. Smith made a discretionary gift in fiscal year 2025 that enables dean Eboni S. Nelson to allocate resources where they are most needed, addressing priorities as they arise.



Stuart F. Smith '80 JD and UConn School of Law Dean Eboni S. Nelson



HEALTH & WELLNESS OF PEOPLE & PLANET



The Because of UConn campaign recognizes that investing in healthier people and a healthier planet ensures a stronger future for all. Donor support for UConn and UConn Health is improving patient care, advancing medical research, and developing life-changing technologies that improve healthcare outcomes while bringing UConn closer to our net-zero goals.

Meeting the Needs of an Aging Population

By 2060, the number of older adults in the U.S. is expected to double — a dramatic shift that demands more social workers to support seniors through every step of aging. Judy Zachs '77 MSW has direct experience with this challenge. A retired clinical social worker who dedicated her career to gerontology, Zachs has long championed UConn's School of Social Work. Her generosity helped launch its Ph.D. program and expand opportunities for students committed to serving older adults.



Travelers Chair in Geriatrics and Gerontology George Kuchel MD, Judy Zachs '77 MSW, UConn President Radenka Maric, and UConn Foundation President & CEO Amy Yancey at a June 2025 Center on Aging Event

In 2025, Zachs deepened her impact by establishing the Judith M. Zachs Family Professorship in Aging, ensuring sustained support for a leading scholar whose research and teaching will advance the field — from combatting isolation to building care networks to advocating for policies that break down housing and healthcare barriers for older adults. Because of donors like Zachs, UConn is ensuring that seniors receive the compassionate, expert care they deserve as Connecticut's population grows older.

Mental Health Matters: Alex's Legacy

Even with a lifetime of health challenges — including Hodgkin's lymphoma, hearing loss, endometriosis, and bipolar disorder — Alexandra "Alex" Karen Alerte lived life with joy, spirit, and selflessness. Although she showed great resilience, Alex's mental health struggles proved too challenging and ultimately claimed her life in 2022.

To honor Alex's life and prevent similar tragedies, her mother, Dr. Marie-Claude David, and her brother, Dr. Anton Alerte '98 MD, established the Alexandra Karen Alerte Memorial Fund at UConn School of Medicine. This fund supports graduate students pursuing psychiatry and psychiatric research.



Alex Alerte's legacy provides hope to those who also face bipolar disorder.

"Bipolar disorder is incredibly difficult to treat and needs individualized approaches," said Dr. Alerte, a UConn pediatrics professor. "This is what Alex would want: research that alleviates suffering for future patients."

The family hopes to lift the stigma surrounding mental illness so that mental health is treated with the same urgency as physical health.

"The time isn't now to do this work," said Dr. David. "The time was yesterday."

UConn Arboretum: A Living Laboratory

In fiscal year 2025, UConn's Storrs campus earned certification as a Level II Arboretum, underscoring the University's commitment to promoting environmental and human health. Generous gifts to the UConn Arboretum Endowment Fund safeguard the beauty and biodiversity of this special home to more than 5,000 trees and 400+ species.



UConn's arboretum accreditation underscores the inextricable link between the wellness of the campus and that of those who learn, work, and visit here.



HUSKY PRIDE



Husky Pride unites all of UConn Nation. In 2025, the Because of UConn campaign grew that enthusiasm across our global community — with Husky support propelling UConn Athletics to championships, regional campuses growing, and alumni and friends engaging like never before. This momentum is building the UConn brand and drawing more people to the University; this year, applications soared to historic levels.

Transforming Student-Athlete Success Through Academic Excellence

This year, we broke ground on the construction of the Bailey Student-Athlete Success Center, named for Trisha Bailey, '99 (CLAS), whose anchor gift is among the largest from any UConn graduate. Additionally, a remarkable \$15M commitment from Denis Nayden '76 (BUS), '77 MBA and Britta Nayden '76 (CAHNR) will establish the Nayden Center for Academic Excellence, a 12,000 square foot academic center that will provide tutoring, testing, and holistic academic support for nearly 600 student-athletes. This space, housed within the Bailey Student-Athlete Success Center, will provide the resources student-athletes need to dedicate themselves to their sport and their studies — enabling them to continue uplifting the Husky community worldwide.



The new center is scheduled to open in spring 2027.

The Naydens met at a dance at Hilltop during their student years. “UConn provided us with the foundation for our success, and we believe deeply in the power of education to transform lives,” says Denis Nayden. “Britta and I are committed to ensuring that future generations of UConn student-athletes have access to exceptional academic resources and support systems that empower them to reach their highest potential both on and off the field.”



From left, UConn student-athlete Chioma Okafor '26 (BUS, ENG), UConn President Radenka Maric, Trisha Bailey '99 (CLAS), and Denis Nayden '76 (BUS), '77 MBA stand onstage during the groundbreaking ceremony for the Bailey Student-Athlete Success Center and Nayden Academic Excellence Center in April 2025.

1/3

Fundraising
driven by Athletics
in FY25

26

National championships
won by UConn teams

9,000+

Volunteers engaged
in campaign

Nearly
50%

of regional programs
are volunteer led

Over 500

alumni programs
offered in FY25
engaged 22,000
registrants

Nearly
300,000

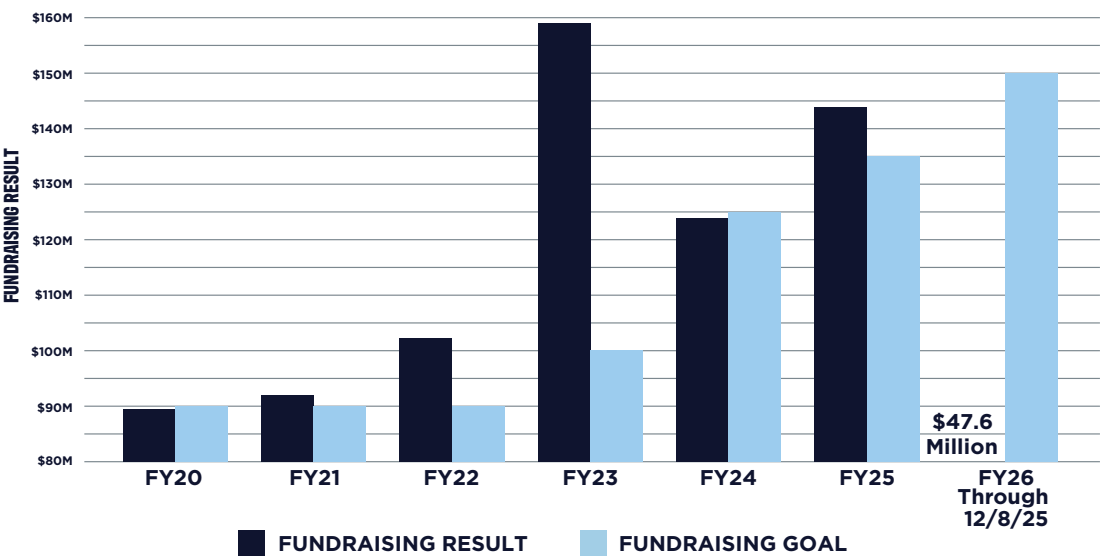
alumni worldwide,
with 158,000+
in Connecticut

DRIVING IMPACT: OUR FUNDRAISING PROGRESS

Advancing UConn’s Mission Through Generous Support

Since launching the Because of UConn campaign in 2020, we’ve raised an incredible \$805.5 million — already over halfway to our \$1.5 billion goal. Together, we’re fueling academic excellence, driving innovation, and expanding student support. We’re setting bold goals, because the future needs bold ideas.

FY20-26 GOALS V ACTUALS:



CAMPAIGN PROGRESS:

Total Campaign Commitment	GOAL PROGRESS (FROM 7/1/2019 CAMPAIGN START DATE)	% TO GOAL	GAP TO GOAL
	\$804,999,856	53.66%	\$695,000,144 2025-2030

Thanks to donor generosity, a favorable market environment, and disciplined investment strategy, UConn’s total endowment pool continues to grow, reaching a total of \$668 million in FY25.

UConn FOUNDATION’S ENDOWMENT:



The campaign’s four key pillars are each represented in the breakdown of fundraising dollars shown below:



FINANCIAL STATEMENT OVERVIEW PREPARED BY

David L. Carney, CFA

Senior Vice President of Finance & Administration and Chief Financial Officer

Executive Summary

- **Record Fundraising:** Total commitments reached \$144 million, marking the fourth consecutive year of fundraising above \$100 million.
- **Significant Increase in University Support:** Support for UConn rose 29% to \$65 million, with student support increasing by 61% to \$28 million.
- **Stable Revenues, Strong Investments:** Total revenues held steady at \$155 million; net investment returns grew by \$4 million, driven by strong equity markets.
- **Disciplined Expense Management:** Operating expenses remained flat at \$32 million, even as the Foundation launched the ambitious \$1.5 billion Because of UConn capital campaign.
- **Growth in Net Assets:** Net assets increased by \$58 million (7%) to a record \$881 million, reflecting the Foundation's financial health and long-term sustainability.

Overview

Fiscal year 2025 marked another year of strong performance for the Foundation, underscoring its commitment to advancing UConn's mission. Total commitments reached \$144 million (including planned gifts and sponsored research grants), securing the Foundation's fourth consecutive year of fundraising exceeding \$100 million. Support for the University grew significantly, climbing 29% above last year's record level to \$65 million, with an especially notable 61% increase in student support, totaling \$28 million.

Total revenues for the year were \$155 million, remaining essentially flat compared to the prior year, as reflected in the **Audited Statement of Activities**. Investment performance remained a bright spot, with net returns surpassing last year's already strong results by \$4 million year-over-year. More than half of the year's return was realized in the fourth quarter, spurred by the AI and semiconductor boom along with easing inflation and rate expectations in several major economies. Contribution revenue declined slightly by \$5 million, driven by a higher proportion of planned gifts that will generate future rather than current-year revenue.

Operating expenses held steady at \$32 million, reflecting disciplined cost management even as the Foundation undertook major new initiatives. Chief among these was the public launch of the Because of UConn capital campaign, an ambitious effort to raise \$1.5 billion by 2031, positioning the Foundation for transformative impact in the years ahead.

Overall, the Foundation achieved a \$58 million increase in net assets as reflected in the Foundation's **Audited Statement of Financial Position**, driven largely by strong returns in both endowed and operating investment pools. Year-end net assets closed at \$881 million, up 7%, setting a new record high and reinforcing the Foundation's healthy financial position.

This performance reflects not only sustained donor confidence but also strategic investment management and a clear focus on priorities such as student support and long-term growth. With the capital campaign underway, the Foundation is well-positioned to build on this momentum and deliver lasting benefits to the University community.

STATEMENT OF FINANCIAL POSITION

As of June 30, 2024 and 2025

Assets	2024	2025
(Dollars in Thousands)		
Cash and cash equivalents	48,378	20,992
Pledges receivable, net	81,595	64,739
Investments, operating	123,266	153,740
Investments, endowment	572,887	645,878
Funds held in trust by others	11,539	13,072
Endowments held for the University	21,253	21,253
Cash surrender value of life insurance	572	831
Property and equipment, net	2,525	3,391
Other assets	674	27,577
Total Assets	\$862,689	\$951,473
Liabilities and Net Assets		
Liabilities		
Accounts payable and accrued expenses	16,081	47,026
Trusts and annuities payable	2,295	2,328
Endowments held for the University	21,253	21,253
Lease liability	207	133
Total Liabilities	\$39,836	\$70,740
Net Assets		
Without donor restrictions	15,671	22,353
With donor restrictions	807,182	858,380
Total Net Assets	\$822,853	\$880,733
Total Liabilities and Net Assets	\$862,689	\$951,473

The Statement of Financial Position was derived from the audited financial statements as of June 30, 2024 and 2025.

STATEMENT OF ACTIVITIES

For the Fiscal Year Ending June 30, 2024 and 2025

Revenues, Gains, and Other Support	2024	2025
(Dollars in Thousands)		
Contributions	63,808	58,619
Net total investment return	74,115	78,289
Contractual payments from the University	13,500	16,000
Memberships and other income	1,154	1,687
Total Revenues, Gains, and Other Support	\$152,577	\$154,595
Expenses		
University program support	50,334	64,969
Foundation operations		
Fundraising expenses	22,901	23,139
Management and general expenses	8,441	8,607
Total Foundation operations	31,342	31,746
Total expenses	81,676	96,715
Total increase in net assets	70,901	57,880
Net assets, beginning of year	751,952	822,853
Net Assets, End of Year	\$822,853	\$880,733

The Statement of Activities was derived from the audited financial statements for the years ended June 30, 2024 and 2025.

TO OUR DONORS, ALUMNI, CURRENT, AND FORMER BOARD MEMBERS,

Because of you, UConn is not just keeping pace with the world — we're leading it. Your vision, generosity, and leadership have propelled this campaign forward with boldness and purpose, setting the stage for a future defined by innovation, opportunity, and impact.

On behalf of the Foundation, we thank you for your passion and dedication. This year marked the official launch of our Because of UConn Campaign — a milestone that reflects the strength of our community and the power of your commitment.

We honor the leadership of Foundation Board of Directors members completing their service and warmly welcome those beginning their terms.

Concluding Their Service

Trisha Bailey Ph.D. '99 (CLAS) '23 H (PHARM)
Lori Riiska '84 (BUS)
Angelo DeFazio '85 (PHARM)
Anthony Rizza '87 (BUS)
Amy J. Errett '79 (CLAS)
Dr. Michael K. Rosen '89 MD
Michael Koppel '78 (BUS)
Curtis Tearte '78 JD*
Joseph E. Parsons '78 (BUS)

Beginning Their Service

Christopher J. Chadbourne '88 (BUS)
Dr. Robert W. Makuch '72 (CLAS)
Rodney S. Crevoiserat '87 MBA
Michelle Swanston '03 (CAHNR)
Kevin J. Kulak '85 (ENGR)

The world moves forward because of UConn,
and everything we do is possible because of YOU.

Thank you for being an essential part of this journey.

For a full list of the current and
former UConn Foundation Board
of Directors members, please
visit <https://s.uconn.edu/bod>.

With gratitude,

The UConn Foundation Senior Cabinet

Amy Yancey

President and CEO
AYancey@foundation.uconn.edu

Mo Cotton Kelly

Chief People Officer and Senior Vice President for
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Becky Chabot

Chief of Staff
RChabot@foundation.uconn.edu

*Recognized for his service posthumously



BECAUSE OF UCONN...



I met lifelong friends and because of my UConn degree, I got a job opportunity out of college; I met my future wife. The education I received from UConn as a doctoral student enabled me to change career paths and become a public school teacher and administrator. My time at UConn taught me the importance of giving back to my community. Now, my service as a volunteer leader on multiple boards provides me with both a sense of purpose and opportunities to make a difference in my community.

**CARTER WELCH '99 (ED), '11 CERT., '12 ED.D.
NEAG ALUMNI BOARD MEMBER**

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**Financial Statements and Report of
Independent Certified Public
Accountants**

**The University of Connecticut Foundation,
Incorporated**

June 30, 2025 and 2024

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

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FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued



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REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

Board of Directors
The University of Connecticut Foundation, Incorporated

Report on the audit of the financial statements

Opinion

We have audited the financial statements of The University of Connecticut Foundation, Incorporated (the "Foundation"), which comprise the statements of financial position as of June 30, 2025 and 2024 and the related statements of activities, and cash flows for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the financial position of the Foundation as of June 30, 2025 and 2024, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for opinion

We conducted our audits of the financial statements in accordance with auditing standards generally accepted in the United States of America (US GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Foundation and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of management for the financial statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Foundation's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

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FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued



Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with US GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with US GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Foundation's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the Foundation financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Foundation's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Grant Thornton LLP

Boston, Massachusetts
November 3, 2025

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

STATEMENTS OF FINANCIAL POSITION

As of June 30,

	2025	2024
ASSETS		
Cash and cash equivalents	\$ 20,992,201	\$ 48,378,386
Pledges receivable, net (Note 2)	64,739,060	81,594,823
Investments, operating (Note 3)	153,740,388	123,266,012
Investments, endowment (Note 3)	645,877,866	572,887,107
Funds held in trust by others	13,072,443	11,538,854
Endowments held for the University	21,252,461	21,252,946
Cash surrender value of life insurance (Note 4)	830,974	572,143
Property and equipment, net (Note 5)	3,390,580	2,524,699
Other assets (Note 6)	27,577,096	673,648
Total assets	<u>\$ 951,473,069</u>	<u>\$ 862,688,618</u>
LIABILITIES AND NET ASSETS		
Liabilities		
Accounts payable and accrued expenses	\$ 47,026,038	\$ 16,081,007
Trusts and annuities payable	2,328,067	2,294,579
Endowments held for the University	21,252,461	21,252,946
Lease liability	133,192	206,645
Total liabilities	<u>70,739,758</u>	<u>39,835,177</u>
Net Assets (Note 9)		
Without donor restrictions	22,353,350	15,671,122
With donor restrictions	858,379,961	807,182,319
Total net assets	<u>880,733,311</u>	<u>822,853,441</u>
Total liabilities and net assets	<u>\$ 951,473,069</u>	<u>\$ 862,688,618</u>

The accompanying notes are an integral part of these financial statements.

**FY2025 Audited Financial Statements, Management Letter, and Audit Reports
of the UConn Foundation continued**

The University of Connecticut Foundation, Incorporated

STATEMENTS OF ACTIVITIES

Years Ended June 30,

	2025			2024		
	Without Donor Restrictions	With Donor Restrictions	Total	Without Donor Restrictions	With Donor Restrictions	Total
Revenues, gains, and other support						
Contributions	\$ 261,676	\$ 58,356,892	\$ 58,618,568	\$ 1,201,838	\$ 62,605,818	\$ 63,807,656
Net total investment return	9,676,532	68,612,959	78,289,491	8,504,728	65,610,248	74,114,976
Contractual payments from the University	16,000,000	-	16,000,000	13,500,000	-	13,500,000
Memberships and other income	1,103,904	583,130	1,687,034	757,443	396,938	1,154,381
Total revenues and gains	27,042,112	127,552,981	154,595,093	23,964,009	128,613,004	152,577,013
Net assets released from restrictions	64,839,222	(64,839,222)	-	50,246,043	(50,246,043)	-
Endowment spending allocation	(86,203)	86,203	-	(77,626)	77,626	-
Endowment and gift fees to fund Foundation operations	11,632,062	(11,632,062)	-	11,238,537	(11,238,537)	-
Total revenues, gains, and other support	103,427,193	51,167,900	154,595,093	85,370,963	67,206,050	152,577,013
Expenses						
University program support	64,968,571	-	64,968,571	50,333,520	-	50,333,520
Foundation operations						
Fundraising expenses	23,139,224	-	23,139,224	22,900,976	-	22,900,976
Management and general expenses	8,607,428	-	8,607,428	8,441,263	-	8,441,263
Total Foundation operations	31,746,652	-	31,746,652	31,342,239	-	31,342,239
Total expenses	96,715,223	-	96,715,223	81,675,759	-	81,675,759
Transfers between net asset categories	(29,742)	29,742	-	(363,365)	363,365	-
TOTAL INCREASE IN NET ASSETS	6,682,228	51,197,642	57,879,870	3,331,839	67,569,415	70,901,254
Net assets, beginning of year	15,671,122	807,182,319	822,853,441	12,339,283	739,612,904	751,952,187
Net assets, end of year	\$ 22,353,350	\$ 858,379,961	\$ 880,733,311	\$ 15,671,122	\$ 807,182,319	\$ 822,853,441

The accompanying notes are an integral part of these financial statements.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

STATEMENTS OF CASH FLOWS

Years Ended June 30,

	2025	2024
Cash flows from operating activities:		
Change in net assets	\$ 57,879,870	\$ 70,901,254
Adjustments to reconcile change in net assets to net cash used in operating activities		
Investment return	(70,660,354)	(69,212,819)
Cash gifts to establish or increase permanent endowments	(9,657,698)	(3,940,482)
Gifts of securities	(3,886,595)	(6,895,966)
Proceeds from sale of donated securities	2,630,046	2,146,939
Depreciation and amortization	176,340	222,245
Change in allowance for uncollectible pledges	(1,100,928)	151,562
Change in discounts on pledges receivable	(1,879,362)	(1,143,442)
Funds held in trust by others	(1,533,589)	(443,063)
(Increase) decrease in assets:		
Pledges receivable	19,836,053	4,490,688
Cash surrender value of life insurance	(258,831)	(102,490)
Other assets	(26,903,448)	158,874
Increase (decrease) in liabilities:		
Accounts payable and accrued expenses	30,945,031	(2,344,258)
Trusts and annuities payable	33,488	320,909
Net cash used in operating activities	(4,379,977)	(5,690,049)
Cash flows from investing activities:		
Purchases of investments	(282,823,160)	(240,960,384)
Sales of investments and gifts of marketable securities	250,018,378	250,287,488
(Purchase)/Sales of property and equipment	(1,103,677)	-
Net cash (used in) provided by investing activities	(33,908,459)	9,327,104
Cash flows from financing activities:		
Cash gifts to establish or increase permanent endowments	9,657,698	3,940,482
Proceeds from sale of donated securities restricted for endowment	1,256,549	4,749,027
Principal payments on lease liability	(11,996)	(13,614)
Payments on bond and note payable	-	-
Net cash provided by financing activities	10,902,251	8,675,895
NET (DECREASE) INCREASE IN CASH AND CASH EQUIVALENTS	(27,386,185)	12,312,950
Cash and cash equivalents at beginning of year	48,378,386	36,065,436
Cash and cash equivalents at end of year	\$ 20,992,201	\$ 48,378,386
Supplemental disclosure of cash flow information:		
Gifts of securities	\$ 3,886,595	\$ 6,895,966
Cash paid during the year for interest	53,510	35,253

The accompanying notes are an integral part of these financial statements.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS

June 30, 2025 and 2024

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization

The University of Connecticut Foundation, Incorporated (the "Foundation") was established in 1964 as an independent, privately governed, not-for-profit corporation, chartered under the laws of the State of Connecticut.

The Foundation's mission is to support the University of Connecticut (the "University") in building upon its pillars of promoting holistic student success, expanding its research impact, and helping Connecticut thrive. The Foundation fulfills this mission primarily through fundraising, asset management functions, and alumni relations. The Foundation solicits and accepts donations of property, money and securities, and invests and administers such assets. The Foundation disburses funds in accordance with the terms under which they were given to aid, supplement, improve, and enlarge the educational, cultural, recreational, and research activities and facilities of the University.

Basis of Presentation

The financial statements of the Foundation have been prepared on the accrual basis of accounting and include the Foundation's assets, liabilities, net assets, revenues, and expenses for the years ending June 30, 2025 and 2024.

Net assets, revenues and expenses are classified based on the terms of donor-imposed restrictions, if any. Accordingly, the net assets, revenues, and expenses of the Foundation are classified and reported as follows:

Net assets without donor restriction - Net assets that are not subject to donor-imposed restrictions, or for which the donor-imposed restrictions have expired or been fulfilled. Net assets in the category may benefit the Foundation and include board designated restrictions to support the University. Expenditures are reported in this classification of net assets since the use of donor-restricted contributions in accordance with the donor's restrictions results in the release of the restriction.

Net assets with donor restrictions - Net assets that are subject to donor-imposed purpose and use restrictions to benefit a specific unit, department, or program of the University that have not yet been met. The donor-imposed restrictions may be temporary in nature or may be perpetual.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, disclosure of contingent assets and liabilities at the date of the financial statements, and revenues and expenses during the reporting period. Actual results could differ from those estimates. The Foundation's significant estimates include the valuation of its investments, the collectability and present value of receivables, and the present value of the liability for future payments related to trust and annuity agreements.

Contribution Revenue Recognition

Philanthropic commitments are recognized as revenues when unconditionally pledged, or when a condition on a gift or pledge is met. Outright contributions are recognized as revenue when received. Gifts of real estate, buildings and equipment, marketable securities, and other donated property are recorded at their estimated fair value on the date of the gift.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

Gifts are reported as with donor restrictions if received with donor restrictions that designate the use of donated assets as to purpose or time.

Pledges receivable represent outstanding unconditional promises by donors to make contributions to the Foundation. Unconditional promises to give that are expected to be collected within one year of the statement of financial position date are recorded at face value. Unconditional promises to give that are expected to be collected in future years are recorded at the present value of their estimated realizable future contribution amounts. The discount rates used to determine present values reflect fair value applicable to the year in which the promises to give were received; the amortization of the related discount is subsequently included in contribution revenues.

The Foundation uses a combination of specific reserve and estimate of remaining uncollectible accounts to determine the total allowance for uncollectible pledges. As of June 30, 2025 and 2024, the estimate of remaining uncollectible accounts was 1% on endowment non-athletic pledges, and 3% on non-endowed non-athletic pledges, 2% on endowment athletic pledges and 1% on non-endowed athletic pledges.

Conditional promises to give are not recorded as revenue until they become unconditional, which is when the conditions on which they depend are substantially met.

Cash and Cash Equivalents

The Foundation generally considers short-term, highly liquid financial instruments to be cash equivalents. Cash equivalents consist of time deposits and short-term investments with maturities of 90 days or less at the date of purchase. The cash in bank deposit accounts may at times exceed federally insured limits. The Foundation periodically reviews the financial stability of institutions holding its accounts and does not believe it is exposed to any significant custodial credit risk. Cash equivalents are stated at cost, which approximates fair value. Short-term investments that are discretionary components of long-term portfolios managed by professional investment management firms hired by the Foundation are classified as investments (refer to Note 3).

Investments

Investments are reported at fair value. In accordance with the accounting pronouncement on fair value measurements, fair value is defined as the price that the Foundation would receive upon selling an investment in an orderly transaction between market participants in the principal or most advantageous market at the measurement date. A three-tier hierarchy is established, based on inputs to valuation techniques, to maximize the use of observable market data and to establish classification of fair value measurements for disclosure purposes. Inputs refer broadly to the assumptions that market participants would use in pricing the investment, including assumptions about risk. Inputs may be observable or unobservable.

Observable inputs are inputs that reflect the assumptions that market participants would use in pricing the investment based on market data obtained from sources independent of the Foundation. Unobservable inputs are inputs that reflect the Foundation's own assumptions about the assumptions market participants would use in pricing the investment based on the best information available in the circumstances.

The three-tier hierarchy of inputs is summarized below:

- Level 1 - Quoted prices (unadjusted) in active markets for identical investments that the Foundation has the ability to access at the measurement date. This level of the fair value hierarchy provides the most reliable evidence of fair value and is used to measure fair value whenever available.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

Level 2 - Inputs other than quoted prices included within Level 1 that are observable for an investment. These inputs include quoted prices for similar investments in active markets, quoted prices for identical or similar investments in markets that are not active, and inputs other than quoted prices that are observable for the investment, for example interest rate and yield curves, volatilities, prepayment rates and credit risk among others. These are inputs that are derived principally from or corroborated by observable market data by correlation or other means. Certain investments defined as Level 2 are in the form of commingled funds, the shares of which are not publicly traded, where the valuation of the underlying securities held in the fund is taken from quoted prices in active markets.

Level 3 - Inputs that are unobservable inputs for the investment that are used to measure fair value when observable inputs are not available. Unobservable inputs reflect the Foundation's own assumptions about the assumptions that market participants would use in pricing the investment. These inputs are developed based on the best information available in the circumstances, which might include the Foundation's own data.

Certain investment funds are measured at fair value using net asset value (NAV) or its equivalent (practical expedient) to estimate the fair value. The Foundation uses NAV to determine the fair value of investments which (a) do not have a readily determinable fair value and (b) prepare their financial statements consistent with the measurement principles of an investment company or have the attributes of an investment company. Investments whose fair value are not readily determinable using NAV accounted for 53% and 57% of all investments as of June 30, 2025 and 2024, respectively. Because they are not readily determinable, the fair values may differ from the values that would have been used had a ready market for these investments existed.

Net investment return (defined as dividends, interest, and net realized and unrealized gains and losses on investments, net of investment management fees), is reported as follows:

- Unrealized gains and losses that result from market fluctuations are recognized in the period in which the fluctuations occur;
- As increases or decreases in net assets with donor restrictions if the terms of the underlying endowment funds designate the purpose for specific unit, department or program of the University, or otherwise stipulated by the donor;
- As increases or decreases in net assets without donor restrictions if the terms of the underlying individual endowment funds and gifts are Board designated;
- As increases or decreases in net assets without donor restriction if the terms of the underlying individual funds and gifts are non-endowed; or
- As increases or decreases in net assets with donor restrictions if there is a change in the present value of an annuity or trust due to the passage of time or changes in actuarial life expectancies.

Investment in University of Connecticut Research and Development Corporation

The Foundation was the sole shareholder of the R&D Corporation, a for-profit corporation duly established in the State of Connecticut in 1984. On December 31, 2015, the Foundation divested its interest in the R&D Corporation, which was transferred to The University of Connecticut, a related party, without compensation.

The agreement with the University allows the Foundation to retain a continuing interest in the underlying companies owned by the R&D Corporation on the divestiture date. The Foundation will derive income equal to 10% of sales and 30% of royalties. The Foundation may use 50% of any royalty revenue interest and

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

100% of sales to support the Foundation's mission. The remaining will be designated to support technology commercialization at the University of Connecticut. For the years ended June 30, 2025 and 2024, the Foundation did not receive any royalty or sales revenue and does not expect any amounts to be received in the future.

Endowment Spending Allocation and Advancement Fee

The endowment spending policy adopted by the Foundation's Board of Directors, in conjunction with the Investment Policy Statement for the long-term pooled investment portfolio, which is predominantly endowment assets, is designed to provide reliable growth in annual spending allocation levels and to preserve or increase the real value of the endowment principal, over time. To meet these objectives, the Foundation utilizes a total return investment approach, with total return consisting of interest and dividends, and realized and unrealized gains and losses, net of investment management fees.

The spending allocation distributed in support of designated purposes was \$18,331,575 and \$17,784,253 for the years ended June 30, 2025 and 2024, respectively.

The Foundation's endowment spending allocation policy was enacted in accordance with the Connecticut Uniform Prudent Management of Institutional Funds Act (UPMIFA). UPMIFA considers prudence in maintaining an endowment fund in perpetuity. Spending can occur from an endowment fund whose fair value is below its historic value, as long as the governing body has determined that its policies will continue the perpetual nature of the endowment over time.

The amount of funds allocated for expenditure for the purposes for which an endowment was established ("spending allocation") will equal 4% annually (1% per quarter) of the rolling prior 12-quarter average fair value on a unitized basis. The corresponding calculated spending allocations are distributed in equal quarterly installments on the first day of each quarter from the accumulated net total investment return for individual endowment funds where available, otherwise from principal.

Endowments will participate in the long-term pooled investment portfolio once the principal amount is equal to or greater than the minimum needed to establish an endowment. The new fund will participate in the long-term pooled investment portfolio on the last day of the quarter after meeting the minimum amount. The spending allocation and endowment advancement fee, discussed below, will not be distributed until the endowment fund has participated in the long-term pooled investment portfolio for two full quarters.

An advancement fee is assessed to fund expenses incurred in meeting the Foundation's fiduciary and fundraising responsibilities to donors and the University. This on-going advancement fee is also assessed based on a rolling 12 quarter unitized fair value. The calculated fee is charged in equal quarterly installments on the first day of each quarter from the accumulated net total investment return for individual endowment funds where available, otherwise from principal.

Neither the spending allocation nor the endowment advancement fee will be distributed from endowments that have a historic gift value that is less than 85% of the fair value (referred to as an underwater fund) at the end of any quarter during the fiscal year.

In order to ensure the Foundation preserves the purchasing power of the endowment pool, the endowment spending allocation and advancement fee taken together cannot exceed 6.5% or fall below 3.0% of the fair value of endowment funds. Should this occur, the calculated amounts will be decreased or increased, respectively, on a pro rata basis.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

Net Asset Treatment Associated with Endowment Returns

To the extent that the fair value of assets associated with an individual donor-restricted endowment fund is less than the historic gift value, the deficits are reported as decreases in net assets with donor restrictions, or if the endowment is a board designated endowment they are reported as decreases in net assets without donor restrictions, in accordance with accounting standards on not-for-profit investments.

The decrease to net assets with donor restrictions:

	2025	2024
Fair Value	\$ 13,895,733	\$ 22,972,959
Historic Gift Value	14,221,306	23,634,298
Decrease in net assets with donor restrictions	\$ (325,573)	\$ (661,339)

Funds Held in Trust by Others

The Foundation is the remainder beneficiary of various charitable remainder trusts that are managed by third parties. At the end of the charitable remainder trust term, the Foundation will receive a specified portion of the assets remaining. The Foundation is also the named beneficiary of various perpetual trusts, under which the Foundation will receive a distribution of income and will never receive the assets of the trust. At the time the Foundation is notified of the funding of the trust, the Foundation records contribution revenue equal to the estimated discounted value of the distribution expected to be received upon the termination of each trust. Thereafter, the Foundation records adjustments to the estimated fair value of the trusts' assets as investment income. The discount rates used range from 3.02% to 4.85% for 2025 and 3.18% to 4.47% for 2024.

Following is a reconciliation of funds held in trust by others. The assets are considered Level 3 financial instruments (refer to Note 1 for discussion of fair value measurements).

	2025	2024
Beginning balance	\$ 11,538,854	\$ 11,095,791
Change in fair value	1,614,875	564,009
Distributions	(81,286)	(120,946)
Ending balance	\$ 13,072,443	\$ 11,538,854

Trusts and Annuities Held by the Foundation

The Foundation is named as the trustee and remainder beneficiary of several charitable remainder trusts and as trustee, the Foundation is required to make distributions to the specified income beneficiaries based on the income earned on the trust assets. The Foundation has also entered into contracts for charitable gift annuities and is required to make fixed payments to the specified life income beneficiaries. On the date the trust or annuity is established, the Foundation records contribution revenue equal to the difference between the fair value of the trust and the estimated present value of the distributions to be made to the life income beneficiaries over the term of the trusts. Distributions, investment activity and amortization of the discount to present value are recorded as investment income. At the end of the trust and annuity term, the remaining asset will be transferred to the Foundation to support the University, as directed by the donor.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

These trust and annuity asset amounts are carried at their net present value and are included in investments. The net assets are included in either the net asset with donor restrictions or without donor restrictions classifications based on the existence or absence of donors restrictions. The difference between the amounts contributed to establish a charitable remainder trust or charitable gift annuity and the present value of the liability for future payments to donors, determined using actuarial life expectancies and discount rates ranging from 0.4% to 8.4% for June 30, 2025 and 2024, is recognized as contribution revenue at the date of the gift.

Property and Equipment for Operations

Property and equipment are stated at cost. Depreciation of property and equipment is recorded to expense on a straight-line basis over their estimated useful lives which range from 3 to 40 years. Expenditures for repairs and maintenance are expensed as incurred. Costs directly related to software development and acquisition, are capitalized until the asset is placed in service and then amortized over respective useful life.

Retirement Plan

The Foundation sponsors The University of Connecticut Foundation, Inc. Retirement Annuity Plan (the "Plan"), which is a fully funded, qualified plan under Section 403(b) of the Internal Revenue Code. The Plan covers all full time and certain part time employees, excluding students. Participants are required to contribute 3% of regular salary, with the Foundation contributing 8% of each participant's salary. Participants are subject to three-year cliff vesting for Foundation contributions to the Plan. Effective July 1, 2019, the vesting requirement is waived for terminations due to job eliminations. The unvested amount as of June 30, 2025 is \$848,887. Included in Foundation support expenses are Plan contributions of \$1,440,912 and \$1,390,606 for the years ended June 30, 2025 and 2024, respectively.

Income Taxes

The Foundation has a letter of exemption from federal income tax from the Internal Revenue Service under Section 501(c) (3) of the Internal Revenue Code. Due to certain investments, the Foundation does have unrelated business income, however the federal and state tax liabilities have been immaterial. The Foundation has appropriate support for any tax position taken and believes it does not have any uncertain tax positions that are material to the financial statements.

NOTE 2 - PLEDGES RECEIVABLE, NET

Pledges receivable includes unconditional promises to give.

	June 30,	
	2025	2024
Pledges	\$ 74,392,034	\$ 94,228,087
Less: allowance for uncollectible pledges	(4,554,530)	(5,655,458)
Less: discount to record net realizable pledges at net present value ⁽¹⁾	(5,098,444)	(6,977,806)
Pledges receivable, net	<u>\$ 64,739,060</u>	<u>\$ 81,594,823</u>

⁽¹⁾ The interest rates used in the computation of the discount ranged from 0.61% to 4.71% for June 30, 2025 and 0.61% to 3.97% for June 30, 2024.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

	June 30,	
	2025	2024
Net pledge receivable amounts due in:		
Less than one year	\$ 23,497,524	\$ 27,676,395
One to five years	38,557,686	49,342,274
More than five years	2,683,850	4,576,154
Total	<u>\$ 64,739,060</u>	<u>\$ 81,594,823</u>

Conditional pledges of \$41,214,033 at June 30, 2025 are reported when the condition has been met. Bequest expectancies totaling \$309,900,205 have also been excluded from these amounts and are not recorded in the financial statements.

NOTE 3 - INVESTMENTS

The investment portfolio is shown below at fair value by investment asset class and hierarchy. Investments measured using NAV are not classified in the fair value hierarchy. The amounts presented in the table are intended to permit reconciliation of the hierarchy to the statement of financial position for operating and endowed investments.

	June 30, 2025				
	Level 1	Level 2	Level 3	NAV	Total
Short term investments	\$ 5,985,498	\$ -	\$ -	\$ -	\$ 5,985,498
Global fixed income	235,639,158	4,826,656	-	12,337,955	252,803,769
Global equity	121,974,423	-	-	10,767,122	132,741,545
Hedge funds - non-directional	-	-	-	5,118,804	5,118,804
Hedge funds - directional	-	-	-	27,578,520	27,578,520
Private capital	-	-	-	342,861,991	342,861,991
Private real assets	-	-	-	32,528,127	32,528,127
Total	<u>\$ 363,599,079</u>	<u>\$ 4,826,656</u>	<u>\$ -</u>	<u>\$ 431,192,519</u>	<u>\$ 799,618,254</u>

	June 30, 2024				
	Level 1	Level 2	Level 3	NAV	Total
Short term investments	\$ 16,393,615	\$ -	\$ -	\$ -	\$ 16,393,615
Global fixed income	171,676,472	3,874,709	-	-	175,551,181
Global equity	110,286,759	-	-	45,186,894	155,473,653
Hedge funds - non-directional	-	-	-	19,508,739	19,508,739
Hedge funds - directional	-	-	-	13,744,267	13,744,267
Private capital	-	-	-	278,793,184	278,793,184
Private real assets	-	-	-	36,688,480	36,688,480
Total	<u>\$ 298,356,846</u>	<u>\$ 3,874,709</u>	<u>\$ -</u>	<u>\$ 393,921,564</u>	<u>\$ 696,153,119</u>

Operating investments are invested in level 1 assets that include a short duration bond portfolio and preferred stocks. The bond portfolio is diversified across treasury bonds, investment grade corporate bonds, high yield short duration corporate bonds, and asset backed securities. The portfolio maintains an average credit quality above BBB.

Net asset values provided by third parties have been utilized in determining fair value. Fund managers utilize outside pricing services and administrators as well as their own internal valuation models in

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The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

determining and verifying fair values. The Foundation performs ongoing due diligence with the fund managers that include evaluation of manager operations and valuation procedures, site visits, investor calls, review of manager filings, and audited financial statements among other items.

Certain investment funds may have agreements that contain funding commitments and redemption terms and restrictions. The following table summarizes the unfunded commitments and the redemption frequency:

	Unfunded Commitments June 30, 2025	Fair Value		Redemption Frequency	Redemption Notice Period
		June 30, 2025	June 30, 2024		
Global fixed income	\$ -	\$ 12,337,955	\$ -	Monthly	30 Days
Global equity	-	10,767,122	45,186,894	1 to 90 Days	1 to 90 Days
Hedge funds - non-directional	-	5,118,804	19,508,739	3 to 12 months	60 to 90 Days
Hedge funds - directional	-	27,578,520	13,744,267	1 to 12 months	30 to 90 Days
Private capital	148,809,177	342,861,991	278,793,184	Not applicable	Not applicable
Private real assets	10,875,269	32,528,127	36,688,480	Not applicable	Not applicable
Total	<u>\$ 159,684,446</u>	<u>\$ 431,192,519</u>	<u>\$ 393,921,564</u>		

Net total investment return is summarized as follows:

	June 30,	
	2025	2024
Interest and dividends	\$ 24,480,168	\$ 18,265,491
Net realized and unrealized gains (losses) on investments	70,660,354	69,212,819
Investment management fees	(16,463,481)	(12,792,018)
Salary expenses related to investment operations	(387,550)	(571,316)
Net total investment return	<u>\$ 78,289,491</u>	<u>\$ 74,114,976</u>

NOTE 4 - CASH SURRENDER VALUE OF LIFE INSURANCE

Life insurance policies donated to the Foundation have been recorded as contributions and assets at their respective cash surrender values in the year of donation. Any changes in the cash surrender values after donation are offset against life insurance premiums expense in the year of the change. The Foundation will receive the face value of these policies upon their maturation. The face value of these policies as of June 30, 2025 was \$17,711,701 and 2024 was \$17,492,227, while their aggregate cash surrender value was \$830,974 and \$572,143, respectively.

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The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

NOTE 5 - PROPERTY AND EQUIPMENT

Depreciation expense was \$176,340 and \$222,245 for property and equipment used for the Foundation operations for the years ended June 30, 2025 and 2024, respectively. Property, plant and equipment as of June 30 are as follows:

	June 30,	
	2025	2024
Building and improvements	\$ 7,656,411	\$ 6,559,681
Furniture and equipment	564,251	557,303
Capital leases	157,488	218,944
Fundraising system	2,518,820	2,518,820
Subtotal	10,896,970	9,854,748
Less: accumulated depreciation	(7,506,390)	(7,330,049)
	<u>\$ 3,390,580</u>	<u>\$ 2,524,699</u>

NOTE 6 - OTHER ASSETS

Other assets are comprised of the following:

	June 30,	
	2025	2024
Other receivables	\$ 26,739,229	\$ 24,732
Prepaid expenses	691,440	486,133
Life insurance receivable	146,427	162,783
	<u>\$ 27,577,096</u>	<u>\$ 673,648</u>

NOTE 7 - OPERATING LEASES

In January 2022, the Foundation entered into a lease for office space for Foundation staff that support fundraising operations for the University of Connecticut Health Center. Expenditures reported for the lease during the year ended June 30, 2025, were \$77,612.

NOTE 8 - LINE OF CREDIT

On October 25, 2022, the Foundation entered into a revolving loan note with Webster Bank, National Association. The total amount available under the unsecured revolving loan note is \$30,000,000. Drawdowns will bear interest at the variable rate equal to the Term Secured Overnight Financing Rate Daily Reset plus sixty basis points. The monthly fee on the unused portion of the revolving loan note is twenty-three basis points. The Foundation incurred \$69,498 and \$73,913 in unused line fees for the years ended June 30, 2025 and 2024, respectively. In June 2025, the Foundation utilized \$182,016 in the form of an irrevocable letter of credit.

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The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

Under the loan agreement the Foundation is also required to maintain a deposit relationship and/or other services with Webster Bank. On June 30, 2025, the Foundation maintained \$5,000,000 in deposit accounts to meet the contractual requirement.

NOTE 9 - NET ASSETS

At June 30, 2025 and 2024, net assets included funds without donor restrictions and with donor restrictions for the following purposes:

	2025	2024
Net assets without donor restrictions		
Available for Foundation operations	\$ 19,683,912	\$ 13,345,648
Board-designated endowments	2,669,438	2,325,474
Total without donor restrictions	<u>\$ 22,353,350</u>	<u>\$ 15,671,122</u>
Net assets with donor restrictions		
Subject to expenditure for specified purpose Scholarship support	\$ 36,434,645	\$ 40,685,145
Faculty support	14,416,814	16,835,093
Program support	131,860,375	128,408,686
Total subject to expenditure for specified purpose	182,711,834	185,928,924
Endowments		
Scholarship support	277,359,504	254,482,936
Faculty support	174,873,426	164,629,728
Program support	223,435,197	202,140,731
Total endowments	<u>675,668,127</u>	<u>621,253,395</u>
Total net assets with donor restrictions	<u>\$ 858,379,961</u>	<u>\$ 807,182,319</u>

The Foundation's endowment net assets consist of approximately 2,000 individual funds established for a variety of purposes and the following where the assets have been designated for endowment: pledges receivable, charitable remainder trusts and charitable gift annuities. The endowment includes both donor-restricted endowment funds and funds designated by the Board of Directors to function as endowments.

The Board of Directors of the Foundation has interpreted Connecticut UPMIFA as requiring prudent management of the fair value of the original gifts as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Foundation classifies as net assets with donor restrictions a) the original value of gifts donated to the permanent endowment, b) the original value of subsequent gifts to the permanent endowment, and c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. In accordance with Connecticut UPMIFA, the Foundation considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- 1) The duration and preservation of the fund
- 2) The purposes of the Foundation and the donor-restricted endowment fund

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NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

- 3) General economic conditions
- 4) The possible effect of inflation and deflation
- 5) The expected total return from income and the appreciation of investments
- 6) Other resources of the Foundation
- 7) The Foundation's investment policies

The Foundation had the following endowment activity during the years ended June 30, 2025 and 2024 summarized by net asset class of without donor-restriction versus with donor-restrictions:

	2025			2024		
	Without Donor Restrictions	With Donor Restrictions	Total	Without Donor Restrictions	With Donor Restrictions	Total
Endowment net assets, beginning balance	\$ 2,325,474	\$ 621,253,395	\$ 623,578,869	\$ 1,987,754	\$ 571,412,419	\$ 573,400,173
Contributions	273,797	13,727,532	14,001,329	200,000	11,207,230	11,407,230
Net total investment return and other income	187,341	68,591,169	68,778,510	251,469	65,508,400	65,759,869
Endowment spending allocation	(86,203)	(18,245,372)	(18,331,575)	(77,626)	(17,706,626)	(17,784,252)
Endowment and gift fees to fund Foundation	(39,704)	(10,062,881)	(10,102,585)	(36,123)	(9,931,523)	(9,967,646)
Transfers between net asset categories	8,733	404,284	413,017	-	763,495	763,495
Endowment net assets, ending balance	<u>\$ 2,669,438</u>	<u>\$ 675,668,127</u>	<u>\$ 678,337,565</u>	<u>\$ 2,325,474</u>	<u>\$ 621,253,395</u>	<u>\$ 623,578,869</u>

Endowment assets are long-term in nature and managed as such on a total return basis. There are certain short-term considerations in constructing the endowment investment portfolio, such as spending allocations and advancement fee. However, the assets can tolerate a reasonable level of short-term volatility in the interest of maximizing long-term performance. In order to attain the varied investment objectives, a proper balance must be struck between return and risk. With a proper risk/return profile, the Foundation believes maintaining real purchasing power of the spending allocation and meeting annual funding needs can be achieved over time through the asset allocation and spending policies adopted by its Board of Directors.

The Foundation utilizes a diversified asset allocation consisting of: growth strategies (primarily equity-based investments); inflation hedging strategies to protect against inflation and provide purchasing power (strategies with significant correlations to inflation); and risk minimizing strategies to reduce volatility and preserve capital (fixed income and other strategies with low correlations to equities). Investment returns are achieved through capital appreciation (realized and unrealized) and current yield (interest and dividends).

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The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

NOTE 10 - LIQUIDITY AND AVAILABILITY

Financial assets available for general expenditure, that is, without donor restrictions or other restrictions limiting their use, within one year of the statement of financial position date are comprised of the following:

	2025	2024
Cash and cash equivalents	\$ 5,395,389	\$ 4,788,893
Investments, operating	13,501,045	9,000,000
	<u>\$ 18,896,434</u>	<u>\$ 13,788,893</u>

The Foundation's unrestricted investments represent non-endowed assets that are not designated to a specific unit or purpose and can be used by the Foundation at any time. The assets are invested in short-term investments determined by the Foundation's investment policy.

Many of the Foundation's liabilities may be funded by financial assets with donor restrictions, which are not included in the liquidity table above.

NOTE 11 - EXPENSES BY NATURE AND FUNCTION

Expenses are presented by functional classification in accordance with the overall service mission of the Foundation. Each functional classification displays all expenses related to the underlying operations by natural classification.

The financial statements report certain categories of expenses that are attributable to more than one program or supporting function. Therefore, these expenses require allocation on a reasonable basis that is consistently applied. The expenses that are allocated include depreciation and interest (included in facilities and equipment expense), which are allocated on a headcount basis.

	2025			
	University Program Support	Foundation Operations		Total Expenses
		Fundraising	Management and General	
Expenses				
Faculty and staff compensation and benefits	\$ 17,492,144	\$ 17,521,566	\$ 5,647,572	\$ 40,661,282
Student support	27,887,440	-	-	27,887,440
General support	7,944,709	1,993,211	2,417,251	12,355,171
Facilities and equipment expense	5,070,431	160,398	439,938	5,670,767
Fundraising events and donor cultivation	2,495,353	2,973,562	54,607	5,523,522
Travel, conferences, and meetings	4,078,494	490,487	48,060	4,617,041
Total expenses	<u>\$ 64,968,571</u>	<u>\$ 23,139,224</u>	<u>\$ 8,607,428</u>	<u>\$ 96,715,223</u>

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

		2024			
		University Program Support	Foundation Operations Fundraising	Management and General	
Expenses					Total Expenses
Faculty and staff					
compensation and benefits	\$	11,794,851	\$ 16,863,992	\$ 5,755,017	\$ 34,413,860
Student support		17,316,977	-	-	17,316,977
General support		8,081,738	2,644,111	2,258,586	12,984,435
Facilities and equipment					
expense		6,010,562	422,402	247,593	6,680,557
Fundraising events and donor					
cultivation		2,826,138	2,294,395	111,343	5,231,876
Travel, conferences, and					
meetings		4,303,254	676,076	68,724	5,048,054
Total expenses	\$	<u>50,333,520</u>	<u>\$ 22,900,976</u>	<u>\$ 8,441,263</u>	<u>\$ 81,675,759</u>

NOTE 12 - UNIVERSITY SUPPORT

The Foundation, at the direction of its donors, makes payments on behalf of or directly to the University in support of the University's mission. Such amounts are classified as University Program Support in the statement of activities and in Note 11. There are two primary sources of Foundation funds available to the University: charitable gifts and philanthropic grants included in contributions to the Foundation that are immediately available for expenditure, and spending allocation from the accumulated investment earnings of individual endowment funds. Total funds disbursed by the Foundation in support of the University in accordance with the donated purpose were \$64,968,571 and \$50,333,520 for the years ended June 30, 2025 and 2024, respectively. The University determines the amount of support that will be requested from the Foundation based on the amounts available to be spent.

NOTE 13 - RELATED PARTY TRANSACTIONS

In December 1994, the Foundation assumed primary responsibility for the fundraising program conducted for the benefit of the University and, in June 1995, the Foundation assumed responsibility for related advancement services. The relationship, roles and arrangements between the Foundation and the University are documented in an Agreement dated July 1, 2015 (the "Agreement"), and in a Memorandum of Understanding (the "MOU"), which is updated on a one- or two-year basis. In payment for fundraising and other services outlined in the MOU, the Foundation recorded revenue from the University of \$16,000,000 and \$13,500,000 for the years ended June 30, 2025 and 2024.

The University of Connecticut Foundation has a contractual arrangement with the University of Connecticut to act as the University's agent in managing their endowment assets. The pool is managed under the same policies as the Foundation's endowment pool but may have a different asset allocation. The Foundation has elected to disclose the fair value of the endowment assets on the balance sheet with an offsetting liability. The University's endowment had a fair value of \$21,252,461 and \$21,252,946 as of June 30, 2025 and 2024, respectively.

In April 2015, the Foundation assumed primary responsibility for alumni engagement activities for the University. The Foundation focuses on strengthening the connection with alumni with the University over their lifetime. The University has granted the Foundation rights to use the Alumni Center at the cost of \$1.00 rent per year in perpetuity. In payment for alumni engagement outlined in the MOU, the Foundation recorded revenue from the University of \$2,835,000 and \$2,835,201 for the years ended June 30, 2025 and 2024.

FY2025 Audited Financial Statements, Management Letter, and Audit Reports of the UConn Foundation continued

The University of Connecticut Foundation, Incorporated

NOTES TO THE FINANCIAL STATEMENTS - CONTINUED

June 30, 2025 and 2024

The Foundation has recorded a liability due to the University of \$20,258,354 and \$11,942,955 as of June 30, 2025 and June 30, 2024 respectively. The liabilities to the University and the University Health Center are included in accounts payable and accrued expenses in the accompanying statement of financial position. In addition, at the request of the University of Connecticut's Board of Trustees, the Foundation's Board of Directors agreed in 1996 to help fund a deferred compensation package for the University's former President which is included in the Foundation accounts payable and accrued expenses in the accompanying statement of financial position. The liability was \$214,676 and \$249,148 as of June 30, 2025 and 2024, respectively.

The Foundation office building is owned by the Foundation and was constructed on approximately 1.58 acres of land owned by the University, which the University has leased to the Foundation pursuant to the terms of a ground lease (the "Lease") at an annual rental of \$1.00. The initial term of the Lease is ninety-nine years and the Foundation has the right to extend the term of the Lease for ninety-nine additional years. The Lease provides that at its expiration or earlier termination, unless it is extended, the Foundation shall surrender the premises, and title to the building will then vest in the University. The Lease may be terminated by the University upon a breach by the Foundation of any of the terms and conditions of the Lease. The University must notify the Foundation of any such breach and allow 30 days for the Foundation to cure the breach.

NOTE 14 - SUBSEQUENT EVENTS

On October 27, 2025, the revolving line of credit with Webster Bank expired. On October 29, 2025, the Foundation entered into a new line of credit agreement with Wells Fargo Bank for \$25,000,000. No other subsequent events requiring disclosure have occurred through November 3, 2025, the date the financial statements were available to be issued.

Agreement between UConn and the UConn Foundation

EXECUTION VERSION

FIRST AMENDMENT TO THE
SECOND AMENDED AND RESTATED MASTER AGREEMENT
between
THE UNIVERSITY OF CONNECTICUT
and
THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

WHEREAS, the UNIVERSITY OF CONNECTICUT (hereinafter the “University” or “UConn”), Connecticut’s land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (hereinafter the “Foundation”), a Connecticut non-stock corporation that is exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the “Code”), entered into an agreement effective July 1, 2014 (the “Original Agreement”) to outline the relationship between the parties and to assure compliance with the provisions of CONN. GEN. STAT. § 4-37e et seq., as they may be amended, and other applicable laws;

WHEREAS, pursuant to the Original Agreement the University designated the Foundation to assume primary responsibility for the University’s development efforts and the investment and administration of endowment funds established to benefit the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Addendum to Master Agreement, effective as of April 1, 2015, pursuant to which, among other things, the University designated the Foundation to assume primary responsibility for performing alumni relations activities in support of the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Amended and Restated Master Agreement, effective July 1, 2015 and, subsequently the Second Amended and Restated Master Agreement, effective July 1, 2020 (“Current Agreement”), whereby the Original Agreement and its Addenda were amended and restated in their entirety to set forth the terms on which the Foundation would continue for a period of five (5) years to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, the mission of the Foundation is to solicit, receive, invest and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University (inclusive of the University’s Health Center), and, to this end, to support the University’s alumni relations activities; and the Foundation operates exclusively to promote the educational, research, cultural, and recreational objectives of the University; and as a primary fundraising vehicle to solicit and administer private gifts and grants which will enhance the University’s mission, the Foundation supports the University’s pursuit of excellence in teaching, research and public service;

Agreement between UConn and the UConn Foundation continued

WHEREAS, the University and the Foundation desire to amend the terms on which the Foundation will continue to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities and to extend the Current Agreement through June 30, 2030 (“Extension Period”); and

WHEREAS, Section 11.2 of the Current Agreement provides that it may be amended from time to time upon mutual written agreement of the parties, approval of their respective governing boards and approval as to form by the Office of the Attorney General;

NOW, THEREFORE, upon execution of this First Amendment (the “Amendment”) by the parties, the Current Agreement is hereby amended and extended as follows effective as of June 30, 2025:

1. Section 2.2 is hereby amended by deleting the reference to “the UConn Law School Foundation”.
2. Section 3.4 is hereby deleted in its entirety and replaced with “3.4 Intentionally Omitted” for purposes of maintaining section numbering.
3. Section 5.2 is hereby deleted in its entirety and replaced with the following:

“5.2 Annually, the President of the University shall certify to the Foundation a list (which shall be updated as changes occur) of University employees who are authorized to request disbursements from the Foundation (“Authorized Officials”). Requests for disbursements by the Foundation from an Authorized Official, including, but not limited to, any charges applied to a Foundation credit card, shall constitute a representation and certification by the Authorized Official that the disbursement is being made in accordance with University policies and procedures.”
4. Section 5.4 is hereby deleted in its entirety and replaced with the following:

“5.4 The Foundation agrees to provide to the University, on an annual basis, a summary of all fund balances held for the benefit of the University, disbursements provided to the University, and expenditures made on behalf of the University to third parties during the preceding twelve (12) months.”
5. Section 7.1(b) regarding the “Endowment Administrative Fee” assessed by the Foundation on endowed funds is hereby deleted in its entirety and replaced with the following:

“7.1(b) Advancement Fee. The Foundation may assess an endowment administrative fee (“Advancement Fee”) on Foundation endowment and University endowment funds as determined in the Foundation’s discretion. The current rate for such fees will be provided in a SOW.”

Agreement between UConn and the UConn Foundation continued

6. Section 7.1(f) is added as the new and final subsection of Section 7.1 as follows:

“7.1(f) Other Support: As agreed by the University and Foundation, an SOW may provide for special projects or initiatives and any additional terms related thereto.”

7. Section 10 regarding non-discrimination and Executive Orders is hereby deleted in its entirety and replaced with the following:

“10.1 Nondiscrimination

- a. For purposes of this Section, the following terms are defined as follows:

- i. “Commission” means the Commission on Human Rights and Opportunities;
- ii. “Contract” and “contract” include any extension or modification of the Contract or contract;
- iii. “Contractor” and “contractor” include any successors or assigns of the Contractor or contractor;
- iv. “Gender identity or expression” means a person’s gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person’s physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person’s core identity or not being asserted for an improper purpose.
- v. “good faith” means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. “good faith efforts” shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. “marital status” means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
- viii. “mental disability” means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association’s “Diagnostic and Statistical Manual of Mental Disorders”, or a record of or regarding a person as having one or more such disorders;
- ix. “minority business enterprise” means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs

Agreement between UConn and the UConn Foundation continued

of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. § 32-9n; and

x. “public works contract” means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms “Contract” and “contract” do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

b. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an “affirmative action equal opportunity employer” in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers’ representative

Agreement between UConn and the UConn Foundation continued

of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Conn. Gen. Stat. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

c. Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

d. The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

e. The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

f. The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

Agreement between UConn and the UConn Foundation continued

g. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56.

h. The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

i. Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this Contract demonstrate their understanding of this obligation by (A) having provided an affirmative response in the required online bid or response to a proposal question which asks if the contractor understands its obligations under

Agreement between UConn and the UConn Foundation continued

such sections, (B) signing this Contract, or (C) initialing this nondiscrimination affirmation in the following box:

10.2 Executive Orders and Other Enactments.

a. All references in this Contract to any Federal, State, or local law, statute, public or special act, executive order, ordinance, regulation or code (collectively, “Enactments”) shall mean Enactments that apply to the Contract at any time during its term, or that may be made applicable to the Contract during its term. This Contract shall always be read and interpreted in accordance with the latest applicable wording and requirements of the Enactments. Unless otherwise provided by Enactments, the Contractor is not relieved of its obligation to perform under this Contract if it chooses to contest the applicability of the Enactments or the University’s authority to require compliance with the Enactments.

b. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of this Contract as if they had been fully set forth in it.

c. This Contract may be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services. If applicable, Executive Order No. 14 is deemed to be incorporated into and made a part of this Contract as if fully set forth in it.”

8. Section 11.1 is hereby amended by deleting the name “UConn Nation Proud” and replacing it with “UConn Strong.”

9. Section 11.5 is hereby amended to extend the term of the Agreement by five (5) years thereby changing the expiration date to June 30, 2030.

[signature page follows]

Agreement between UConn and the UConn Foundation continued

If there shall be any inconsistency between the provisions of this Amendment and the Current Agreement, this Amendment shall control.

FOR THE UNIVERSITY OF CONNECTICUT



Radenka Maric, PhD
President, University of Connecticut

6-25-25

Date



Jeffrey P. Geoghegan
Executive Vice President for Finance
and Chief Financial Officer, University of Connecticut
and UConn Health

6-25-25

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

Craig W. Ashmore
Chair, The University of Connecticut
Foundation, Incorporated

Date

Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

Date

APPROVED AS TO FORM


Jeffrey Zeman

Assistant Attorney General,
Connecticut State Attorney General's Office

Date

Agreement between UConn and the UConn Foundation continued

If there shall be any inconsistency between the provisions of this Amendment and the Current Agreement, this Amendment shall control.

FOR THE UNIVERSITY OF CONNECTICUT

Radenka Maric, PhD
President, University of Connecticut

Date

Jeffrey P. Geoghegan
Executive Vice President for Finance
and Chief Financial Officer, University of Connecticut
and UConn Health

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED


Craig Ashmore (Jun 25, 2025 15:57 CDT)

Craig W. Ashmore
Chair, The University of Connecticut
Foundation, Incorporated

06/25/2025
Date


Amy Yancey (Jun 25, 2025 16:55 EDT)

Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

06/25/2025
Date

APPROVED AS TO FORM

Assistant Attorney General,
Connecticut State Attorney General's Office

Date

Whistleblower Policy of the UConn Foundation



WHISTLE BLOWER PROTECTION POLICY

Policy Owner:	Human Resources
Category:	Human Resources
Applies to:	All staff
Approved by:	Board of Directors (Committee: Executive)
Effective Date:	March 4, 2005
Contact:	Director of Human Resources
Official Website:	https://uconnfoundation.sharepoint.com/policies/
Revision History:	November 29, 2018 - Technical corrections updating contact information

Pursuant to Connecticut General Statutes 4-37(j), the Foundation has adopted the following policy for the protection of Foundation employees and the investigation of whistle blower complaints involving corruption, unethical practices, violation of state laws or regulations, mismanagement, gross waste of funds, abuse of authority or danger to public safety occurring ("Complaint(s)").

(1) Investigation of Complaints

Upon receipt of a Complaint in The University of Connecticut Foundation, Inc. the Auditors of Public Accounts ("State Auditors") will notify the President of the Foundation and the President of the University that a complaint has been received, and the Chair of the Foundation Board and the Chair of the Audit Committee of the Foundation Board of Directors will be contacted in accordance with the procedure described below.

- The State Auditors will share all relevant documents and information with the Chair of the Foundation Board and Chair of the Board's Audit Committee without disclosing the complainant's identity.
- The Chair of the Foundation Board and Chair of the Audit Committee will secure independent auditors to investigate the complaint and report on their findings. Agreed upon procedures for the investigation will be developed by the Foundation and the independent auditors, who will seek input from the State Auditors. A copy of such agreed upon procedures will be forwarded to the State Auditors. The independent auditors shall have access to all Foundation documents necessary to investigate such complaints.
- The independent auditors will report their findings and any recommendations to the Chair of the Board and the Chair of the Audit Committee with copies to the Foundation President and Vice President for Finance and Controls as well as to the President of the University.
- The Audit Committee will review all reports and take corrective actions within the Foundation as deemed necessary.



- The Chair of the Audit Committee will furnish the agreed upon procedures report to the State Auditors.
- Either the Foundation or the State Auditors may call for a meeting to discuss the findings.

(2) Prohibition Against Retaliation

Officers and employees of the Foundation are prohibited from taking or threatening to take any personnel action against any Foundation employee who transmits information concerning any such matter.

(3) Prohibition Against False Allegations

Any Foundation employee who is found to have knowingly and maliciously made false charges concerning any Complaint shall be subject to disciplinary action by the Foundation up to and including dismissal.

(4) Provision of Policy to Employees

The Foundation will provide a copy of this Whistle Blower Policy to its employees and will periodically notify employees of its existence and any amendments thereto.

Below are the specific procedures provided by the State Auditors for filing a complaint:

If you would like to file a Complaint pursuant to this policy, you can contact the State Auditors by calling toll free at (800) 797-1702. You can also send the information in writing to:

Auditors of Public Accounts
20 Trinity Street
Hartford, CT 06106-1628
Attention: Patricia Wilson, Administrative Auditor

You will need to provide:

- The name and title of the person/persons you are making the complaint about
- The State office or agency for which they work
- Their address (if available)
- As much information about the alleged misuse or misappropriation as possible

You should state whether you actually observed the violations and, if you did, whether you are willing to sign a sworn statement. If you did not personally observe the violations you should have the names of witnesses who did and information on how to contact them.

The State Auditors request that you provide your name, address and phone numbers, but complaints can be made anonymously if you prefer.



All information concerning the identity of a person or a group of persons making a complaint is strictly confidential under State law and will not be released by the Auditors of Public Accounts.

Conflicts of Interest Policy of UConn Foundation



BOARD OF DIRECTORS STATEMENT REGARDING CONFLICTS OF INTEREST, DUTY OF CARE, AND DUTY OF LOYALTY

Policy Owner:	Office of the General Counsel
Category:	Foundation Governance
Applies to:	Board Members
Approved by:	Board of Directors (Committee: Nominating and Board Governance)
Effective Date:	October 7, 2016
Contact:	General Counsel
Official Website:	
Revision History:	March 10, 2006

General Statement

The University of Connecticut Foundation, Inc. is a non-stock, private corporation organized under Connecticut State law and exempt from federal income tax under Section 501(c)(3) of the federal tax code. The mission of the Foundation is to solicit, secure and manage contributions from the private sector (primarily individuals, corporations and philanthropic foundations) for the benefit of the University of Connecticut, including without limitation the University of Connecticut Health Center, the State's public, land-grant institution of higher education. The Foundation has committed itself to administering its programs and operations in a manner that merits a high level of trust and confidence.

Foundation directors are expected to conduct themselves honestly, ethically, and fairly. They are further expected to fulfill their responsibilities and carry out their duties in such a manner as to inspire and assure the confidence of fellow directors, officers, employees, donors, alumni, University faculty and administrators, friends of the University and all others with whom the Foundation transacts business.

The Foundation acknowledges that there are many ambiguous situations that may arise due to the size and complexity of the organization, the diverse nature of the operations of the Foundation, and the variety of organizations doing business with the Foundation. Situations may arise in which a director may find that his or her interests are in conflict with those of the Foundation and/or that making the proper decision about a particular situation is difficult. On such occasions, the director should seek appropriate guidance from the Chair of the Nominating and Board Governance Committee ("NBG Committee") to assess whether the situation is one that could appear to compromise a director's independence and should not rely solely on the director's own judgment. It is important to the work of the Foundation that its directors avoid even the appearance of impropriety, as well as actual impropriety. This document sets forth the policy, guidelines, and procedure for addressing such conflicts and situations.

Applicable Laws

As a not-for-profit corporation, the Foundation must operate within the laws and regulations of both federal and state government. It is the responsibility of the Foundation's General Counsel, in consultation with outside counsel, to inform the Foundation of these laws and regulations and of any changes. Directors are expected to fulfill their responsibilities and conduct their activities on behalf of the Foundation within the letter, spirit, and intent of applicable laws and regulations.

Conflicts of Interest Policy of UConn Foundation continued

Foundation Ethics

The Foundation endorses and subscribes to the CASE Statement of Ethics for institutional advancement professionals as developed under the leadership of the Council for Advancement and Support of Education, a copy of which is attached.

Duty of Care

Foundation directors owe a duty of care to the Foundation and shall discharge their duties as directors, including as members of a committee: (1) in good faith; (2) with the care an ordinarily prudent person in a like position would exercise under similar circumstances; and (3) in a manner they reasonably believe to be in the best interests of the corporation. Directors shall conduct the affairs of the Foundation acting honestly and lawfully. This duty of care extends to the University as beneficiary of the Foundation. Directors shall be diligent in their duties to the Foundation and shall act reasonably, remain informed, and exercise independent judgment.

In discharging their duties directors are entitled to rely on information, opinions, reports or statements, including financial statements and other financial data, if prepared or presented by: (1) one or more officers or employees of the corporation whom the directors reasonably believe to be reliable and competent in the matters presented; (2) legal counsel, public accountants or other persons as to matters the directors reasonably believe are within the person's professional or expert competence; or (3) a committee of the board of directors of which a director is not a member if the director reasonably believes the committee merits confidence.

Duty of Loyalty

Foundation directors owe a duty of loyalty to the Foundation and the University, and shall not use their Foundation position for personal gain. To that end, a director should give undivided allegiance when making decisions affecting the Foundation. The director's duty of loyalty applies equally whether the director is engaged in Foundation activities or outside activities. The director's duty of loyalty includes, but is not limited to, the director's obligation to protect the confidences of the Foundation and to refrain from engaging in transactions that would create a conflict of interest or the appearance of a conflict of interest.

- Confidentiality. In the course of carrying out their duties and responsibilities, directors will be privy to information that was created, discovered, acquired or developed by or disclosed to the Foundation and, as such, is considered to be confidential and proprietary in nature. This information includes, but is not limited to, research and development data, business plans, expansion plans or proposals, strategic plans, personnel data, financial statements, lists and information about gifts, donors and accounts. Directors shall recognize that they have a legal and ethical duty not to disclose this information, shall hold all such information in strictest confidence and shall agree not to release confidential and proprietary information to anyone outside the Foundation except for authorized purposes or unless required by law.
- Conflict of Interest. A conflict of interest arises in any situation in which a director or a related person is involved in an activity that could adversely affect such director's judgment with respect to the business of the Foundation or otherwise diminish the interest of the Foundation. Generally, a related person includes one's own immediate family members and those of his or her spouse; a person with whom one is living; or a business entity, trust or estate in which one has an interest. Certain conflicts of

Conflicts of Interest Policy of UConn Foundation continued

interest may be approved by the NBG Committee, Executive Committee, or full Board as being in the best interests of the Foundation. Other conflicts, however, may preclude (i) the Foundation or a director from engaging in an activity, or (ii) an individuals from serving as a member of the Foundation Board. Some examples of situations in which a conflict of interest may be present are described in Attachment B to provide guidance. The examples are not intended to identify all potential or actual conflicts of interest.

Continuous Duty to Disclose Actual or Potential Conflicts of Interest

All Foundation directors will be asked to complete and sign a disclosure statement annually. Candidates for director positions shall complete and sign the disclosure statement before their terms begin. The statement will include a description of all material facts relating to any substantive actual or potential conflict of interest for such director by virtue of his or her own activities or that of related persons. Of particular concern are situations in which a director or related person possesses a financial interest not entirely consistent with that of the Foundation, or confidential information which if disclosed could adversely affect the Foundation. Disclosure statements will be provided by and must be returned to the Foundation's President. If, after completing and signing the annual disclosure statement, an apparent, potential or actual conflict arises, the director with the conflict shall notify the Foundation's President in writing. The Foundation President's disclosure statement and any on-going disclosure by the Foundation President shall be made to the Chair of the NBG Committee. All disclosures pursuant to this policy will be treated confidentially.

Addressing Actual and Potential Conflicts of Interest

The following process will be followed in addressing actual and potential conflicts of interest:

- All disclosures made to the Foundation President pursuant to this policy shall be reviewed by the Chair of the NBG Committee.
- If a potential conflict of interest situation involves a pending transaction or action on the part of the Foundation, such potential conflict shall be brought to the attention of the Chair of the NBG Committee prior to any action on the part of the Foundation. The Chair of the NBG Committee shall consult with the director regarding the potential conflict and obtain information necessary for an ordinarily prudent person to make a judgment as to whether a conflict exists.
- The Chair of the NBG Committee shall exercise good faith in determining whether an actual conflict exists and shall provide guidance as to the appropriate course of action if a conflict exists.
- If the Chair of the NBG Committee determines that further review would be prudent, he or she will seek the advice and approval of the full NBG Committee in determining whether such situation or transaction is fair and serves the Foundation's best interests.
- If the NBG Committee determines that further review would be prudent, it shall seek the advice and approval of the full Board or Executive Committee in determining whether such situation or transaction is fair and serves the Foundation's best interests.
- The NBG Committee or the Executive Committee shall refer any such matter to the full Board if either such committee has not under the circumstances been appointed by a majority of disinterested directors.
- The Chair of the NBG Committee, the full NBG Committee, the Executive Committee, or the full Board may consult with the Foundation's General Counsel as appropriate.

Conflicts of Interest Policy of UConn Foundation continued

Restraint on Participation

Directors have special fiduciary responsibilities that require them to discuss and make decisions concerning transactions undertaken by the Foundation. Directors who have declared or have been deemed to have a conflict of interest must refrain from consideration of proposed transactions, unless for special reason the NBG Committee, Executive Committee, or Board requests information or interpretations or agrees to waive the conflict. Any director with a conflict may not vote, participate in discussion, nor be present at the time of any vote on the proposed action or transaction. The proposed action or transaction in which a conflict of interest has been declared or found to exist must be approved by a majority of the disinterested directors of the NBG Committee, the Executive Committee or, the Board, as appropriate, and the Committee or the Board conducting the vote shall retain detailed minutes of any proceedings involving a potential conflict of interest action or transaction.

Political Activities

Foundation directors should exercise extreme caution whenever it may appear that they are engaging in certain political activities or lobbying on behalf of the Foundation and should consult with the Chair of the NBG Committee or the President prior to any such activity. Directors should be aware that engaging in such activities could threaten the tax-exempt status of the Foundation. Among these activities are the following:

- a) engaging in lobbying on behalf of the Foundation; and
- b) engaging in any political campaign activity on behalf of the Foundation.

Violations of this Policy

If the Board has reasonable cause to believe a director has failed to disclose actual or possible conflicts of interest, it shall inform the director of the basis for such belief and afford the director an opportunity to explain the alleged failure to disclose. If, after hearing the director's response and after making further investigation as warranted by the circumstances, the Board determines the director has failed to disclose an actual or possible conflict of interest, it will take appropriate corrective action.

Administration

The Board has the responsibility for and determines changes to this policy. The NBG Committee has oversight responsibility. The Foundation President is responsible for the implementation and adherence to the policy. The Foundation's Counsel, in consultation with outside counsel, is available for consultation with the President, the NBG Committee, the Executive Committee and the Board, is responsible for matters of interpretation and shall be asked to review the policy periodically for appropriate modifications.

Conflicts of Interest Policy of UConn Foundation continued

Attachment A



COUNCIL FOR ADVANCEMENT
AND SUPPORT OF EDUCATION®

CASE Statement of Ethics

Institutional advancement professionals, by nature of our responsibilities within the academic community, represent our schools, colleges, and universities to the larger society. We have, therefore, a duty to exemplify the best qualities of our institutions and to observe the highest standards of personal and professional conduct.

We conduct ourselves in a manner which is consistent with the best interests of the institution we represent.

Our words and actions embody respect for truth, fairness, free inquiry, and the opinions of others.

We promote the merits of our institutions, and of education generally, respecting both our colleagues and the mission of each institution.

We respect, celebrate, and include all individuals regardless of race, gender, sexual orientation, ethnicity, nationality, physical ability, or age. We uphold the professional reputation of colleague advancement professionals and give credit for ideas, words, or images originated by others.

We communicate clearly and fairly with others, remaining mindful of the importance of representing our institution's mission and interests.

We safeguard privacy rights and confidential information.

We do not grant or accept gifts or services for personal or individual professional gain, nor do we solicit or accept gifts or services for our institutions in which a higher public interest would be violated.

We avoid actual or apparent conflicts of interest and, if in doubt, seek guidance regarding how to proceed.

We report transgressions and/or unethical activities to the appropriate authority in order that the institution take the necessary action.

We bring abusive and/or harassing conduct to the attention of institutional leadership in order that individuals who have been subject to such abuse are protected.

We follow the letter and spirit of pertinent laws and regulations, understanding that those strictures might be different in other countries and cultures.

We align the policies and procedures of our department with those of other departments, in order that the institution can have a holistic and integrated approach to ethical conduct.

We observe these standards and others that apply to our profession and actively encourage colleagues to join us in supporting the highest standards of conduct.

Conflicts of Interest Policy of UConn Foundation continued

Attachment B

Examples of situations presenting potential conflicts of interest

The following are examples of situations in which a conflict may be present. These examples do not represent all potential situations that might give rise to a conflict.

- Purchasing supplies, equipment, or services from a vendor in which a director has an ownership interest or with which a related person might benefit from the transaction.
- A directorship or active participation in an organization that transacts business with the Foundation or whose interests compete with those of the Foundation (such as serving as a member of the governing board, being employed by, or working as a consultant to any other higher educational institution or affiliate, any other hospital or affiliate, or any other nonprofit or for-profit organization located in Connecticut or elsewhere, public or private, which may be in competition with the Foundation and/or the University for state appropriations or other funding, faculty, students, patients, or donors).
- Investment by the Foundation with a firm in which a director or a related person is an owner, officer, board member, partner, employee, or has some other significant beneficial interest.
- Investment by the Foundation with a firm in which another organization has a controlling or significant beneficial interest (other than side by side investor situations) and a director or related person is an owner, officer, board member, partner, employee or has some other significant beneficial interest with the other organization.
- Investment by the Foundation in any investment opportunity of which the Foundation is reasonably aware that a director or a related person already has a personal investment which is material to him or her, or which is material to the size of the overall investment fund. For purposes of materiality a 5% guideline may be considered, however, each situation should be assessed on its own merits.
- Use of information obtained from the Foundation for personal gain or benefit.
- Acceptance by a director or a related person of any personal benefit that results from the execution of the director's duties as a Foundation director and is not otherwise available to the general public. For example, if a director makes an investment in common with the Foundation where:
 - The director became eligible to make the investment solely because of his or her membership on the Board;
 - The director's minimum investment requirement in a particular investment fund was waived because such individual is a member of the Board and the Foundation was also an investor in the fund; or
 - The director first acquired information concerning the investment from the Board and the investment was not widely available to the public, even if the opportunity was one for which the director might subsequently have been an eligible investor.
- Investment by a director or a related person in any investment opportunity of which the director is reasonably aware that the Foundation already has an investment in under circumstances in which:

Conflicts of Interest Policy of UConn Foundation continued

- the investment of a director or a related person is material to him or her, or
- the investment by either the Foundation or director (or a related person) is material in relation to the size of the overall fund.

FY2024 UConn Foundation IRS Form 990

Form 990 Department of the Treasury Internal Revenue Service	** PUBLIC DISCLOSURE COPY ** Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990 for instructions and the latest information.	OMB No. 1545-0047 2023 Open to Public Inspection

A For the 2023 calendar year, or tax year beginning JUL 1, 2023 and ending JUN 30, 2024		
B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	C Name of organization THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. Doing business as Number and street (or P.O. box if mail is not delivered to street address) Room/suite 2390 ALUMNI DRIVE UNIT 3206 City or town, state or province, country, and ZIP or foreign postal code STORRS, CT 06269-3206 F Name and address of principal officer: AMY YANCEY 2390 ALUMNI DR. UNIT 3206, STORRS, CT 06269	D Employer identification number 06-6070722 E Telephone number 860-486-5000 G Gross receipts \$ 97,671,086. H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. See instructions H(c) Group exemption number
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no. ☐ 4947(a)(1) or ☐ 527		
J Website: WWW.FOUNDATION.UCONN.EDU		
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other		
L Year of formation: 1964 M State of legal domicile: CT		

Part I Summary				
	1	Briefly describe the organization's mission or most significant activities: SEE SCHEDULE O		
Activities & Governance	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	29	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	28	
	5	Total number of individuals employed in calendar year 2023 (Part V, line 2a)	246	
	6	Total number of volunteers (estimate if necessary)	225	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	197,908.	
	7b	Net unrelated business taxable income from Form 990-T, Part I, line 11	0.	
Revenue			Prior Year Current Year	
	8	Contributions and grants (Part VIII, line 1h)	99,205,210. 65,431,730.	
	9	Program service revenue (Part VIII, line 2g)	10,366,992. 13,853,302.	
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	24,960,372. 18,244,481.	
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	26,701. 97.	
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	134,559,275. 97,529,610.	
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	46,617,134. 49,891,647.
		14	Benefits paid to or for members (Part IX, column (A), line 4)	0. 0.
		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	21,292,083. 23,190,316.
		16a	Professional fundraising fees (Part IX, column (A), line 11e)	1,079,270. 870,295.
16b		Total fundraising expenses (Part IX, column (D), line 25) 23,320,406.		
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	16,248,542. 20,966,369.	
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	85,237,029. 94,918,627.	
19		Revenue less expenses. Subtract line 18 from line 12	49,322,246. 2,610,983.	
Net Assets or Fund Balances			Beginning of Current Year End of Year	
	20	Total assets (Part X, line 16)	791,405,326. 862,688,618.	
	21	Total liabilities (Part X, line 26)	39,453,139. 39,835,177.	
	22	Net assets or fund balances. Subtract line 21 from line 20	751,952,187. 822,853,441.	

Part II Signature Block					
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.					
Sign Here	Signature of officer	Date			
	DAVID CARNEY, CFO				
Paid Preparer	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed ☐	PTIN
	ERIN COUTURE	<i>Erin Couture</i>	2/14/2025		P01390592
Use Only	Firm's name	Firm's EIN			
	GRANT THORNTON ADVISORS LLC	99-1856619			
	Firm's address	Phone no. (617) 723-7900			
	53 STATE STREET, SUITE 1600				
	BOSTON, MA 02109				

May the IRS discuss this return with the preparer shown above? See instructions		☒ Yes ☐ No
LHA For Paperwork Reduction Act Notice, see the separate instructions.		Form 990 (2023)

FY2024 UConn Foundation IRS Form 990 continued

Form **8868**
(Rev. January 2024)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return or Excise Taxes Related to Employee Benefit Plans

File a separate application for each return.
Go to www.irs.gov/Form8868 for the latest information.

OMB No. 1545-0047

Electronic filing (e-file). You can electronically file Form 8868 to request up to a 6-month extension of time to file any of the forms listed below except for Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts. An extension request for Form 8870 must be sent to the IRS in a paper format (see instructions). For more details on the electronic filing of Form 8868, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-TE and Form 8879-TE for payment instructions.

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Part I - Identification

Type or Print	Name of exempt organization, employer, or other filer, see instructions. THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Taxpayer identification number (TIN) 06-6070722
	Number, street, and room or suite no. If a P.O. box, see instructions. 2390 ALUMNI DRIVE UNIT 3206	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. STORRS, CT 06269-3206	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 4720 (other than individual)	09
Form 4720 (individual)	03	Form 5227	10
Form 990-PF	04	Form 6069	11
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 8870	12
Form 990-T (trust other than above)	06	Form 5330 (individual)	13
Form 990-T (corporation)	07	Form 5330 (other than individual)	14
Form 1041-A	08		

• After you enter your Return Code, complete either Part II or Part III. Part III, including signature, is applicable only for an extension of time to file Form 5330.

• If this application is for an extension of time to file Form 5330, you must enter the following information.

Plan Name _____
Plan Number _____
Plan Year Ending (MM/DD/YYYY) _____

Part II - Automatic Extension of Time To File for Exempt Organizations (see instructions)

The books are in the care of DAVID CARNEY
2390 ALUMNI DRIVE UNIT 3206 - STORRS, CT 06269-3206

Telephone No. 860-486-5000 Fax No. _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four-digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and TINs of all members the extension is for.

1 I request an automatic 6-month extension of time until MAY 15, 20 25, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
☐ calendar year 20 _____ or
☒ tax year beginning JUL 1, 20 23, and ending JUN 30, 20 24

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2024)

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **2**

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III ☒ X

1	Briefly describe the organization's mission: SEE SCHEDULE O
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No If "Yes," describe these changes on Schedule O.
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.
4a	(Code:) (Expenses \$ 17,316,977. including grants of \$ 17,316,977.) (Revenue \$ 0.) SCHOLARSHIPS, AWARDS AND FELLOWSHIPS - THE UNIVERSITY OF CONNECTICUT FOUNDATION, INC. RECEIVES GIFTS ON BEHALF OF DONORS RESTRICTED TO THE SUPPORT OF FINANCIAL AID FOR UNIVERSITY OF CONNECTICUT STUDENTS. TO ENSURE COMPLIANCE WITH ALL UNIVERSITY, FEDERAL AND STATE FINANCIAL AID REQUIREMENTS, THE UNIVERSITY SELECTS THE STUDENT RECIPIENTS AND MAKES THE AWARDS DIRECTLY TO STUDENTS. AFTER RECEIVING APPROPRIATE DOCUMENTATION FROM THE UNIVERSITY, THE FOUNDATION PROVIDES GRANTS TO THE UNIVERSITY TO FUND FINANCIAL AID EXPENDITURES. THE EXPENDITURES ARE FUNDED FROM INVESTMENT INCOME EARNED ON ENDOWMENT FUNDS AND GIFTS RESTRICTED FOR FINANCIAL AID.
4b	(Code:) (Expenses \$ 14,769,257. including grants of \$ 14,769,257.) (Revenue \$ 0.) PROGRAM SERVICES - THE UNIVERSITY OF CONNECTICUT FOUNDATION, INC. RECEIVES GIFTS ON BEHALF OF DONORS RESTRICTED TO THE SUPPORT OF PROGRAMS AT THE UNIVERSITY OF CONNECTICUT. GENERALLY, THE EXPENDITURE IS MADE TO THE VENDOR DIRECTLY BY THE UNIVERSITY WITH THE FOUNDATION THEN PROVIDING A GRANT TO THE UNIVERSITY TO FUND THE EXPENDITURE AFTER RECEIVING APPROPRIATE DOCUMENTATION. OCCASIONALLY THE FOUNDATION WILL PAY THE VENDOR DIRECTLY.
4c	(Code:) (Expenses \$ 11,794,851. including grants of \$ 11,794,851.) (Revenue \$ 0.) SEE SCHEDULE O
4d	Other program services (Describe on Schedule O.) (Expenses \$ 6,010,562. including grants of \$ 6,010,562.) (Revenue \$ 13,853,302.)
4e	Total program service expenses 49,891,647.

Form **990** (2023)

332002 12-21-23

3

07300214 153424 0188914-00004

2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? See instructions	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4 X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9 X	
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10 X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b X	
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c	X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	12a X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	12b	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I. See instructions</i>	17 X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18 X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 4

Part IV Checklist of Required Schedules (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties? (See the Schedule L, Part IV, instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV		X
b A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV		X
c A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in noncash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	X	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19?	X	

Note: All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
1a Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable	119	
b Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable	0	
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	

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Form 990 (2023)

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 5

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

	Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 246		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a X	
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b X	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a X	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c X	
d If "Yes," indicate the number of Forms 8282 filed during the year 7d 1		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	
9 Sponsoring organizations maintaining donor advised funds.		
a Did the sponsoring organization make any taxable distributions under section 4966?	9a	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12 10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b		
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders 11a		
b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O.	13a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b		
c Enter the amount of reserves on hand 13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b	
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see the instructions and file Form 4720, Schedule N.	15	X
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16	X
17 Section 501(c)(21) organizations. Did the trust, or any disqualified or other person engage in any activities that would result in the imposition of an excise tax under section 4951, 4952 or 4953? If "Yes," complete Form 6069.	17	

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Form 990 (2023)

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **6**

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒ X

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year 1a 29		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.		
b Enter the number of voting members included on line 1a, above, who are independent 1b 28		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe on Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe on Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain on Schedule O)

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records
 DAVID CARNEY - 860-486-5000
 2390 ALUMNI DRIVE UNIT 3206, STORRS, CT 06269-3206

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DAVID CARNEY SVP FINANCE AND CFO	40.00 0.00			X				384,883.	0.	59,058.
(2) LAURA PADRON SVP ADVANCEMENT SERVICES	40.00 0.00			X				350,279.	0.	51,948.
(3) MO COTTON KELLY SVP STOCKHOLDER ENGAGEMENT	40.00 0.00				X			334,657.	0.	61,560.
(4) SUZANNE O'CONOR GENERAL COUNSEL	40.00 0.00					X		307,217.	0.	29,627.
(5) PETER LAMOTHE VP FOR DEVELOPMENT HEALTH SCIENCES	40.00 0.00					X		218,073.	0.	50,783.
(6) JENNIFER SARGENT VP FOR ADVANCEMENT SERVICES	40.00 0.00					X		243,447.	0.	23,725.
(7) FRANK GIFFORD AVP DONOR RELATIONS	40.00 0.00					X		198,681.	0.	48,983.
(8) MELISSA MAYNARD AVP FINANCE AND CONTROLLER	40.00 0.00					X		186,538.	0.	45,329.
(9) JONATHAN L. GREENBLATT INTERIM PRESIDENT & CEO (THRU 3/24)	40.00 0.00	X	X					213,246.	0.	17,162.
(10) JACOB LEMON FORMER PRESIDENT & CEO	0.00 0.00						X	151,572.	0.	22,934.
(11) AMY YANCEY PRESIDENT AND CEO (AS OF 01/2024)	40.00 0.00	X		X				0.	0.	0.
(12) CRAIG ASHMORE DIRECTOR, CHAIR	1.00 0.00	X		X				0.	0.	0.
(13) TRISHA BAILEY DIRECTOR (AS OF 10/2023)	1.00 0.00	X						0.	0.	0.
(14) GEORGE BARRIOS DIRECTOR (AS OF 10/2023)	1.00 0.00	X						0.	0.	0.
(15) MARK A. BEAUDOIN DIRECTOR	1.00 0.00	X						0.	0.	0.
(16) ALAN R. BENNETT DIRECTOR	1.00 0.00	X						0.	0.	0.
(17) LORI BIANCAMANO DIRECTOR	1.00 0.00	X						0.	0.	0.

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Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) NOHA H. CARRINGTON DIRECTOR (THRU 10/2023)	1.00 0.00	X						0.	0.	0.
(19) WILLIAM B. CLEMENS, III DIRECTOR (THRU 10/2023)	1.00 0.00	X						0.	0.	0.
(20) SUE A. COLLINS DIRECTOR	1.00 0.00	X						0.	0.	0.
(21) ANGELO DEFAZIO DIRECTOR	1.00 0.00	X						0.	0.	0.
(22) CRAIG A. DOUGLAS DIRECTOR	1.00 0.00	X						0.	0.	0.
(23) RICHARD ELDH DIRECTOR	1.00 0.00	X						0.	0.	0.
(24) AMY J. ERRETT DIRECTOR, TREASURER	1.00 0.00	X		X				0.	0.	0.
(25) JOHN FODOR SECRETARY	1.00 0.00	X		X				0.	0.	0.
(26) DAVID H. FORD DIRECTOR	1.00 0.00	X						0.	0.	0.
1b Subtotal								2,588,593.	0.	411,109.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								2,588,593.	0.	411,109.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

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	Yes	No
3 Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
APOLLOJETS, LLC, 9 EAST 37TH STREET 8TH FLOOR, NEW YORK, NY 10016	CHARTERING SERVICES	1,318,793.
BLACKROCK FINANCIAL MANAGEMENT INC. 40 EAST 52ND STREET, NEW YORK, NY 10022	ADVISORY SERVICES	750,000.
SIMPSON SCARBOROUGH LLC, 2000 DUKE STREET SUITE 300, ALEXANDRIA, VA 22314	MARKETING SERVICES	488,447.
STEPSTONE GROUP LP, 4275 EXECUTIVE SQUARE SUITE 500, LAJOLLA, CA 92037	ADVISORY SERVICES	475,000.
MARKETING SOLUTIONS UNLIMITED 109 TALCOTT ROAD, WEST HARTFORD, CT 06110	MAILING SERVICES	414,453.
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization		16

SEE PART VII, SECTION A CONTINUATION SHEETS

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FY2024 UConn Foundation IRS Form 990 continued

Form 990		THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.		06-6070722						
Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)										
(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) CAROLINA GIRALDO DIRECTOR (THRU 10/2023)	1.00 0.00	X						0.	0.	0.
(28) STEVEN M. GREENSPAN DIRECTOR	1.00 0.00	X						0.	0.	0.
(29) MICHAEL G. KOPPEL DIRECTOR	1.00 0.00	X						0.	0.	0.
(30) BENJAMIN W. MICHELSON DIRECTOR (THRU 10/2023)	1.00 0.00	X						0.	0.	0.
(31) SURESH NAIR DIRECTOR	1.00 0.00	X						0.	0.	0.
(32) JOSEPH E. PARSONS DIRECTOR	1.00 0.00	X						0.	0.	0.
(33) BARBARA POREMBA DIRECTOR	1.00 0.00	X						0.	0.	0.
(34) WILLIAM J. QUINLAN, III DIRECTOR (THRU 10/2023)	1.00 0.00	X						0.	0.	0.
(35) LORI RIISKA DIRECTOR	1.00 0.00	X						0.	0.	0.
(36) ANTHONY RIZZA DIRECTOR	1.00 0.00	X						0.	0.	0.
(37) MICHAEL K. ROSEN DIRECTOR	1.00 0.00	X						0.	0.	0.
(38) LINDSAY SCHINE DIRECTOR	1.00 0.00	X						0.	0.	0.
(39) ROBERT SHERMAN DIRECTOR (AS OF 10/2023)	1.00 0.00	X						0.	0.	0.
(40) PAULA SINGER DIRECTOR	1.00 0.00	X						0.	0.	0.
(41) CURTIS TEARTE DIRECTOR	1.00 0.00	X						0.	0.	0.
(42) MARK VERGNANO DIRECTOR	1.00 0.00	X						0.	0.	0.
(43) KATHLEEN WALSH DIRECTOR	1.00 0.00	X						0.	0.	0.
(44) JAMES F. WHALEN, JR. DIRECTOR	1.00 0.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

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FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 9

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☐

				(A)	(B)	(C)	(D)
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	517,588.			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	64,914,142.			
	g	Noncash contributions included in lines 1a-1f	1g	\$ 6,898,706.			
	h	Total. Add lines 1a-1f		65,431,730.			
Program Service Revenue				Business Code			
	2 a	UNIVERSITY FEE FOR SERVICES	900099	13,500,000.	13,500,000.		
	b	UNIVERSITY ENDOWMENT ADMIN FEE	900099	353,302.	353,302.		
	c						
	d						
	e						
	f	All other program service revenue					
g	Total. Add lines 2a-2f		13,853,302.				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		18,265,491.		197,908.	18,067,583.
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real				
			(ii) Personal				
	6 a	Gross rents	6a				
	b	Less: rental expenses	6b				
	c	Rental income or (loss)	6c				
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities				
			(ii) Other				
	7 a	Gross amount from sales of assets other than inventory	7a				
	b	Less: cost or other basis and sales expenses	7b	21,010.			
	c	Gain or (loss)	7c	-21,010.			
	d	Net gain or (loss)		-21,010.			-21,010.
8 a	Gross income from fundraising events (not including \$ 517,588. of contributions reported on line 1c). See Part IV, line 18	8a	120,563.				
b	Less: direct expenses	8b	120,466.				
c	Net income or (loss) from fundraising events		97.			97.	
9 a	Gross income from gaming activities. See Part IV, line 19	9a					
b	Less: direct expenses	9b					
c	Net income or (loss) from gaming activities						
10 a	Gross sales of inventory, less returns and allowances	10a					
b	Less: cost of goods sold	10b					
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue				Business Code			
	11 a						
	b						
	c						
	d	All other revenue					
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions		97,529,610.	13,853,302.	197,908.	18,046,670.	

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FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **10**

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	49,891,647.	49,891,647.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,658,432.		566,851.	1,091,581.
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	16,236,837.		4,314,244.	11,922,593.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,390,606.		384,713.	1,005,893.
9 Other employee benefits	2,599,785.		699,933.	1,899,852.
10 Payroll taxes	1,304,656.		360,590.	944,066.
11 Fees for services (nonemployees):				
a Management				
b Legal	33,431.		33,431.	
c Accounting	326,557.		326,557.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	870,295.			870,295.
f Investment management fees	12,792,018.		12,792,018.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A), amount, list line 11g expenses on Sch O.)	460,667.		184,909.	275,758.
12 Advertising and promotion	76,327.			76,327.
13 Office expenses	899,277.		104,656.	794,621.
14 Information technology	1,723,451.		966,079.	757,372.
15 Royalties				
16 Occupancy	228,081.		44,736.	183,345.
17 Travel	661,042.		52,000.	609,042.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	83,251.		16,724.	66,527.
20 Interest	394,239.		390,955.	3,284.
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	222,245.		60,612.	161,633.
23 Insurance	181,322.		181,169.	153.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule O.)				
a SPECIAL EVENTS	1,845,423.		109,156.	1,736,267.
b DONOR CULTIVATION	550,335.		2,186.	548,149.
c SERVICES	184,360.		16,125.	168,235.
d EQUIPMENT MAINTENANCE	161,565.		57,417.	104,148.
e All other expenses	142,778.		41,513.	101,265.
25 Total functional expenses. Add lines 1 through 24e	94,918,627.	49,891,647.	21,706,574.	23,320,406.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720)				

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Form **990** (2023)

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FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023)		THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.		06-6070722		Page 11	
Part X Balance Sheet							
Check if Schedule O contains a response or note to any line in this Part X ☐							
		(A) Beginning of year		(B) End of year			
Assets	1	Cash - non-interest-bearing	36,065,436.	1	48,378,386.		
	2	Savings and temporary cash investments		2			
	3	Pledges and grants receivable, net	85,093,631.	3	81,594,823.		
	4	Accounts receivable, net	217,635.	4	187,515.		
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5			
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6			
	7	Notes and loans receivable, net		7			
	8	Inventories for sale or use	7,840.	8	0.		
	9	Prepaid expenses and deferred charges	607,048.	9	486,133.		
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	9,635,806.	10a			
	b	Less: accumulated depreciation	7,319,275.	10b			
	11	Investments - publicly traded securities	2,523,868.	10c	2,316,531.		
	12	Investments - other securities. See Part IV, line 11	205,545,470.	11	281,963,232.		
	13	Investments - program-related. See Part IV, line 11	152,972,452.	12	414,189,887.		
	14	Intangible assets		13			
	15	Other assets. See Part IV, line 11		14			
16	Total assets. Add lines 1 through 15 (must equal line 33)	308,371,946.	15	33,572,111.			
Liabilities	17	Accounts payable and accrued expenses	791,405,326.	16	862,688,618.		
	18	Grants payable	18,425,265.	17	16,081,007.		
	19	Deferred revenue		18			
	20	Tax-exempt bond liabilities		19			
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		20			
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	20,781,847.	21	23,547,525.		
	23	Secured mortgages and notes payable to unrelated third parties		22			
	24	Unsecured notes and loans payable to unrelated third parties		23			
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		24			
	26	Total liabilities. Add lines 17 through 25	246,027.	25	206,645.		
	Net Assets or Fund Balances	27	Net assets without donor restrictions	39,453,139.	26	39,835,177.	
28		Net assets with donor restrictions		27			
29		Capital stock or trust principal, or current funds		28			
30		Paid-in or capital surplus, or land, building, or equipment fund		29			
31		Retained earnings, endowment, accumulated income, or other funds		30			
32		Total net assets or fund balances		31			
33		Total liabilities and net assets/fund balances	751,952,187.	32	822,853,441.		
			791,405,326.	33	862,688,618.		

Form 990 (2023)

FY2024 UConn Foundation IRS Form 990 continued

Form 990 (2023) THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **12**

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	97,529,610.
2	Total expenses (must equal Part IX, column (A), line 25)	2	94,918,627.
3	Revenue less expenses. Subtract line 2 from line 1	3	2,610,983.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	751,952,187.
5	Net unrealized gains (losses) on investments	5	69,233,830.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain on Schedule O)	9	-943,559.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	822,853,441.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2a	X
b Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2b	X
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.	2c	X
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Uniform Guidance, 2 C.F.R. Part 200, Subpart F? _____	3a	X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits _____	3b	

Form **990** (2023)

FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE A (Form 990)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
Attach to Form 990 or Form 990-EZ.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.
Employer identification number 06-6070722

Part I Reason for Public Charity Status. (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

332021 12-21-23

Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Schedule A (Form 990) 2023

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	39,475,294.	59,430,619.	57,328,562.	99,205,210.	65,431,730.	320,871,415.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	39,475,294.	59,430,619.	57,328,562.	99,205,210.	65,431,730.	320,871,415.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						59,485,829.
6 Public support. Subtract line 5 from line 4.						261,385,586.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
7 Amounts from line 4	39,475,294.	59,430,619.	57,328,562.	99,205,210.	65,431,730.	320,871,415.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	9,157,949.	9,740,594.	9,955,348.	12,322,139.	18,067,583.	59,243,613.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	114,612.	38,481.	70,150.	229,307.	120,563.	573,113.
11 Total support. Add lines 7 through 10						380,688,141.
12 Gross receipts from related activities, etc. (see instructions)					12	61,580,242.

13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2023 (line 6, column (f), divided by line 11, column (f))	14	68.66	%
15 Public support percentage from 2022 Schedule A, Part II, line 14	15	70.58	%
16a 33 1/3% support test - 2023. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization			☒
b 33 1/3% support test - 2022. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization			☐
17a 10% -facts-and-circumstances test - 2023. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here . Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization			☐
b 10% -facts-and-circumstances test - 2022. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here . Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization			☐
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			☐

Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule A (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2023 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2022 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2023 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2022 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2023. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2022. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

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Schedule A (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Schedule A (Form 990) 2023

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Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

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Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule A (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 5

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
11a		
b A family member of a person described on line 11a above?		
11b		
c A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
2		
3 By reason of the relationship described on line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
3		

Section E. Type III Functionally Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a	☐ The organization satisfied the Activities Test. Complete line 2 below.	
b	☐ The organization is the parent of each of its supported organizations. Complete line 3 below.	
c	☐ The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).	
2 Activities Test. Answer lines 2a and 2b below.		
a	Yes	No
Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
2a		
b	Yes	No
Did the activities described on line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
2b		
3 Parent of Supported Organizations. Answer lines 3a and 3b below.		
a	Yes	No
Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.		
3a		
b	Yes	No
Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
3b		

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Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule A (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 6

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations			
1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (<i>explain in Part VI</i>). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.			
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035.	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1	
2	Enter 0.85 of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	
7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).			

Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule A (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 7

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - <i>provide details in Part VI</i>)	5
6	Other distributions (<i>describe in Part VI</i>). See instructions.	6
7	Total annual distributions. Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (<i>provide details in Part VI</i>). See instructions.	8
9	Distributable amount for 2023 from Section C, line 6	9
10	Line 8 amount divided by line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2023	(iii) Distributable Amount for 2023
1	Distributable amount for 2023 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2023 (reasonable cause required - <i>explain in Part VI</i>). See instructions.		
3	Excess distributions carryover, if any, to 2023		
a	From 2018		
b	From 2019		
c	From 2020		
d	From 2021		
e	From 2022		
f	Total of lines 3a through 3e		
g	Applied to underdistributions of prior years		
h	Applied to 2023 distributable amount		
i	Carryover from 2018 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2023 from Section D, line 7: \$		
a	Applied to underdistributions of prior years		
b	Applied to 2023 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2023, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, <i>explain in Part VI</i> . See instructions.		
6	Remaining underdistributions for 2023. Subtract lines 3h and 4b from line 1. For result greater than zero, <i>explain in Part VI</i> . See instructions.		
7	Excess distributions carryover to 2024. Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2019		
b	Excess from 2020		
c	Excess from 2021		
d	Excess from 2022		
e	Excess from 2023		

Schedule A (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
(See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

FUNDRAISING EVENTS

2019 AMOUNT: \$ 114,612.

2020 AMOUNT: \$ 38,481.

2021 AMOUNT: \$ 70,150.

2022 AMOUNT: \$ 229,307.

2023 AMOUNT: \$ 120,563.

FY2024 UConn Foundation IRS Form 990 continued

** PUBLIC DISCLOSURE COPY **

Schedule B (Form 990)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Attach to Form 990, 990-EZ, or 990-PF.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2023

Name of the organization

THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number

06-6070722

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2023)

FY2024 UConn Foundation IRS Form 990 continued

Schedule B (Form 990) (2023)

Page **2**

Name of organization THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Employer identification number 06-6070722

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 10,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2		\$ 2,019,039.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

323452 12-26-23

Schedule B (Form 990) (2023)

FY2024 UConn Foundation IRS Form 990 continued

Page 3

Employer identification number	

06-6070722

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____

Schedule B (Form 990) (2023)

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2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

Schedule B (Form 990) (2023)

Page **4**

Name of organization THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Employer identification number 06-6070722

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

323454 12-26-23

Schedule B (Form 990) (2023)

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07300214 153424 0188914-00004

2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE C (Form 990)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under Section 501(c) and Section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

If the organization answered "Yes" on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then:

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then:

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then:

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Employer identification number 06-6070722

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures \$

3 Volunteer hours for political campaign activities

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b \$

4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No

5 Enter the names, addresses, and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990) 2023

LHA 332041 11-06-23

FY2024 UConn Foundation IRS Form 990 continued

Schedule C (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 2

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grassroots lobbying)		0.													
b Total lobbying expenditures to influence a legislative body (direct lobbying)		0.													
c Total lobbying expenditures (add lines 1a and 1b)		0.													
d Other exempt purpose expenditures		94,918,627.													
e Total exempt purpose expenditures (add lines 1c and 1d)		94,918,627.													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000.													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>not over \$500,000.</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>over \$500,000 but not over \$1,000,000.</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>over \$1,000,000 but not over \$1,500,000.</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>over \$1,500,000 but not over \$17,000,000.</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>over \$17,000,000.</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	not over \$500,000.	20% of the amount on line 1e.	over \$500,000 but not over \$1,000,000.	\$100,000 plus 15% of the excess over \$500,000.	over \$1,000,000 but not over \$1,500,000.	\$175,000 plus 10% of the excess over \$1,000,000.	over \$1,500,000 but not over \$17,000,000.	\$225,000 plus 5% of the excess over \$1,500,000.	over \$17,000,000.	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
not over \$500,000.	20% of the amount on line 1e.														
over \$500,000 but not over \$1,000,000.	\$100,000 plus 15% of the excess over \$500,000.														
over \$1,000,000 but not over \$1,500,000.	\$175,000 plus 10% of the excess over \$1,000,000.														
over \$1,500,000 but not over \$17,000,000.	\$225,000 plus 5% of the excess over \$1,500,000.														
over \$17,000,000.	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000.													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0.													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0.													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.
See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2020	(b) 2021	(c) 2022	(d) 2023	(e) Total
2a Lobbying nontaxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000.
c Total lobbying expenditures	30,000.	60,000.	40,000.	0.	130,000.
d Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
f Grassroots lobbying expenditures	30,000.	60,000.	40,000.	0.	130,000.

Schedule C (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION

Page 3

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? ..			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to not be described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	3	

Part III-B	Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditures next year?		
		4	
5	Taxable amount of lobbying and political expenditures. See instructions	5	

Part IV	Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number
06-6070722

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No		

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (for example, recreation or education)	☐ Preservation of a historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included on line 2a	2c
d Number of conservation easements included on line 2c acquired after July 25, 2006, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year

4 Number of states where property subject to conservation easement is located

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year

8 Does each conservation easement reported on line 2d above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items.

(i) Revenue included on Form 990, Part VIII, line 1 \$

(ii) Assets included in Form 990, Part X \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 \$

b Assets included in Form 990, Part X \$

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2023

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2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

Schedule D (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **2**

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply).
- a** ☐ Public exhibition **d** ☐ Loan or exchange program
- b** ☐ Scholarly research **e** ☐ Other _____
- c** ☐ Preservation for future generations
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☒ No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☒ Yes ☐ No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☒

Part V Endowment Funds Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	573,400,173.	577,688,205.	610,362,228.	471,805,452.	458,742,653.
b Contributions	12,170,725.	19,723,313.	23,332,265.	27,389,147.	13,928,680.
c Net investment earnings, gains, and losses	65,759,869.	8,172,625.	-26,304,296.	132,634,572.	22,234,092.
d Grants or scholarships					
e Other expenditures for facilities and programs	17,784,252.	21,891,014.	20,083,657.	13,442,230.	14,879,947.
f Administrative expenses	9,967,646.	10,292,956.	9,618,335.	8,024,713.	8,220,026.
g End of year balance	623,578,869.	573,400,173.	577,688,205.	610,362,228.	471,805,452.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment .3900 %
- b** Permanent endowment 97.1500 %
- c** Term endowment 2.4600 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) Unrelated organizations?		X
(ii) Related organizations?		X
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		5,897,827.	3,611,152.	2,286,675.
c Leasehold improvements		661,855.	645,511.	16,344.
d Equipment				
e Other		3,076,124.	3,062,612.	13,512.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, line 10c, column (B))				2,316,531.

Schedule D (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule D (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page **3**

Part VII Investments - Other Securities

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A) SECURITIES NOT PUBLICLY TRADED	414,189,887.	END-OF-YEAR MARKET VALUE
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, line 12, col. (B))	414,189,887.	

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, line 13, col. (B))		

Part IX Other Assets

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, line 15, col. (B))	

Part X Other Liabilities

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) LEASE LIABILITY	206,645.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, line 25, col. (B))	206,645.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

Schedule D (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 4

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	152,577,013.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	69,233,830.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	-943,559.
e	Add lines 2a through 2d	2e	68,290,271.
3	Subtract line 2e from line 1	3	84,286,742.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	13,363,334.
b	Other (Describe in Part XIII.)	4b	-120,466.
c	Add lines 4a and 4b	4c	13,242,868.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	97,529,610.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	81,675,759.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	120,466.
e	Add lines 2a through 2d	2e	120,466.
3	Subtract line 2e from line 1	3	81,555,293.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	13,363,334.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	13,363,334.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	94,918,627.

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART IV, LINE 2B:

EXPLANATION OF ESCROW ACCOUNT LIABILITY

THE FOUNDATION IS NAMED AS THE TRUSTEE AND REMAINDER BENEFICIARY OF

SEVERAL CHARITABLE REMAINDER TRUSTS. IN ADDITION, THE FOUNDATION HAS

ENTERED INTO CONTRACTS WITH THE DONORS FOR CHARITABLE GIFT ANNUITIES FOR

WHICH THE FOUNDATION HAS ACCEPTED CONTRIBUTIONS. THE PRESENT VALUE OF THE

LIABILITY FOR THE FUTURE PAYMENTS IS REFLECTED ON THE FOUNDATION'S BALANCE

SHEET.

THE FOUNDATION HAS A CONTRACTUAL ARRANGEMENT TO ACT AS THE UNIVERSITY'S

AGENT IN MANAGING THE UNIVERSITY'S ENDOWMENT ASSETS, ENSURING CONSISTENT

MANAGEMENT OF ENDOWMENT ASSETS THAT SUPPORT THE UNIVERSITY REGARDLESS OF

332054 09-28-23

Schedule D (Form 990) 2023

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2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

Schedule D (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 5
Part XIII Supplemental Information (continued)

ENTITY OWNERSHIP.

PART V, LINE 4:

INTENDED USES OF ENDOWMENT FUND

THE FOUNDATION'S ENDOWMENT FUNDS PROVIDE GRANTS TO THE UNIVERSITY OF CONNECTICUT. THE GRANTS MAY BE USED TO PROVIDE SCHOLARSHIPS TO UNIVERSITY OF CONNECTICUT STUDENTS, COMPENSATION AND RESEARCH SUPPORT FOR UNIVERSITY OF CONNECTICUT ACADEMIC AND ATHLETIC PROGRAMS. THE USE OF ALL ENDOWMENT FUNDS IS SUBJECT TO ANY RESTRICTION PLACED ON FUNDS BY DONORS. ALL DISBURSEMENTS ARE SUBJECT TO THE FOUNDATION'S POLICY ON DISBURSEMENTS, INCLUDING THE AMOUNT OF THE EXPENDITURE MUST BE REASONABLE, FOR A LEGITIMATE BUSINESS PURPOSE, AND WITH NO PRIVATE BENEFIT.

PART X, LINE 2:

THE FOUNDATION HAS A LETTER OF EXEMPTION FROM FEDERAL INCOME TAX FROM THE INTERNAL REVENUE SERVICE UNDER SECTION 501(C) (3) OF THE INTERNAL REVENUE CODE. DUE TO CERTAIN INVESTMENTS, THE FOUNDATION DOES HAVE UNRELATED BUSINESS INCOME, HOWEVER THE FEDERAL AND STATE TAX LIABILITIES HAVE BEEN IMMATERIAL. THE FOUNDATION HAS APPROPRIATE SUPPORT FOR ANY TAX POSITION TAKEN AND BELIEVES IT DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS THAT ARE MATERIAL TO THE FINANCIAL STATEMENTS.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

BAD DEBT EXPENSE -943,559.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

FUNDRAISING EVENTS MOVED TO REVENUE -120,466.

Schedule D (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

	THE UNIVERSITY OF CONNECTICUT FOUNDATION	06-6070722	Page 5
Schedule D (Form 990) 2023 INC.			
Part XIII	Supplemental Information <i>(continued)</i>		

PART XII, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EVENTS MOVED TO REVENUE	120,466.

Schedule D (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization

THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number

06-6070722

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		96,609,431.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENTS		30,589,758.
EAST ASIA AND THE PACIFIC	0	0	FUNDRAISING		182,880.
NORTH AMERICA	0	0	FUNDRAISING		100,433.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		3,524.
SUB-SAHARAN AFRICA	0	0	FUNDRAISING		2,000.
SOUTH AMERICA	0	0	FUNDRAISING		285.
3 a Subtotal	0	0			127,488,311.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	0			127,488,311.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2023

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2023.05050 THE UNIVERSITY OF CONNECT 01889141

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Schedule F (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	06-6070722	Page 4
Part IV Foreign Forms		

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see the Instructions for Form 926)* ☒ Yes ☐ No

- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see the Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☐ Yes ☒ No

- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see the Instructions for Form 5471)* ☒ Yes ☐ No

- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see the Instructions for Form 8621)* ☒ Yes ☐ No

- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see the Instructions for Form 8865)* ☒ Yes ☐ No

- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see the Instructions for Form 5713; don't file with Form 990)* ☐ Yes ☒ No

Schedule F (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION

Schedule F (Form 990) 2023 INC.

06-6070722

Page 5

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART I, LINE 3:

METHOD OF ACCOUNTING

FOREIGN EXPENDITURES ARE SEPARATELY IDENTIFIED ON THE ORGANIZATION'S

BOOKS AND RECORDS.

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FY2024 UConn Foundation IRS Form 990 continued

Schedule G (Form 990) 2023 THE UNIVERSITY OF CONNECTICUT FOUNDATION INC. 06-6070722 Page 2

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		MAYOR O' LEARY'S RETIREMENT RECEIPT (event type)	37TH JOE GIANNELI GOLF CLASSIC (event type)	3 (total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	446,965.	94,535.	96,651.	638,151.
	2 Less: Contributions	426,465.	33,702.	57,421.	517,588.
	3 Gross income (line 1 minus line 2)	20,500.	60,833.	39,230.	120,563.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	41,636.	32,934.	32,929.	107,499.
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	6,425.	5,760.	782.	12,967.
	10 Direct expense summary. Add lines 4 through 9 in column (d)				120,466.
	11 Net income summary. Subtract line 10 from line 3, column (d)				97.

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
	2 Cash prizes				
Direct Expenses	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

FY2024 UConn Foundation IRS Form 990 continued

Schedule G (Form 990) 2023	THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	06-6070722	Page 3

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name _____

Address _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization \$ _____ and the amount of gaming revenue retained by the third party \$ _____

c If "Yes," enter name and address of the third party:

Name _____

Address _____

16 Gaming manager information:

Name _____

Gaming manager compensation \$ _____

Description of services provided _____

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: TAYMAR SALES U, LLC

(I) ADDRESS OF FUNDRAISER: 210 N PINE VALLEY ROAD, WINSTON SALEM, NC 27104

(I) NAME OF FUNDRAISER: SIMPSON SCARBOROUGH

(I) ADDRESS OF FUNDRAISER: 2000 DUKE ST, SUITE 300, ALEXANDRIA, VA 22314

(I) NAME OF FUNDRAISER: FIRSTNAME, LLC

332083 09-13-23

Schedule G (Form 990) 2023

FY2024 UConn Foundation IRS Form 990 continued

(I) ADDRESS OF FUNDRAISER:

7900 XERXES AVENUE SOUTH, SUITE 980, BLOOMINGTON, MN 55431

SECHEDULE G - ADDITIONAL INFORMATION

AS REQUIRED, THE FOUNDATION IS REPORTING ALL EVENTS THAT HAD INCOME OR EXPENSES DURING THE FISCAL YEAR. IT IS POSSIBLE THAT SOME EVENTS MAY HAVE TAKEN PLACE IN THE PRIOR FISCAL YEAR, OR WILL BE HELD IN FUTURE YEAR. AS A RESULT, REVENUE OR EXPENSE AMOUNTS REPORTED FOR THE EVENT MAY NOT BE FINAL, OR PORTIONS MAY HAVE BEEN REPORTED IN THE PRIOR YEAR.

SCHEDULE I (Form 990)		Grants and Other Assistance to Organizations, Governments, and Individuals in the United States Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22. Attach to Form 990. Go to www.irs.gov/Form990 for the latest information.		OMB No. 1545-0047 2023 Open to Public Inspection			
Department of the Treasury Internal Revenue Service		Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.		Employer identification number 06-6070722			
Part I General Information on Grants and Assistance							
1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No							
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.							
Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.							
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CONNECTICUT 352 MANSFIELD ROAD UNIT 2048 STORRS, CT 06269	06-0772160	115	49,891,647.	0.			UNIVERSITY SUPPORT
2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 1.							
3 Enter total number of other organizations listed in the line 1 table 0.							
For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule I (Form 990) 2023							

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

PROCEDURES FOR MONITORING USE OF GRANT FUNDS IN U.S.

FUND ADMINISTRATION STAFF RECEIVES APPROPRIATE DOCUMENTATION PRIOR TO

MAKING DISBURSEMENTS TO ENSURE COMPLIANCE WITH GRANT RESTRICTIONS, AND TO

ENSURE SUCH DISBURSEMENTS ARE REASONABLE.

FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees
Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
Attach to Form 990.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number
06-6070722

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel	☐ Housing allowance or residence for personal use
☐ Travel for companions	☐ Payments for business use of personal residence
☐ Tax indemnification and gross-up payments	☐ Health or social club dues or initiation fees
☐ Discretionary spending account	☐ Personal services (such as maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☐ Compensation committee	☐ Written employment contract
☒ Independent compensation consultant	☒ Compensation survey or study
☐ Form 990 of other organizations	☒ Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? **4a**
- b** Participate in or receive payment from a supplemental nonqualified retirement plan? **4b**
- c** Participate in or receive payment from an equity-based compensation arrangement? **4c**
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? **5a**
- b** Any related organization? **5b**
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? **6a**
- b** Any related organization? **6b**
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2023

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

Schedule J (Form 990) 2023

Page 3

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

NON-FIXED PAYMENTS

THE FOUNDATION MAY GIVE PERFORMANCE-BASED AWARDS BASED ON FORMAL REVIEW AND

WITH APPROVAL OF FOUNDATION MANAGEMENT AND BOARD.

FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization **THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.** Employer identification number **06-6070722**

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	230	6,898,706	FMV
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other ...				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ()				
26 Other ()				
27 Other ()				
28 Other ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part V, Donee Acknowledgement **29** 1

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least 3 years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		X
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

THE UNIVERSITY OF CONNECTICUT FOUNDATION

Schedule M (Form 990) 2023 INC.

06-6070722

Page 2

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

PART I COLUMN (B) IS BASED ON THE NUMBER OF CONTRIBUTIONS.

332142 09-11-23

Schedule M (Form 990) 2023

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FY2024 UConn Foundation IRS Form 990 continued

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
Attach to Form 990 or Form 990-EZ.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2023

Open to Public
Inspection

Name of the organization	THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Employer identification number	06-6070722

FORM 990, PART I, LINE 1 AND PART III, LINE 1:

ORGANIZATION MISSION OR SIGNIFICANT ACTIVITIES

TO OPERATE EXCLUSIVELY FOR CHARITABLE AND EDUCATIONAL PURPOSES, ALL FOR

THE PUBLIC WELFARE, AND TO THIS END TO PROMOTE, ENCOURAGE AND ASSIST

ALL FORMS OF EDUCATION, HEALTHCARE AND RESEARCH AT THE UNIVERSITY OF

CONNECTICUT, INCLUDING WITHOUT LIMITATION, THE UNIVERSITY OF

CONNECTICUT HEALTH CENTER; TO SOLICIT DONATIONS OF, ACCEPT AND RECEIVE

PROPERTIES, MONEYS OR SECURITIES BY VIRTUE OF GIFT, GRANT, BEQUEST,

DEVISE OR OTHERWISE, AND TO HOLD, CONTROL, ADMINISTER, INVEST,

REINVEST, ACCUMULATE, AND GENERALLY CARE FOR ANY AND ALL FUNDS AND

PROPERTY, REAL AND PERSONAL, WHICH FROM TIME TO TIME MAY BE GIVEN,

GRANTED, BEQUEATHED, DEVISED OR OTHERWISE CONVEYED OR MADE AVAILABLE TO

THE CORPORATION EITHER UNCONDITIONALLY, UPON CONDITION OR IN TRUST FOR

SPECIFIED PURPOSES WITHIN THE LIMITATIONS OF THIS CERTIFICATE OF

INCORPORATION; AND TO DISBURSE SUCH FUNDS AND PROPERTY, OR THE INCOME

THEREFROM, IN AIDING, SUPPLEMENTING, IMPROVING AND ENLARGING THE

EDUCATIONAL, CULTURAL, RECREATIONAL, HEALTHCARE AND RESEARCH FACILITIES

AND ACTIVITIES OF THE UNIVERSITY OF CONNECTICUT, INCLUDING WITHOUT

LIMITATION, THE UNIVERSITY OF CONNECTICUT HEALTH CENTER.

FORM 990, PART III, LINE 4C, DESCRIPTION OF PROGRAM SERVICE:

ENDOWED CHAIRS AND PROFESSORSHIPS - THE UNIVERSITY OF CONNECTICUT

FOUNDATION, INC. RECEIVED GIFTS ON BEHALF OF DONORS RESTRICTED TO

SUPPORT OF UNIVERSITY OF CONNECTICUT FACULTY COMPENSATION AND RESEARCH.

TO ENSURE COMPLIANCE WITH ALL UNIVERSITY AND STATE PERSONNEL POLICIES

AND FOR W-2 REPORTING PURPOSES, THE UNIVERSITY PAYS ALL FACULTY

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

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2023.05050 THE UNIVERSITY OF CONNECT 01889141

FY2024 UConn Foundation IRS Form 990 continued

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Page **2**

Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number
06-6070722

DIRECTLY FOR COMPENSATION RELATED ITEMS. AFTER RECEIVING APPROPRIATE
DOCUMENTS FROM THE UNIVERSITY, THE FOUNDATION PROVIDES GRANTS TO THE
UNIVERSITY TO FUND FACULTY COMPENSATION EXPENDITURES. FOR
NON-COMPENSATION EXPENDITURES IN SUPPORT OF FACULTY (E.G. RESEARCH
MATERIALS AND EQUIPMENT), GENERALLY THE EXPENDITURES IS MADE TO THE
VENDOR DIRECTLY BY THE UNIVERSITY WITH THE FOUNDATION THEN PROVIDING A
GRANT TO THE UNIVERSITY TO FUND THE EXPENDITURE AFTER RECEIVING
APPROPRIATE DOCUMENTATION. OCCASIONALLY, THE FOUNDATION WILL PAY VENDOR
DIRECTLY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:
EQUIPMENT, FURNITURE, AND BUILDING IMPROVEMENTS
THE UNIVERSITY OF CONNECTICUT FOUNDATION, INC. RECEIVES GIFTS ON BEHALF
OF DONORS RESTRICTED TO THE SUPPORT OF EQUIPMENT AND FURNITURE
PURCHASES AND BUILDING IMPROVEMENTS. GENERALLY, THE EXPENDITURE IS MADE
TO THE VENDOR DIRECTLY BY THE UNIVERSITY, WITH THE FOUNDATION THEN
PROVIDING A GRANT TO THE UNIVERSITY TO FUND THE EXPENDITURE AFTER
RECEIVING APPROPRIATE DOCUMENTATION. OCCASIONALLY THE FOUNDATION WILL
PAY THE VENDOR DIRECTLY.

EXPENSES \$ 6,010,562. INCL GRANTS OF \$ 6,010,562. REVENUE \$ 13,853,302.

FORM 990, PART VI, SECTION A, LINE 1A:

EXPLANATION OF DELEGATED BROAD AUTHORITY TO COMMITTEE

THE FOUNDATION BOARD HAS GIVEN THE EXECUTIVE COMMITTEE THAT CONSISTS OF THE
CHAIR, THE CHAIR OF THE NOMINATING AND BOARD GOVERNANCE COMMITTEE, THE
PRESIDENT OF THE CORPORATION, THE PRESIDENT OF THE UNIVERSITY, AND THREE OR
MORE AT-LARGE BOARD MEMBERS, FULL POWER AND AUTHORITY AS THE BOARD. THE
EXECUTIVE COMMITTEE MAY MEET AND EXERCISE ALL SUCH POWERS AND AUTHORITY IN

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FY2024 UConn Foundation IRS Form 990 continued

Schedule O (Form 990) 2023

Page **2**

Name of the organization THE UNIVERSITY OF CONNECTICUT FOUNDATION
INC.

Employer identification number
06-6070722

THE INTERIM BETWEEN THE MEETINGS OF THE BOARD. THE EXECUTIVE COMMITTEE MAY
NOT FILL BOARD VACANCIES, AMEND CERTIFICATE OF INCORPORATION, ADOPT, AMEND,
OR REPEAL BYLAWS, APPROVE A PLAN OF MERGER, APPROVE A SALE, LEASE,
EXCHANGE, OR OTHER DISPOSITION OF ALL, OR SUBSTANTIALLY ALL, OF THE
PROPERTY OF A CORPORATION, OR APPROVE A PROPOSAL TO DISSOLVE THE
CORPORATION.

FORM 990, PART VI, SECTION B, LINE 11B:

FORM 990 REVIEW PROCESS

THE FORM IS PREPARED BY GRANT THORNTON ADVISORS LLC AND REVIEWED BY
MANAGEMENT AND THE FOUNDATION'S AUDIT COMMITTEE. THE FORM IS PROVIDED TO
THE ENTIRE BOARD BEFORE IT IS FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS

ANNUALLY, THE FOUNDATION'S BOARD MEMBERS, OFFICERS, AND EMPLOYEES ARE SENT
A COPY OF THE FOUNDATION'S CONFLICT OF INTEREST QUESTIONNAIRE THAT THEY ARE
REQUIRED TO COMPLETE AND RETURN TO THE FOUNDATION. THE RESPONSES ARE THEN
REVIEWED BY THE FOUNDATION'S IN-HOUSE LEGAL COUNSEL, WITH ANY POTENTIAL
CONFLICTS REVIEWED WITH THE NOMINATING AND GOVERNANCE COMMITTEE OF THE
BOARD AND THE FULL BOARD AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 15:

COMPENSATION REVIEW & APPROVAL PROCESS - CEO & TOP MANAGEMENT

THE FOUNDATION'S SALARY STRUCTURE IS MARKET-DRIVEN AND UNDERGOES A
RIGOROUS, PERIODIC REVIEW UNDER WHICH COMPENSATION LEVELS ARE COMPARED TO
ORGANIZATIONS OF SIMILAR SIZE AND MISSION. THE SALARIES AND BENEFITS OF THE
UCONN FOUNDATION'S OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO APPROVAL IN

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Schedule O (Form 990) 2023

Page 2

Name of the organization	THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.	Employer identification number	06-6070722

ADVANCE OF PAYMENT BY A MAJORITY OF DISINTERESTED DIRECTORS ON THE
EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS. THE OFFICERS AND KEY
EMPLOYEES ARE NOT IN A POSITION OF CONTROL WITH RESPECT TO THE COMMITTEE.
THE COMMITTEE RELIES ON APPROPRIATE COMPARABILITY DATA IN DETERMINING THE
REASONABLENESS OF THE COMPENSATION PACKAGES. MINUTES ADEQUATELY DOCUMENTING
THE BASIS FOR THE EXECUTIVE COMMITTEE'S DECISIONS ARE MAINTAINED. THE
APPROVAL OF COMPENSATION PACKAGES OCCURS IN MAY OR JUNE FOR COMPENSATION TO
BE PAID IN THE SUBSEQUENT FISCAL YEAR, OR AS NECESSARY.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
CA,KY,MD,MA,MI,MN,NH,NJ,OR,SC,UT,WV,WI

FORM 990, PART VI, SECTION C, LINE 19:
OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE
THE FOUNDATION'S FINANCIAL STATEMENTS AND CONFLICT OF INTEREST POLICY ARE
POSTED ON THE FOUNDATION'S WEBSITE. THE FOUNDATION'S ARTICLES OF
INCORPORATION, IRS DETERMINATION LETTER, AND BYLAWS ARE AVAILABLE UPON
REQUEST.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:
BAD DEBT EXPENSE -943,559.

OMB No. 1545-0047		2023				
Open to Public Inspection		Employer identification number				
06-6070722						
SCHEDULE R (Form 990)						
Department of the Treasury Internal Revenue Service						
Name of the organization						
THE UNIVERSITY OF CONNECTICUT FOUNDATION INC.						
Related Organizations and Unrelated Partnerships						
Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. Attach to Form 990.						
Go to www.irs.gov/Form990 for instructions and the latest information.						
Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.						
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity	
Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.						
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
						Yes No
For Paperwork Reduction Act Notice, see the Instructions for Form 990.						Schedule R (Form 990) 2023

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[illegible]

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

[illegible]

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to related organization(s)		X
c Gift, grant, or capital contribution from related organization(s)		X
d Loans or loan guarantees to or for related organization(s)		X
e Loans or loan guarantees by related organization(s)		X
f Dividends from related organization(s)		
g Sale of assets to related organization(s)		X
h Purchase of assets from related organization(s)		X
i Exchange of assets with related organization(s)		X
j Lease of facilities, equipment, or other assets to related organization(s)		X
k Lease of facilities, equipment, or other assets from related organization(s)		
l Performance of services or membership or fundraising solicitations for related organization(s)		X
m Performance of services or membership or fundraising solicitations by related organization(s)		X
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		X
o Sharing of paid employees with related organization(s)		X
p Reimbursement paid to related organization(s) for expenses		X
q Reimbursement paid by related organization(s) for expenses		X
r Other transfer of cash or property to related organization(s)		
s Other transfer of cash or property from related organization(s)		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) HORSEBARN HILL INVESTMENT FUND, LTD.	C	40,700,000.FMV	
(2)			
(3)			
(4)			
(5)			
(6)			

FY2024 UConn Foundation IRS Form 990 continued

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

SCHEDULE R - RELATED ORGANIZATIONS

WHILE THE MISSION OF THE FOUNDATION IS TO SUPPORT THE UNIVERSITY, UNDER

IRS INSTRUCTIONS, THE UNIVERSITY DOES NOT MEET THE DEFINITION OF A

"RELATED ORGANIZATION". THE FOUNDATION HAS NINE EX-OFFICIO BOARD

MEMBERS, SIX OF WHOM SERVE BY VIRTUE OF THEIR POSITION AS A UNIVERSITY

EMPLOYEE. NONE OF THE SIX UNIVERSITY EMPLOYEES ARE COUNTED IN

DETERMINING QUORUM AND NONE ARE ENTITLED TO VOTE ON MATTERS BEFORE THE

BOARD. NO COMPENSATION IS PAID BY THE FOUNDATION FOR THEIR SERVICE AS

DIRECTORS.



BYLAWS

Policy Owner:	General Counsel	
Category:	Governance	
Applies to:	Board of Directors and Foundation Staff	
Approved by:	Board of Directors (Committee: Nominating and Board Governance)	
Effective date:	March 4, 2022	
Contact:	General Counsel	
Official Website:		
Revision History:	July 1, 2021	
	October 4, 2019	October 20, 2006
	October 25, 2018	October 21, 2005
	October 20, 2017	October 23, 2003
	June 19, 2015	March 1, 2002
	October 11, 2013	September 23, 2000
	March 12, 2010	September 18, 1998
	November 1, 2010	September 19, 1997

Article I – General

Section 1.1 – Name

The name of the Corporation is THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (the "Corporation").

Section 1.2 – Principal Office

The principal office of this Corporation shall be at such place in the Town of Mansfield, State of Connecticut as the directors shall from time to time designate. The Corporation may have other offices at such other places as the directors may from time to time determine.

Article II – Membership of Board of Directors

Section 2.1 – Board

The activities, property, and affairs of this Corporation shall be managed by the Board of Directors, or by the Executive Committee thereof which may exercise the powers and authority of the Board of Directors as provided under Section 6.3 of these bylaws.

Section 2.2(a) – Membership: General

The Corporation shall have three (3) types of directors namely, elected directors, *ex-officio* directors, and *emeriti* directors.

The terms of these bylaws relate to elected and *ex-officio* directors unless expressly stated otherwise.

Each director shall comply with any conflict of interest policy that may be adopted by the Board.

Section 2.2(b) – Membership: Elected

The Corporation shall have not less than twelve nor more than thirty-six elected directorships. At least forty percent (40%) of the elected members of the Board of Directors shall be former students of the University of Connecticut. Elected directors shall be counted in determining a quorum and shall be entitled to vote. Elected directors shall be elected by action of directors entitled to vote.

Section 2.2(c) – Membership: *Ex-Officio*

The following nine positions with the University of Connecticut or its cited affiliates shall be *ex-officio* members of the Corporation's Board of Directors:

- President of the University
- The University's chief academic officer
- The senior administrator from the University Health Center
- The chief financial officer of the University
- The chief administrator from the Department of Athletics
- Chair of the Institutional Advancement Committee of the University Board of Trustees or any other member of the Institutional Advancement Committee as designated by the Chair of the Institutional Advancement Committee
- President of the Corporation
- A student enrolled at the University and elected by enrolled students
- A faculty member of the University elected by the faculty

The student and faculty representatives shall be selected as directors in accordance with C.G.S. Section 4-37f (4) pursuant to procedures established by the Corporation and the University

Bylaws of the UConn Foundation continued

administration. *Ex-officio* directors shall not be counted in determining a quorum and shall not be entitled to vote on matters before the Corporation's Board of Directors or before any committee or subcommittee thereof except, however, for the President of the Corporation who shall be counted in determining a quorum and shall be entitled to vote on matters before the Board or before any committee or subcommittee thereof unless otherwise provided under these bylaws.

Section 2.2(d) – Membership: *Emeriti* Directors

Elected directors who have completed at least three (3) terms of two (2) years, regularly attended and actively participated in Board and Committee meetings, and provided distinguished leadership to the Corporation through their volunteer and philanthropic activities shall, upon their acceptance subsequent to recommendation by the Nominating and Board Governance Committee and approval by vote of the Board of Directors, become *emeriti* directors.

Emeriti directors shall be encouraged to continue their involvement and engage in activities to help advance the mission of the Corporation. *Emeriti* directors shall not be counted in determining a quorum and shall not be entitled to vote.

Section 2.3 – Term of Office

Elected directors shall be elected to serve staggered terms as provided in the Corporation's certificate of incorporation. Directors may be elected at any regular or annual meeting of the Board, provided that the term of office of directors elected at a regular meeting shall commence on the day following the annual meeting that is subsequent to such regular meeting. If directors are elected at an annual meeting such directors' terms shall commence on the day following such annual meeting. Elected directors' terms shall expire at the second annual meeting following commencement of such directors' terms.

Except as otherwise provided below, all elected directors shall serve terms of two (2) years and shall be eligible to serve no more than five (5) consecutive terms subject to evaluation and renomination by the Nominating and Board Governance Committee. Upon the recommendation of the Nominating and Board Governance Committee, the board may exempt a director from the five (5) consecutive term limit and extend an otherwise term limited director for one (1) additional one (1) year term, if the board determines that extraordinary circumstances warrant an extension, and such extension is in the best interests of the Corporation.

Upon written application of a director made to the Nominating and Board Governance Committee setting forth good and sufficient cause, and with the approval of the Board, leave of absence may be granted excusing such elected director from attending meetings of the Board for no longer than twelve months, said leave not to be charged against such director's term.

The *ex-officio* faculty director's term shall be two years. The faculty directorship shall become vacant if the then servicing faculty director ceases to have faculty status.

Bylaws of the UConn Foundation continued

The term of office as *ex-officio* members of the Board other than the *ex-officio* faculty director shall coincide with respective terms in the cited positions.

Emeriti directors shall hold lifetime terms.

Section 2.4 – Balloting

Elected directors shall be elected by vote of a majority of directors present at a meeting at which a quorum is present. At each meeting at which directors are to be elected, the directors shall each have one vote for each directorship to be filled.

Section 2.5 – Vacancies

Any vacancy among the elected directorships by reason of death, resignation, removal or otherwise, may upon receipt of nominations, be filled for the unexpired portion of the term until the next annual meeting by vote of a majority of the remaining directors at any meeting of directors as prescribed in Section 2.4, even though such remaining directors are less than a quorum, though the number of directors at the meeting is less than a quorum, and though such majority is less than a quorum.

Any vacancy in the *ex-officio* student director or faculty director positions shall be filled for the unexpired term pursuant to election by enrolled students or the faculty, respectively, and subject to 2.2c.

Section 2.6 – Removal

Any elected or *emeriti* director of the Corporation may be removed, with or without cause, at any time by resolution adopted by the affirmative vote of two-thirds of the directors present at a meeting at which a quorum is present, provided that written notice of such removal shall have been given in the notice of the meeting, whether annual, regular or special, in accordance with Sections 4.4 and 4.5.

Further, any elected or *emeriti* director of the Corporation may be removed at any time if a majority of the voting members of the Board, or a majority of the voting members of the Executive Committee acting on behalf of the Board, present at a special meeting at which a quorum is present find that the director has engaged in fraudulent, dishonest or inappropriate conduct and removal is in the best interest of the Corporation, provided that written notice of such special meeting, stating its purpose, shall have been given at least two (2) days prior to the special meeting, and further provided that the director subject to removal shall, within thirty days (30) following notice of such director's removal, be permitted to petition the Board for reinstatement. At such special meeting the burden of proof will be on the Board. Removal may, but is not required to, occur by action by written consent as permitted by Section 5.3.

Article III – Officers

Section 3.1 – General

The officers of the Corporation shall consist of a Chair, Vice Chair, President, Senior Vice President of Finance and Administration, Senior Vice President for Advancement, Secretary, and Treasurer. The directors may appoint, in addition to the foregoing, the following officers: one or more Assistant Treasurers, one or more Assistant Secretaries, and such other officers as the Board of Directors may designate. Any two or more offices may be held by the same person except the offices of Chair, Vice Chair, President and Secretary.

The Chair, Vice Chair, and President shall be members of the Board of Directors. Other officers may, but need not, be members of the Board of Directors.

All officers shall be elected by the Board of Directors for terms and in the manner specified below.

Section 3.2 – Chair

The Chair shall be an elected director and shall preside at all meetings of the Board of Directors and Executive Committee. The Chair shall have and possess all of the powers and duties ordinarily incident to the office or as may be assigned to him or her by the Board of Directors.

The Vice Chair shall preside at all meetings of the Board of Directors and Executive Committee in the absence of the Chair. The Vice Chair shall have and possess all of the powers and duties ordinarily incident to the office of the Chair in the Chair's absence, or as may be assigned by the Chair or by the Board of Directors.

Section 3.3 – Vice Chair

The Vice Chair shall be an elected director and shall preside at all meetings of the Board of Directors and Executive Committee in the absence of the Chair. The Vice Chair shall have and possess all of the powers and duties ordinarily incident to the office or as may be assigned to him or her by the Board of Directors. At the request of the Board Chair, the Vice Chair may also assist in the annual performance assessment of the Corporation's President.

Section 3.4 – President

The President shall be the chief executive officer and chief development officer of the Corporation. The President shall be responsible to and report to the Chair of the Board of Directors. The President shall receive such salary as shall be approved by the Board of Directors, upon recommendation of the Executive Committee, and shall have and possess all of the duties and powers as shall be assigned by the Board of Directors. Notwithstanding any other provision of these bylaws the President of the Corporation shall not be entitled to vote on any matter before

Bylaws of the UConn Foundation continued

the Board or any committee or subcommittee thereof, including without limitation the Executive Committee, that relates to his or her compensation.

Section 3.5 – Senior Vice President of Finance and Administration

The Senior Vice President of Finance and Administration shall be the chief financial officer, oversee the investment functions of the Corporation, and work under the supervision and direction of the President. The Senior Vice President of Finance and Administration shall receive such salary as shall be approved by the Board of Directors upon recommendation of the Executive Committee. The Senior Vice President shall ensure assets are appropriately safeguarded and a proper internal control environment is maintained for the execution and recording of transactions. The Senior Vice President will ensure mandatory reports and filings are made accurately and timely with the appropriate authoritative entities.

Section 3.6 – Senior Vice President for Advancement

The Senior Vice President for Advancement shall be the chief operations officer for the fundraising and advancement division of the Corporation and work under the supervision and direction of the President. The Senior Vice President for Advancement shall receive such salary as shall be approved by the Board of Directors, upon recommendation of the Executive Committee. The Senior Vice President for Advancement shall lead the development and advancement staff and optimize fundraising efforts across all departments of the Corporation.

Section 3.7 – Secretary

The Secretary shall cause to be kept and shall certify the minutes of all meetings of the Board of Directors and Executive Committee. The Secretary shall ensure records of the Corporation are kept in an appropriate manner, shall ensure appropriate notice is given of all meetings of the Board of Directors and its committees, shall be the custodian of the seal of the Corporation and shall carry out such further duties usual to the office of Secretary.

Section 3.8 – Treasurer

The Treasurer shall ensure that timely and accurate financial information is presented to the Board of Directors, and that financial records shall be available for inspection by any director of the Corporation. The Treasurer shall ensure that all reports and records required by law regarding the Corporation's financial status are submitted or retained as required. The Treasurer generally shall cause to be performed all acts incident to the office of Treasurer and shall oversee such further duties as may from time to time be assigned by the Board of Directors.

Section 3.9 – Officer Terms and Vacancies

All officers shall be elected by the Board of Directors at the annual meeting for a one year term, provided that any vacancy or vacancies occurring in any office of the Corporation may be filled for the unexpired term by action of the Board of Directors or the Executive Committee.

Bylaws of the UConn Foundation continued

Section 3.10 – Removal

Any officer of the Corporation may be removed, with or without cause, at any time by resolution adopted by the affirmative vote of directors present holding a majority of the directorships.

Section 3.11 – Remuneration

With the exception of the President, Senior Vice President of Finance and Administration, and Senior Vice President for Advancement who shall receive compensation in their capacity as officers pursuant to the provisions of these bylaws, no directors or officers of the Corporation shall receive any compensation for services as directors or officers.

Section 3.12 – Signatories

The authorized signatories for corporate and legal documents and for the conduct of corporate affairs shall be so authorized by provision in these bylaws or by general and specific resolutions adopted by the Board of Directors from time to time and filed with the minutes of the Corporation.

Article IV – Meetings

Section 4.1 – Regular Meetings of the Board of Directors

There shall be three regular meetings of the Board of Directors each year, one of which shall be the annual meeting. The annual meeting of the Board of Directors for the election of officers, assignment of directors to committees and for the transaction of any other business that may come before such meeting shall be held on such day in the Fall as may be determined by the directors. If the annual meeting is not held as herein prescribed, the election of officers may be held at any meeting thereafter called pursuant to these bylaws.

Section 4.2 – Special Meetings of the Board of Directors

Special meetings of the Board of Directors may be held whenever, in the opinion of the Chair of the Board of Directors, or in the opinion of the President of the Corporation, or in the opinion of at least seven other Directors, the interests of the Corporation shall require such meeting.

Section 4.3 – Committee Meetings

Committees shall hold regular meetings pursuant to a schedule issued by the chair of each committee and pursuant to these bylaws.

Special meetings of the committees may be held upon the call of the committee chair or the President of the Corporation at any time that the attendance or consent of at least a majority of the committee can be obtained.

Bylaws of the UConn Foundation continued

Section 4.4 – Notice of Meeting

Except as provided under Section 2.6, notice for regular and special meetings of the Board of Directors and its committees shall be given not less than 10 days nor more than 50 days prior to said meeting date and shall state the place, day, and hour of the meeting. Each member entitled to vote at said meeting shall receive notice, either by personal delivery, mail, facsimile or other electronic means. Special meetings of the Board of Directors and committees shall contain, in addition to the previously mentioned information, the purpose for which the meeting is called. Meetings of the Board of Directors and its committees shall be held at such place within or outside the State of Connecticut as shall be stated in the notice of the meeting.

Section 4.5 – Waiver of Notice

When notice is required to be given to any director or officer under these bylaws, a waiver thereof in writing signed by the person entitled to that notice, whether before or after the time stated therein, shall be the equivalent to the giving of such notice. The attendance of any person at a meeting without protesting the lack of proper notice prior to the commencement of the meeting shall be deemed a waiver of notice of the meeting.

Section 4.6 – Meeting by Conference Telephone

A member of the Board of Directors or a committee thereof may participate in a meeting of the Board of Directors or of such committees by means of a conference telephone or similar communications equipment enabling all participants in the meeting to hear one another, and such participation in a meeting shall constitute presence in person at such meeting.

Article V – Quorum and Action

Section 5.1 – Quorum

A quorum for action at any meeting of the Board of Directors or its committees shall consist of a majority of the directors of the Board or any such committee possessing the right to vote, except as provided below (i) under Section 6.3 with respect to quorum of the Executive Committee, and (ii) under Section 6.12 with respect to certain *ex-officio* members of committees.

Section 5.2 – Action at a Meeting

The Board of Directors and its committees may act by vote of a majority of the directors or committee members possessing the right to vote and present at a meeting at which a quorum is present at the time of the act, unless the act of a greater number is required by law, the certificate of incorporation, or these bylaws.

Bylaws of the UConn Foundation continued

Section 5.3 – Action by Written Consent

If all the directors, or all members of any committee hereunder, severally or collectively consent in writing to any action to be taken by the Corporation, such action shall be valid corporate or committee action as though it had been authorized at a meeting of the Board of Directors or committee, and the Secretary shall file such consents with the minutes of the Corporation.

Article VI – Committees

Section 6.1 – Committees

There shall be six standing committees:

- Executive Committee
- Advancement Committee
- Audit Committee
- Finance Committee
- Investment Committee
- Nominating and Board Governance Committee

Section 6.2 – Committee Membership – General

Each committee shall be constituted such that a majority of its voting members are elected directors. The Chair and Vice Chair of each standing committee shall be an elected director. The Committee Chair, Committee Vice Chair, and the membership of each committee shall be nominated by the Nominating and Board Governance Committee and appointed by the Board (unless the bylaws provide otherwise). Nominees for Committee Vice Chairs, if any, shall be recommended to the Nominating and Board Governance Committee in such manner as each committee determines.

Section 6.3 – Executive Committee

The Executive Committee shall consist of the Chair, the Vice Chair, the Chair of the Nominating and Board Governance Committee, the President of the Corporation, the President of the University, and three or more at-large Board members. A quorum of the Executive Committee shall consist of a majority of its voting members; provided, however, that: (i) at least the Chair of the Board or the Vice Chair is present; and (ii) at no time shall a quorum of the Executive Committee be less than one-third of all its voting members or less than three of its voting members.

The Executive Committee so appointed, in the interim between the meetings of the Board of Directors, shall have and may exercise all such powers and authority of the Board of Directors, except that the Executive Committee may not (i) fill vacancies on the Board or any Board committee; (ii) amend the certificate of incorporation; (iii) adopt, amend or repeal bylaws; (iv)

Bylaws of the UConn Foundation continued

approve a plan of merger; (v) approve a sale, lease, exchange or other disposition of all, or substantially all, of the property of a corporation; or (vi) approve a proposal to dissolve the Corporation. The responsibilities of the Executive Committee shall include performance of such oversight functions as requested by the full Board including, but not limited to, policies addressing Foundation personnel matters and compensation.

Section 6.4 – Advancement Committee

The Advancement Committee shall consist of at least seven Board members. The responsibilities of the Advancement Committee shall be to review and assist in implementation of communications, marketing, fundraising and alumni engagement programs and strategies.

Section 6.5 – Audit Committee

The Audit Committee shall consist of at least five Board members. The responsibilities of the Audit Committee shall be to: assure the Board that the financial statements reflect the Corporation's financial condition; determine the adequacy of internal controls related to the financial systems; ensure compliance with federal, state and other reporting requirements; and ensure that a process is in place for each committee of the Board and for the Board overall to assess and manage risk relative to their respective responsibilities.

The Audit Committee shall ensure that an independent audit of the corporate fiscal records is performed at least annually. The Audit Committee shall recommend to the Board independent auditors to conduct the annual independent audit, shall approve its scope, and shall meet with the independent auditors to review the annual financial statements and associated recommendations including review of the annual management letter. The Audit Committee shall follow up with management to ensure appropriate actions are implemented. The Audit Committee shall also engage independent auditors or otherwise institute reviews of specific activities, as it deems appropriate.

Section 6.6 – Finance Committee

The Finance Committee shall consist of at least seven Board members. The responsibilities of the Finance Committee shall be to ensure the fiscal stability and long term economic health of the Corporation; review and recommend financial policies to the Board; monitor financial operations; review and approve the annual operating and capital budgets prior to submission to the Board, and monitor Board-approved budgets; review and recommend the incurrence of any indebtedness by the Corporation, and review and monitor compliance with covenants of such indebtedness; ensure that accurate and complete financial records are maintained; and ensure that timely, accurate and meaningful financial information is presented to promote sound understanding by the Board as a whole of the Corporation's finances.

Bylaws of the UConn Foundation continued

Section 6.7 – Investment Committee

The Investment Committee shall consist of at least five Board members. The responsibilities of the Investment Committee shall be to develop investment policies for submission to the Board of Directors. The Investment Committee shall select investment manager(s) and vehicle(s) consistent with Board-approved policy.

The Investment Committee may recommend to the Board of Directors investment agent(s) or consultant(s) to assist in investment manager searches and policy development, and to monitor investment performance and investment policy compliance.

The Investment Committee shall meet not less than four times annually to review performance, investment transactions, investment policies, and policy compliance, utilizing one or more investment consultants if the Committee deems appropriate. The Committee shall receive reports from managers and/or Foundation staff regularly on performance, strategy and significant transactions. The Investment Committee shall meet with each investment manager in compliance with operating guidelines developed by the Investment Committee and with a frequency consistent with the doctrines of prudent investing.

Section 6.8 – Nominating and Board Governance Committee

The Nominating and Board Governance Committee shall consist of at least five Board members. The responsibilities of the Nominating and Board Governance Committee shall be to strengthen the future of the Corporation by engaging in long range planning at the request of the Board; conducting an annual review of the Foundation mission statement and bylaws, and identifying and recruiting candidates for the Board of Directors and the offices of the Corporation.

The Nominating and Board Governance Committee shall submit to the Board of Directors recommended candidates to serve as elected directors and officers of the Corporation as provided in these bylaws. This Committee shall submit to the Board of Directors at least one qualified nominee for each such position to be filled. Additional nominations may be made by any member of the Board of Directors.

This Committee shall nominate members for the various standing committees and each committee's Chair. Nominations will be presented to the Board of Directors by the Chair of the Nominating and Board Governance Committee with sufficient time in advance of the annual meeting each year.

Whenever a vacancy occurs on the Board of Directors whether by death, resignation, removal or termination of requisite status, the individual selected shall be chosen according to Section 2.5. If a majority of elected positions are filled, the vacancy may remain unfilled until the next annual class of nominees is presented to the Board or until such time as it shall be practical to be filled. It will be the responsibility of the Chair of the Nominating and Board Governance Committee to recommend nominees for the vacant position to the Board of Directors. However, the Board may act to appoint a person to fill the vacancy or may elect to leave the vacancy unfilled. The Nominating and Board Governance Committee also shall oversee the following aspects of Board and committee administration: new member orientation; evaluation and self-assessment at

Bylaws of the UConn Foundation continued

committee and full board levels; administration of meeting attendance requirements; assuring the planning of Board retreats as appropriate; and overseeing the conflict of interest disclosure process of the Board.

The Committee shall also submit to the Board of Directors recommended candidates to be approved by the Board of Directors for nomination for election to the University's Board of Trustees as an alumnus trustee as required to meet the Corporation's responsibilities as the University's designated alumni association under C.G.S. 10a-103.

Section 6.9 – Subcommittees

Standing committees may appoint subcommittees as necessary in connection with the respective duties of the committee.

Section 6.10 – Board *Ex-Officio* Committee Members

The Chair and President of the Corporation shall be *ex-officio* members of all standing committees and subcommittees. Such individuals serving as *ex-officio* committee members, however, shall not be entitled to vote and shall not be counted for quorum purposes on any committee except the Executive Committee or a committee on which the Board has appointed such individual as Chair or as a voting member. Such *ex-officio* committee members, moreover, shall not be counted toward satisfaction of the numerical membership requirements of the standing committees provided above.

Section 6.11 – Non-Board Committee and Subcommittee Members

The Board of Directors may appoint non-Board members as consultants or advisory members with non-voting status to any of the standing committees or subcommittees, not including the Executive Committee, when the Board believes their expertise to be helpful to the respective committee, but in no event shall the number of non-Board members exceed the number of Board members on any committee or subcommittee. The designation and appointment of any such committee or subcommittee and delegation thereto of authority shall not operate to relieve the Board of Directors or any individual director of any responsibility imposed upon him or her as a director.

Article VII – Miscellaneous

Section 7.1 – Minutes

The Board of Directors, the Executive Committee, all standing committees and all subcommittees shall keep a record of proceedings and shall report these proceedings to the Board of Directors at or before the regular meeting thereof held next after they have been taken.

Bylaws of the UConn Foundation continued

Section 7.2 – Fiscal Year

The fiscal year of this corporation shall begin on the 1st day of July.

Section 7.3 – Corporate Seal

The seal of this corporation shall have inscribed thereon the name of the corporation and the word "Seal". The use of the seal shall be symbolic only and shall not be required to bind the corporation or to evidence any official act, document or instrument.

Section 7.4 – Annual Audit

At least once during each fiscal year of the Corporation, the directors shall cause to be made an independent audit of the corporate fiscal records, including records of assets, liabilities, gains, losses, receipts, disbursements, investments and other transactions.

Section 7.5 – Rules of Order

The rules contained in Robert's Rules of Order, latest edition, shall govern any meeting of the Board of Directors or any committee or subcommittee thereof, unless otherwise provided under these bylaws or the certificate of incorporation.

Section 7.6 - Executive Sessions

During any regularly called meeting, the Chair of the Board of Directors may call an Executive Session of the Board, and the Chair of any committee may call an Executive Session of such committee, if deemed necessary by such Chair and if the members of the Board or committee concur, for the purpose of discussing any matters of business which the Board or committee may consider confidential or sensitive. Only voting members of the Board of Directors and individuals invited by such Board members may be present during Executive Session. Any action with respect to matters discussed in Executive Session shall be conducted before the full Board or committee, as the case may be.

Section 7.7 – Amendments

Any of these bylaws may be altered, amended or repealed and additional bylaws may be adopted by the Board of Directors at any annual meeting or at any regular or special meeting called for the purpose, provided that written notice of such proposed action shall have been given in the notice of any such meetings, whether annual, regular or special. For purposes of this Section, the act of two-thirds of the directors present at a meeting at which a quorum is present at the time of the act shall be the act of the Board of Directors provided no alteration, amendments or repeal of any provision herein which is substantially similar to any provision contained in the certificate of incorporation shall be effective unless the certificate of incorporation be amended to reflect such alteration, amendment or repeal.

FY2025 Summary of Disbursements to UConn Supported by the UConn Foundation

UConn Foundation Summary Disbursements in Support of the University

Average Amount and Number of Disbursements

July 1, 2024 to June 30, 2025

Description	Equipment		Facilities Construction, Improvements and Related Expenses		Program and Research Support		Undergraduate and Graduate Scholarships, Fellowships and Awards	
	Average Amount	# of Disbursements	Average Amount	# of Disbursements	Average Amount	# of Disbursements	Average Amount	# of Disbursements
Athletics	\$3,856.19	9	\$121,269.44	28	\$6,127.30	425	\$71,929.77	168
Avery Point Regional Campus	\$0.00	0	\$0.00	0	\$1,697.07	6	\$1,128.57	21
Business	\$4,567.87	14	\$702.24	6	\$1,813.63	183	\$1,854.89	629
Center For Excellence In Teaching And Learning	\$0.00	0	\$0.00	0	\$527.29	1	\$4,540.63	7
College of Agriculture, Health and Natural Resources	\$2,014.19	8	\$5,425.64	4	\$999.45	179	\$2,294.71	400
Dental Medicine	\$492.81	5	\$2,303.51	4	\$2,601.88	89	\$1,076.74	120
Education	\$822.70	2	\$108.62	2	\$3,095.11	47	\$1,561.18	301
Engineering	\$9,893.93	17	\$2,904.23	18	\$1,213.89	301	\$2,463.70	872
Executive Vice President Of Health Affairs	\$0.00	0	\$245.00	1	\$13,895.74	7	\$0.00	0
Fine Arts	\$15,072.39	25	\$1,366.96	10	\$2,728.06	116	\$1,009.54	223
Hartford Regional Campus	\$0.00	0	\$0.00	0	\$900.95	24	\$904.82	11
Hillel	\$0.00	0	\$0.00	0	\$7,317.81	2	\$0.00	0
Law	\$6,965.48	11	\$750.39	4	\$644.12	240	\$2,482.79	289
Liberal Arts And Sciences	\$6,413.90	29	\$450.16	17	\$1,430.85	349	\$1,785.41	974
Medicine	\$12,497.37	19	\$125.35	3	\$2,584.59	386	\$2,181.11	212
Nursing	\$4,332.31	1	\$0.00	0	\$1,841.53	46	\$4,487.91	468
Planning Design and Construction	\$0.00	0	\$0.00	0	\$500.00	1	\$0.00	0
Provost & Executive Vice President For Academic Affairs	\$27,708.09	6	\$6,764.27	14	\$1,395.51	163	\$1,623.36	354
School Of Pharmacy	\$5,164.40	4	\$1,286.39	7	\$2,176.15	165	\$1,111.96	161
Social Work	\$0.00	0	\$0.00	0	\$3,990.14	6	\$1,411.59	123
Stamford Regional Campus	\$28,021.65	1	\$0.00	0	\$765.31	9	\$1,084.95	103
Student Life & Enrollment	\$0.00	0	\$5,781.80	4	\$2,199.96	38	\$3,875.24	1,025
Student Success	\$3,116.65	7	\$60.48	3	\$983.87	133	\$1,385.97	176
University	\$4,833.00	1	\$0.00	0	\$9,781.19	45	\$2,857.14	7
University Libraries	\$0.00	0	\$0.00	0	\$1,159.13	60	\$3,000.00	1
Vice President For Global Affairs	\$1,303.83	3	\$707.47	7	\$1,319.92	239	\$2,216.33	135
Vice President For Research	\$0.00	0	\$1,896.05	1	\$2,298.14	29	\$1,766.67	3

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FY2025 Summary of Disbursements to UConn Supported by the UConn Foundation

UConn Foundation Summary Disbursements in Support of the University

Average Amount and Number of Disbursements

July 1, 2024 to June 30, 2025

Description	Equipment		Facilities Construction, Improvements and Related Expenses		Program and Research Support		Undergraduate and Graduate Scholarships, Fellowships and Awards	
	Average Amount	# of Disbursements	Average Amount	# of Disbursements	Average Amount	# of Disbursements	Average Amount	# of Disbursements
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0.00	0	\$0.00	0	\$297.67	4	\$2,000.00	1
Waterbury Regional Campus	\$209.77	1	\$467.46	1	\$1,055.10	29	\$829.86	37
Totals	\$8,674.78	163	\$27,182.40	134	\$2,351.07	3,322	\$4,081.72	6,821

The number of disbursements noted under each unit above represents the number of invoices in a category of that unit. One invoice can cross multiple categories, and each category's disbursement dollar amount includes only the amount allocated to that category.

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Athletics	\$2,268,639
Division of Athletics	\$2,268,639
Head Coach	\$1,946,737
Head Coach, Baseball	\$10,823
Head Coach, Men's Golf Team	\$20,234
Special Payment	\$16,296
Special Payment	\$15,725
Special Payment	\$9,455
Student Services Prog Assist 2	\$78,331
Student Services Prog Assist 2	\$171,038
Business	\$1,929,261
Accounting Department	\$203,109
Assistant Professor	\$31,209
Assistant Professor	\$21,338
Associate Professor	\$24,240
Associate Professor	\$17,350
Professor	\$24,929
Professor	\$39,840
Professor	\$44,203

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
Center for Real Estate & Urban Economic Studies		\$1,929,261
Professor		\$24,240
Connecticut Information Technology Institute		\$24,240
Admin Program Support 4		\$304,608
Director & Associate Professor		\$64,073
Director & Instructor In-Res		\$74,862
Ed Program Coordinator		\$26,877
Instructor In Residence		\$77,542
Finance Department		\$61,254
Academic Technician Ne		\$189,498
Adjunct Faculty		\$5,818
Adjunct Faculty		\$8,709
Admin Program Support 3		\$2,909
Assistant Professor		\$51,915
Professor		\$21,622
Professor		\$18,180
Student Employee - Student Admin Spec		\$70,654
Student Employee - Student Admin Spec		\$2,970
		\$1,476

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
Finance Department		\$1,929,261
		\$189,498
Student Employee - Student Dining Service Trainee		\$2,508
Student Employee - Student Instructional Asst		\$2,737
Management Department		\$165,070
Adjunct Faculty		\$11,593
Adjunct Faculty		\$3,749
Assistant Professor		\$30,639
Business executive (management, administrator)		\$3,749
Distinguished Professor		\$17,133
Prof In Field		\$150
Professor		\$42,230
Professor		\$36,615
Project/Program Specialist		\$4,655
Student Admin Trainee		\$5,899
Student Employee - Lump Sum 1		\$606
Student Employee - Lump Sum 1		\$4,782
Student Employee - Student Admin Asst		\$641

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
Management Department		\$1,929,261
TEMP UNIV SPEC		\$165,070
Marketing Department		\$2,630
Adjunct Faculty		\$9,754
Student Employee - Student Graphics/Illustr Spec		\$1,818
Office of Diversity & Inclusion		\$7,936
Academic Advisor 2		\$103,879
Admin Program Support 2		\$7,737
Admin Program Support 2		\$4,735
Student Employee - Lump Sum 1		\$78,660
Student Employee - Student Acad Serv Asst		\$80
Student Employee - Student Admin Asst		\$317
Student Employee - Student Admin Asst		\$302
Student Employee - Student Admin Asst		\$360
Student Employee - Student Admin Asst		\$762
Student Employee - Student Admin Asst		\$491
Student Employee - Student Admin Spec		\$1,478
Student Employee - Student Admin Spec		\$1,173
Student Employee - Student Admin Trainee		\$762

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
Office of Diversity & Inclusion		\$1,929,261
Student Employee - Student Admin Trainee		\$103,879
Student Employee - Student Admin Trainee		\$491
Student Employee - Student Admin Trainee		\$528
Student Employee - Student Admin Trainee		\$763
Student Employee - Student Admin Trainee		\$213
Student Employee - Student Admin Trainee		\$240
Student Employee - Student IT Help Center Spec		\$412
Student Employee - Student IT Trainee		\$480
Student Employee - Student Orientation Trainee		\$554
Student Employee - Student Peer Counsel Spec		\$2,406
Student Employee - Student Tutoring Trainee		\$480
Student Employee - Student Tutoring Trainee		\$455
Operations & Information Management Department		\$94,171
Associate Dean & Associate Professor		\$24,240
Director & Assistant Professor In Residence		\$621
Distinguished Professor		\$31,347

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
Operations & Information Management Department		\$1,929,261
Professor & Director		\$94,171
School of Business		\$37,963
Academic Specialist Ne		\$834,932
Adjunct Faculty		\$1,978
Assistant Professor		\$7,497
Assistant Professor		\$24,240
Assistant Professor		\$1,212
Assistant Professor		\$14,792
Assistant Professor		\$24,240
Assistant Professor		\$909
Assoc Director & Assoc Prof		\$10,910
Associate Professor		\$24,240
Associate Professor		\$26,967
Associate Professor		\$1,818
Associate Professor		\$2,424
Associate Professor		\$12,301
Business executive (management, administrator)		\$7,497
Dean		\$36,017

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
School of Business		\$834,932
Department Head & Professor		\$42,112
Department Head & Professor		\$38,328
Ed Program Administrator		\$15,180
Ed Program Assistant 2		\$54,449
Extension Instructor and Director of the Investment Management Initiative		\$62,171
Graduate Student Technician		\$3,001
Professor		\$29,286
Professor		\$34,410
Professor		\$24,240
Professor		\$190
Professor		\$30,300
Professor		\$15,193
Professor		\$48,382
Professor		\$48,811
Professor & Director		\$36,356
Public Service Specialist		\$9,086
Public Service Technician		\$38,489

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
School of Business		\$834,932
Student Assistant		\$4,111
Student Employee - Class 4 Default		\$2,200
Student Employee - Class 4 Default		\$2,840
Student Employee - Class 4 Default		\$520
Student Employee - Community Assistant		\$2,449
Student Employee - Student Admin Asst		\$3,300
Student Employee - Student Admin Asst		\$2,801
Student Employee - Student Admin Asst		\$4,969
Student Employee - Student Admin Asst		\$316
Student Employee - Student Res Review Asst Asst		\$2,230
Temp University Specialist Ne		\$55,553
Temp University Specialist Ne		\$11,415
Temporary University Specialist		\$5,061
Uconn Graduate Assistant		\$61
Uconn Graduate Assistant		\$8,337
Uconn Graduate Assistant		\$61
Uconn Graduate Assistant		\$61

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Business	Job Title	Amount
School of Business		\$834,932
Uconn Graduate Assistant		\$61
Uconn Graduate Assistant		\$1,499
Uconn Graduate Assistant		\$61
Center For Excellence In Teaching And Learning		\$10,908
Center For Excellence In Teaching And Learning		\$7,878
Assistant Professor In Residence		\$1,818
Assistant Professor In Residence		\$2,424
Associate Professor		\$1,212
Associate Professor In Residence		\$606
Director & Prof In Res		\$1,818
Institute for Teaching & Learning		\$3,030
Uconn Graduate Assistant		\$3,030
College of Agriculture, Health and Natural Resources		\$288,738
Agricultural & Resource Economics Department		\$32,775
Graduate Student Technician		\$18,753
Graduate Student Technician		\$2,986

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
College of Agriculture, Health and Natural Resources	\$288,738
Agricultural & Resource Economics Department	\$32,775
Graduate Student Technician	\$2,705
Professor	\$4,848
Uconn Graduate Assistant	\$3,484
College of Agriculture, Health and Natural Resources	\$19,969
Associate Professor	\$1,500
Student Employee - Lump Sum 1	\$100
Student Employee - Lump Sum 1	\$100
Student Employee - Lump Sum 2	\$100
Student Employee - Stdnt Lab & Fld Analysis Asst	\$1,167
Student Employee - Stdnt Lab & Fld Analysis Asst	\$1,250
Student Employee - Student Written Comm Asst	\$2,531
Visiting Assistant Extension Educator	\$13,222
Cooperative Extension	\$898
Student Employee - Stdnt Youth Outreach & Ed Asst	\$898

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
College of Agriculture, Health and Natural Resources	\$288,738
Cooperative Extension Centers	\$22,645
Ed Program Assistant 2	\$3,044
Public Service Technician Ne	\$19,601
Department of Natural Resources & the Environment	\$83,065
Associate Professor	\$4,666
Graduate Student Technician	\$8,762
Graduate Student Technician	\$2,588
Graduate Student Technician	\$17,366
Graduate Student Technician	\$17,693
Student Employee - Stdnt Lab & Fid Analysis Asst	\$7,282
Student Employee - Stdnt Lab & Fid Analysis Asst	\$5,865
Student Employee - Stdnt Lab & Fid Analysis Asst	\$3,751
Student Employee - Stdnt Lab & Fid Analysis Asst	\$6,092
Student Employee - Stdnt Lab & Fid Analysis Asst	\$7,710
Student Employee - Student Dining Service Spec	\$404

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
College of Agriculture, Health and Natural Resources	\$288,738
Department of Natural Resources & the Environment	\$83,065
Student Employee - Student Research Trainee	\$1,233
Visiting Assistant Extension Educator	(\$347)
Department of Plant Science and Landscape Architecture	\$5,173
Research Technician Ne	\$856
Student Employee - Stdnt Lab & Fld Analysis Asst	\$3,909
Student Employee - Student Research Trainee	\$408
Kinesiology Department	\$124,212
Admin Program Support 2	\$17,115
Admin Program Support 2	\$7,712
Associate Professor In Residence	\$7,917
Graduate Student Technician	\$17,455
Graduate Student Technician	\$388
Graduate Student Technician	\$289
Post-doctoral Research Associate	\$836
Research Scholar	\$289

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
College of Agriculture, Health and Natural Resources	\$288,738
Kinesiology Department	\$124,212
Research Specialist	\$1,014
Research Technician Ne	\$145
Research Technician Ne	\$34,379
Uconn Graduate Assistant	\$349
Uconn Graduate Assistant	\$8,728
Uconn Graduate Assistant	\$332
Uconn Graduate Assistant	\$468
Uconn Graduate Assistant	\$2,115
Uconn Graduate Assistant	\$163
Uconn Graduate Assistant	\$15,127
Uconn Graduate Assistant	\$8,728
Uconn Graduate Assistant	\$332
Uconn Graduate Assistant	\$332
Dental Medicine	\$224,989
School of Dental Medicine	\$224,989
Assoc Prof/Clinical	\$27,246
Graduate Assistant	\$20,692

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Dental Medicine	\$224,989
School of Dental Medicine	\$224,989
Instructor/Clinical	\$51,490
Prof/Chair Bhvrl Sci/Comm Hlth	\$10,207
Quality Craft Worker (Sign Making)	\$10,916
Research Assistant 1	\$31,920
SP - Research Assistant	\$49,686
SP-Research Assistant	\$14,565
Student Employee - Community Assistant	\$8,268
Education	\$591,764
Educational Leadership Department	\$4,062
Uconn Graduate Assistant	\$4,062
Neag School of Education	\$587,702
Admin Program Support 3	\$28,528
Assistant Professor	\$606
Assistant Research Professor	\$606
Associate Professor	\$16,448
Associate Professor	\$1,818
Associate Professor In Residence	\$1,565

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University
Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Education	Job Title	Amount
Neag School of Education		\$591,764
		\$587,702
Grad Instr Spec		\$13,826
Grad Student Tech		\$7,894
Graduate Assistant		\$1,500
Graduate Student Technician		\$500
Graduate Student Technician		\$44,889
Graduate Student Technician		\$32,555
Graduate Student Technician		\$3,657
Graduate Student Technician		\$1,294
Graduate Student Technician		\$17,455
Graduate Student Technician		\$500
Graduate Student Technician		\$40,981
Graduate Student Technician		\$6,150
Instructor In Residence		\$2,424
Professor		\$26,623
Professor		\$9,650
Professor		\$1,479
Professor		\$3,680
Project/Program Specialist		\$33,440

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Education	Job Title	Amount
Neag School of Education		\$591,764
	Project/Program Specialist	\$587,702
	Research Assistant	\$10,186
	Research Assistant	\$21,579
	Student Employee - Class 1 Default	\$23,749
	Student Employee - Class 4 Default	\$343
	Student Employee - Student Graphics/Illustr Asst	\$139
	Student Employee - Student Peer Counseling Asst	\$936
	Student Employee - Student Translation Spec	\$932
	Temp University Specialist Ne	\$194
	Uconn Graduate Assistant	\$16,195
	Uconn Graduate Assistant	\$10,405
	Uconn Graduate Assistant	\$1,000
	Uconn Graduate Assistant	\$17,724
	Uconn Graduate Assistant	\$9,654
	Uconn Graduate Assistant	\$42,805
	Uconn Graduate Assistant	\$500
	Uconn Graduate Assistant	\$500

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Education	\$591,764
Neag School of Education	\$587,702
Uconn Graduate Assistant	\$5,090
Uconn Graduate Assistant	\$500
Uconn Graduate Assistant	\$16,589
Uconn Graduate Assistant	\$16,589
Uconn Graduate Assistant	\$39,825
Uconn Graduate Assistant	\$9,752
Uconn Graduate Assistant	\$638
Uconn Graduate Assistant	\$7,901
Uconn Graduate Assistant	\$17,455
Uconn Graduate Assistant	\$17,455
Uconn Graduate Assistant	\$1,000
Engineering	\$773,278
College of Engineering	\$581,612
Associate Professor	\$18,755
Ed Program Administrator	\$2,594
Ed Program Administrator	\$4,000
Financial Assistant 1	\$1,280

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Engineering	Job Title	Amount
College of Engineering		\$773,278
Graduate Student Technician		\$581,612
Graduate Student Technician		\$1,362
Graduate Student Technician		\$1,815
Graduate Student Technician		\$3,028
Graduate Student Technician		\$19,307
Graduate Student Technician		\$4,876
Graduate Student Technician		\$5,291
Graduate Student Technician		\$3,007
Graduate Student Technician		\$9,654
Graduate Student Technician		\$10,693
Graduate Student Technician		\$2,733
Graduate Student Technician		\$10,384
Graduate Student Technician		\$2,011
Graduate Student Technician		\$9,551
Graduate Student Technician		\$2,705
Graduate Student Technician		\$5,785
Graduate Student Technician		\$17,455
Graduate Student Technician		\$1,343
Graduate Student Technician		\$2,733

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Engineering	Job Title	Amount
College of Engineering		\$773,278
		\$581,612
	Graduate Student Technician	\$21,247
	Graduate Student Technician	\$4,210
	Graduate Student Technician	\$3,998
	Graduate Student Technician	\$16,673
	Graduate Student Technician	\$10,450
	Graduate Student Technician	\$9,546
	Graduate Student Technician	\$2,831
	Graduate Student Technician	\$833
	Graduate Student Technician	\$454
	Graduate Student Technician	\$2,146
	Graduate Student Technician	\$3,028
	Post-doctoral Research Associate	\$1,356
	Prof In Field	\$7,929
	Professor	\$6,060
	Professor	\$3,752
	Professor	\$6,179
	Professor	\$10,841
	Project/Program Specialist	\$9,960

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Engineering	Job Title	Amount
College of Engineering		\$773,278
	Project/Program Specialist	\$581,612
	Research Scientist	\$11,871
	Research Specialist	\$75,347
	Research Specialist	\$12,120
	Research Specialist	\$864
	Research Technician	\$13,038
	Research Technician Ne	\$5,599
	Research Technician NE	\$576
	Research Technician Ne	\$16,885
	Student Employee - Class 4 Default	\$684
	Student Employee - Class 4 Default	\$1,385
	Student Employee - Lump Sum 1	\$701
	Student Employee - Lump Sum 2	\$4,950
	Student Employee - Lump Sum 2	\$4,950
	Student Employee - Lump Sum 2	\$2,000
	Student Employee - Lump Sum 2	\$4,950
	Student Employee - Lump Sum 2	\$4,500
	Student Employee - Lump Sum 2	\$6,130
	Student Employee - Lump Sum 2	\$6,033

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Engineering	Job Title	Amount
College of Engineering		\$581,612
Student Employee - Lump Sum 2		\$4,950
Student Employee - Lump Sum 2		\$863
Student Employee - Lump Sum 2		\$5,650
Student Employee - Lump Sum 2		\$5,441
Student Employee - Lump Sum 2		\$4,300
Student Employee - Lump Sum 2		\$808
Student Employee - Lump Sum 2		\$3,923
Student Employee - Lump Sum 2		\$15,789
Student Employee - Lump Sum 2		\$3,208
Student Employee - Lump Sum 2		\$3,500
Student Employee - Lump Sum 2		\$5,362
Student Employee - Lump Sum 2		\$462
Student Employee - Lump Sum 2		\$4,000
Student Employee - Stdnt Lab & Fld Analysis Asst		\$3,473
Student Employee - Student Admin Asst		\$1,007
Student Employee - Student Admin Asst		\$5,387
Student Employee - Student Admin Asst		\$462

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Engineering	Job Title	Amount
College of Engineering		\$773,278
	Student Employee - Student Admin Asst	\$581,612
	Student Employee - Student Admin Asst	\$10,958
	Student Employee - Student Admin Asst	\$1,167
	Student Employee - Student Admin Asst	\$1,592
	Student Employee - Student Admin Asst	\$539
	Student Employee - Student Admin Asst	\$528
	Student Employee - Student Admin Asst	\$1,904
	Student Employee - Student Instructional Spec	\$1,367
	Student Employee - Student Instructional Spec	\$4,929
	Student Employee - Student Library Asst	\$2,420
	Student Employee - Student Research Trainee	\$1,500
	Student Employee - Student Tutoring Spec	\$914
	Student Employee - Student Tutoring Spec	\$1,642
	Student Employee - Student Tutoring Spec	\$1,393
	Student Employee - Student Tutoring Spec	\$1,327
	Student Res Review Asst	\$594
	Student Res Review Asst Asst	\$176

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Engineering	\$773,278
College of Engineering	\$581,612
Student Res Review Asst Asst	\$2,164
Temporary University Specialist	\$5,430
Uconn Graduate Assistant	\$1,818
Uconn Graduate Assistant	\$4,000
Uconn Graduate Assistant	\$330
Uconn Graduate Assistant	\$8,252
Uconn Graduate Assistant	\$2,341
Uconn Graduate Assistant	\$8,056
Uconn Graduate Assistant	\$8,683
Uconn Graduate Assistant	\$1,969
Uconn Graduate Assistant	\$2,600
Department of Chemical and Biomolecular Engineering	\$37,737
Asst Prof/Basic Sci	\$7,825
Graduate Student Technician	\$5,097
Graduate Student Technician	\$1,362
Uconn Graduate Assistant	\$23,453

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Engineering	\$773,278
Electrical & Computer Engineering Department	\$24,268
Grad Instr Spec	\$438
Graduate Student Technician	\$17,814
Graduate Student Technician	\$3,185
Professor	\$1,620
Research Specialist	\$1,212
Materials Science & Engineering Department	\$38,204
Graduate Student Technician	\$8,683
Graduate Student Technician	\$14,761
Graduate Student Technician	\$14,760
School of Civil & Environmental Engineering	\$1,212
Associate Professor	\$606
Professor In Residence	\$606
School of Computing	\$90,244
Assistant Professor	\$13,197
Associate Professor	\$20,565
Graduate Student Technician	\$4,386
Professor	\$48,827

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Engineering	\$773,278
School of Computing	\$90,244
Uconn Graduate Assistant	\$3,268
Executive Vice President Of Health Affairs	\$67,170
Health Center Affairs	\$67,170
Assoc Prof/Clinical	\$23,082
Asst Prof/Clinical	\$18,254
Professor/Clinical	\$25,834
Fine Arts	\$168,075
Ballard Institute and Museum of Puppetry (BIMP)	\$10,199
Student Employee - Class 4 Default	\$25
Student Employee - Class 4 Default	\$25
Student Employee - Class 4 Default	\$25
Student Employee - Class 4 Default	\$25
Temporary University Specialist	\$10,049
Uconn Graduate Assistant	\$25
Uconn Graduate Assistant	\$25
Dramatic Arts Department	\$11,884
Temporary University Specialist	\$7,497

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Fine Arts	Job Title	Amount
Dramatic Arts Department		\$168,075
		\$11,884
Uconn Graduate Assistant		\$4,386
Jorgensen Auditorium		\$19,447
Adjunct Faculty		\$909
Assistant Professor		\$909
Assistant Professor In Residence		\$909
Student Employee - Class 4 Default		\$500
Temp University Specialist Ne		\$14,402
Temporary University Specialist		\$1,818
Music Department		\$82,566
Adjunct Faculty		\$606
Adjunct Faculty		\$6,958
Assistant Professor		\$0
Department Head & Professor		\$58,113
Public Service Technician Ne		\$848
Public Service Technician Ne		\$121
Public Service Technician Ne		\$303
Public Service Technician Ne		\$242

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Fine Arts	\$168,075
Music Department	\$82,566
Public Service Technician Ne	\$485
Public Service Technician Ne	\$121
Student Employee - Lump Sum 1	\$5,526
Student Employee - Student Admin Asst	\$503
Uconn Graduate Assistant	\$8,739
School of Fine Arts	\$42,660
Professor	\$42,660
William Benton Museum of Art	\$1,319
Student Employee - Student Museum Asst	\$400
Student Employee - Student Museum Asst	\$253
Student Employee - Student Museum Asst	\$665
Hartford Regional Campus	\$26,388
Hartford Campus	\$26,388
Professor & Director	\$21,346
Temp University Specialist Ne	\$5,042
Law	\$581,535

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Law	\$581,535
School of Law	\$581,535
Associate Dean & Professor	\$13,824
Associate Dean & Professor	\$14,926
Associate Professor - Law	\$4,863
Associate Professor - Law	\$4,863
Director & Assoc Professor-Law	\$26,503
Director & Assoc Professor-Law	\$4,800
Distinguished Professor - Law	\$6,060
Ed Program Assistant 1	\$7,027
Professor - Law	\$7,668
Professor - Law	\$6,390
Professor - Law	\$6,390
Professor - Law	\$6,060
Professor - Law	\$6,390
Professor - Law	\$6,390
Professor - Law	\$6,390
Professor - Law	\$6,060
Professor - Law	\$6,390
Professor - Law	\$18,547

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

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Job Title	Amount
Law	\$581,535
School of Law	\$581,535
Professor of Law	\$5,194
Professor of Law	\$6,390
Professor of Law	\$6,390
SPECIAL PAY LECTURER	\$7,272
Special Payroll Lecturer	\$5,999
Special Payroll Lecturer	\$35,851
Special Payroll Lecturer	\$18,510
Special Payroll Lecturer	\$102
Special Payroll Lecturer	\$2,424
Visiting Asst Professor - Law	\$102,900
Visiting Asst Professor - Law	\$103,296
Visiting Asst Professor - Law	\$118,165
Visiting Asst Professor - Law	\$9,500
Liberal Arts And Sciences	\$1,263,071
Center for Judaic Studies & Contemporary Jewish Life	\$79,631
Adjunct Faculty	\$10,197
Director & Associate Professor	\$55,401

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Center for Judaic Studies & Contemporary Jewish Life	\$79,631
Ed Program Assistant 1	\$13,439
Student Employee - Student Written Comm Spec	\$595
Chemistry Department	\$91,391
Associate Professor	\$8,819
Graduate Student Technician	\$4,543
Graduate Student Technician	\$8,683
Graduate Student Technician	\$829
Graduate Student Technician	\$17,366
Graduate Student Technician	\$15,725
Post-doctoral Research Associate	\$28,935
Research Technician Ne	\$949
Student Employee - Class 4 Default	\$1,140
Student Employee - Community Assistant	\$1,002
Student Employee - Stdnt Lab & Fld Analysis Asst	\$1,122
Student Employee - Student Instructional Spec	\$391

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Chemistry Department	\$91,391
Student Employee - Student Instructional Spec	\$505
Student Employee - Student Tutoring Spec	\$1,382
College of Liberal Arts & Sciences	\$223,759
Academic Specialist	\$334
Assistant Professor	\$6,242
Assistant Professor	\$8,786
Assistant Professor	\$7,579
Associate Professor	\$5,871
Associate Professor	\$113,117
Distinguished Professor	\$1,505
Ed Program Assistant 1	\$24
Graduate Student Technician	\$3,335
Professor	\$13,413
Professor, Anthropology	\$15,406
Research Technician Ne	\$1,693
Student Employee - Stdnt Graphics/Illustr Trainee	\$360

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
College of Liberal Arts & Sciences	\$223,759
Student Employee - Student Research Trainee	\$319
Student Employee - Student Tutoring Asst	\$523
Student Employee - Student Tutoring Asst	\$586
Uconn Graduate Assistant	\$9,792
Uconn Graduate Assistant	\$0
Uconn Graduate Assistant	\$9,253
Uconn Graduate Assistant	\$8,337
Uconn Graduate Assistant	\$1,171
Uconn Graduate Assistant	\$7,430
Uconn Graduate Assistant	\$8,683
Department of Human Development & Family Sciences	\$50,628
Assistant Professor	\$33,608
Graduate Student Technician	\$17,020
Department of Literatures, Cultures and Languages	\$5,000
Professor	\$5,000

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

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Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Ecology & Evolutionary Biology Department	\$69,777
Assistant Research Professor	\$4,536
Ed Program Assistant 2	\$3,578
Graduate Student Technician	\$3,701
Graduate Student Technician	\$13,913
Professor	\$2,396
Professor of EEB	\$4,055
Research Associate 1	\$4,387
Research Technician Ne	\$14,308
Student Employee - Class 4 Default	\$1,867
Student Employee - Stdnt Lab & Fld Analysis Asst	\$3,392
Student Employee - Stdnt Lab & Fld Analysis Asst	\$510
Student Employee - Stdnt Lab & Fld Analysis Asst	\$120
Student Employee - Stdnt Lab & Fld Analysis Asst	\$2,048
Student Employee - Stdnt Lab & Fld Analysis Asst	\$3,244
Student Employee - Stdnt Lab & Fld Analysis Asst	\$2,815

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Ecology & Evolutionary Biology Department	\$69,777
Student Employee - Student Dining Service Trainee	\$23
Student Employee - Student Plant Care Spec	\$2,885
Uconn Graduate Assistant	\$2,000
Economics Department	\$4,189
Research Technician	\$4,189
English Department	\$91,458
Academic Specialist	\$72,720
Project/Program Specialist	\$8,484
Temporary University Specialist	\$8,484
Uconn Graduate Assistant	\$480
Uconn Graduate Assistant	\$630
Uconn Graduate Assistant	\$660
Geography, Sustainability, Community, and Urban Studi	\$6,567
Assistant Professor	\$6,567
History Department	\$202,169
Graduate Student Technician	\$2,755

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
History Department	\$202,169
Professor	\$50,652
Professor	\$59,316
Uconn Graduate Assistant	\$38,814
Uconn Graduate Assistant	\$33,178
Uconn Graduate Assistant	\$17,455
Journalism Department	\$34,865
Assistant Professor	\$1,316
Professor	\$24,599
Research Specialist	\$8,949
Marine Sciences Department	\$362
Technical Operations Manager	\$362
Mathematics Department	\$22,969
Grad Student Tech	\$2,315
Professor	\$5,051
Professor In Residence	\$15,001
Student Employee - Student Instructional Asst	\$602

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Molecular & Cell Biology Department	\$177,461
Assistant Professor	\$2,256
Assistant Professor	\$11,581
Associate Professor	\$5,192
Graduate Student Technician	\$6,681
Graduate Student Technician	\$837
Graduate Student Technician	\$550
Post-doctoral Research Associate	\$14,329
Professor	\$135,757
Professor	\$61
Professor	\$198
Professor	\$19
Physiology & Neurobiology Department	\$10,748
Research Technician Ne	\$10,748
Political Science Department	\$17,178
Professor	\$4,848
Student Employee - Class 4 Default	\$624
Student Employee - Stdnt Facility Monitoring Asst	\$188

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Political Science Department	\$17,178
Student Employee - Student Admin Asst	\$384
Student Employee - Student Athl Fac Monitor Asst	\$1,032
Student Employee - Student Research Trainee	\$893
Student Employee - Student Research Trainee	\$858
Student Employee - Student Research Trainee	\$460
Student Employee - Student Research Trainee	\$1,004
Student Employee - Student Research Trainee	\$800
Student Employee - Student Research Trainee	\$392
Student Employee - Student Research Trainee	\$471
Student Employee - Student Research Trainee	\$932
Student Employee - Student Research Trainee	\$1,235
Student Employee - Student Research Trainee	\$1,485

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Political Science Department	\$17,178
Student Employee - Student Research Trainee	\$1,573
Psychological Sciences Department	\$11,537
Graduate Student Technician	\$1,053
Graduate Student Technician	\$681
Uconn Graduate Assistant	\$9,803
School of Public Policy	\$34,064
Research Technician Ne	\$15,590
Research Technician Ne	\$1,110
Research Technician Ne	\$11,312
Uconn Graduate Professional Intern	\$6,052
Social and Critical Inquiry	\$17,869
Student Employee - Student Admin Asst	\$992
Student Employee - Student Admin Asst	\$5,026
Student Employee - Student Written Comm Asst	\$152
Uconn Graduate Assistant	\$11,700

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Liberal Arts And Sciences	\$1,263,071
Statistics Department	\$33,945
Distinguished Professor	\$17,441
Uconn Graduate Assistant	\$16,504
The Department of Speech, Language, and Hearing Sciences	\$77,504
Associate Professor	\$22,314
Student Employee - Student Acad Serv Asst	\$1,897
Uconn Graduate Assistant	\$14,479
Uconn Graduate Assistant	\$38,814
Medicine	\$2,673,958
School of Medicine	\$2,673,958
Admin Program Manager 2	\$3,790
Assistant Director, Office of Health Career Opportunity Programs	\$79,404
Assoc Prof/Basic Sci	\$5,279
Assoc Prof/Basic Sci	\$24,854
Assoc Prof/Clinical	\$20,913
Asst Prof/Basic Sci	\$123,797
Asst Prof/Clinical	\$20,016

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Medicine	Job Title	Amount
School of Medicine		\$2,673,958
Asst Prof/Clinical		\$2,673,958
Asst Prof/Clinical		\$31,633
Asst Prof/Clinical		\$9,669
Asst Prof/Clinical		\$26,856
Chair/Prof, Dept of Orthopedic Surgery; Co-Dir, Comp. Spine Center; Prog. Dir., UConn Spine Surgery		\$55,462
Clinical Research Assoc 1		\$5,294
Clinical Research Assoc 1		\$9,857
Clinical Research Asst 3		\$2,328
Dean, UConn School of Medicine		\$129,593
Dept Head, OB/GYN-Chairman Obstetrics and Gynecology		\$50,381
Dept Head, Psychiatry		\$29,598
Director, Neag Cancer Cntr		\$66,102
Director, Cntr Regen Med & Skel		\$78,804
Director, UConn Cntr on Aging		\$85,569
Graduate Assistant		\$12,959
Graduate Assistant		\$42,636
Graduate Assistant		\$20,281

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Medicine	Job Title	Amount
School of Medicine		\$2,673,958
		\$2,673,958
	Graduate Assistant	\$10,168
	Graduate Assistant	\$783
	Graduate Assistant	\$4,741
	Graduate Assistant	\$882
	Graduate Assistant	\$39,384
	Graduate Assistant	\$24,346
	Graduate Assistant	\$8,685
	Graduate Assistant	\$4,771
	Graduate Assistant	\$5,297
	Head of Dept/Basic Sci	\$101,883
	Head of Dept/Basic Sci	\$118,868
	Head of Dept/Basic Sci	\$44,566
	Head of Dept/Clinical	\$141,169
	Head of Dept/Clinical	\$56,448
Professor, Basic Sciences	Professor, Basic Sciences	\$59,167
	Professor, Basic Sciences	\$43,900
	Professor, Basic Sciences	\$63,007
	Professor, Department of Cell Biology	\$46,126

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Medicine	Job Title	Amount
School of Medicine		\$2,673,958
Professor/Basic Sci		\$2,673,958
Professor/Basic Sci		\$112,789
Professor/Basic Sci		\$55,804
Professor/Basic Sci		\$44,666
Professor/Clinical		\$46,432
Professor/Clinical		\$81,459
PROFESSOR/CLINICAL		\$98,615
Project/Program Specialist		\$59,016
Research Assistant 1		\$19,192
Research Assistant 1		\$59,790
Research Assistant 2		\$76,027
RESEARCH ASSOCIATE 1		\$29,581
Research Associate 1		\$48,723
Research Nurse		\$2,484
Senior Assoc Dean, Resrch Plan		\$46,125
Social Worker 2		\$55,802
SP-Research Assistant		\$8,942
SP-Research Assistant		\$35,443

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Medicine	Job Title	Amount
School of Medicine		\$2,673,958
Student Employee - Student Trainee	Acad Serv	\$324
Student Employee - Student Admin Asst		\$1,291
Student Employee - Student Museum Asst		\$1,970
Student Employee - Student Peer Counsel Spec		\$359
Student Employee - Student Peer Counsel Spec		\$191
Student Employee - Student Peer Counsel Spec		\$391
Student Laborer		\$1,956
Student Services Prog Mngr 1		\$6,999
Uconn Graduate Assistant		\$14,462
Univ Post Doc Fellow 1		\$1,389
Univ Post Doc Fellow 1		\$1,556
Univ Post Doc Fellow 1		\$1,353
Univ Post Doc Fellow 1		\$1,663
Univ Post Doc Fellow 1		\$49,900

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Nursing	\$2,140,319
Elisabeth DeLuca School of Nursing	\$2,140,319
Academic Advisor 1	\$102,600
Academic Advisor 2	\$124,120
Admin Program Support 3	\$51,300
Assistant Clinical Professor	\$188,648
Clinical Instructor	\$127,685
Clinical Instructor	\$127,685
Clinical Instructor	\$137,379
Clinical Instructor	\$123,217
Clinical Instructor	\$135,941
Clinical Instructor	\$118,052
Equipment Technician 2	\$86,987
Functional Specialist	\$92,800
Functional Specialist	\$9,208
Special Payroll Lecturer	\$118,052
Special Payroll Lecturer	\$123,217
Special Payroll Lecturer	\$122,480
Special Payroll Lecturer	\$49,865
Special Payroll Lecturer	\$118,052

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UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

as a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Nursing	\$2,140,319
Elisabeth DeLuca School of Nursing	\$2,140,319
Student Services Prog Mngr 2	\$183,030
Provost & Executive Vice President For Academic Affairs	\$1,527,323
Enrichment Programs	\$39,890
Admin Program Manager 2	\$4,952
Student Employee - Student Admin Asst	\$980
Student Services Prog Mngr 1	\$9,482
Uconn Graduate Assistant	\$5,759
Uconn Graduate Assistant	\$18,716
Humanities Institute	\$19,407
Uconn Graduate Assistant	\$19,407
Institute of Materials Science	\$13,603
Associate Professor	\$6,060
Graduate Student Technician	\$7,544
Krenicki Institute	\$163,327
Academic Assistant	\$7,497
Associate Professor In Residence	\$28,183

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Provost & Executive Vice President For Academic Affairs	\$1,527,323
Krenicki Institute	\$163,327
Director & Associate Professor	\$28,818
Ed Program Assistant 1	\$54,253
Graduate Student Technician	\$8,772
Student Employee - Class 4 Default	\$6,040
Student Employee - Student Graphics/Illustration Spec	\$1,721
Student Employee - Student Research Review Spec	\$1,152
Student Res Review Asst Asst	\$405
Temporary University Specialist	\$1,645
Uconn Graduate Assistant	\$24,841
Provost & Executive VP for Academic Affairs	\$9,269
Adjunct Faculty	\$497
Uconn Graduate Assistant	\$8,772
Werth Institute	\$1,281,827
Academic Technician Ne	\$9,202
Director & Associate Professor In Residence	\$290,439
Ed Program Administrator	\$136,227

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Provost & Executive Vice President For Academic Affairs	\$1,527,323
Werth Institute	\$1,281,827
Ed Program Administrator	\$147,460
Ed Program Assistant 1	\$84,619
Ed Program Assistant 1	\$85,500
Educational Program Manager 2	\$44,722
Extension Instructor	\$65,260
Research Scientist	\$334,658
Temp University Specialist Ne	\$32,079
Temporary University Specialist	\$140
Temporary University Specialist	\$49,484
Temporary University Specialist	\$2,036
School Of Pharmacy	\$146,869
School of Pharmacy	\$146,869
Distinguished Professor	\$56,748
Graduate Student Technician	\$1,074
Graduate Student Technician	\$30,459
Research Assistant 2	\$30,563
Uconn Graduate Assistant	\$28,025

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FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Social Work	\$116,784
School of Social Work	\$116,784
Assistant Professor	\$6,039
Associate Dean & Associate Professor	\$14,663
Professor	\$83,002
Temp University Specialist Ne	\$13,080
Stamford Regional Campus	\$18,984
Stamford Campus	\$18,984
Student Services Prog Coord	\$18,984
Student Life & Enrollment	\$49,042
Student Health & Wellness	\$49,042
Graduate Student Technician	\$33,178
Student Employee - Lump Sum 2	\$40
Student Employee - Student Dining Service Trainee	\$1,591
Student Employee - Student Orientation Trainee	\$550
Student Employee - Student Peer Counseling Asst	\$1,645
Student Employee - Student Peer Counseling Asst	\$592

FY2025 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Student Life & Enrollment	\$49,042
Student Health & Wellness	\$49,042
Student Employee - Student Peer Counseling Asst	\$1,830
Student Employee - Student Peer Counseling Asst	\$1,696
Student Employee - Student Theater Trainee	\$71
Uconn Graduate Assistant	\$1,951
Uconn Graduate Assistant	\$5,898
Student Success	\$569,448
Institute for Student Success (ISS)	\$457,305
Academic Advisor 1	\$98,232
Adjunct Faculty	\$7,317
Adjunct Faculty	\$9,565
Adjunct Faculty	\$9,756
Adjunct Faculty	\$7,174
Adjunct Faculty	\$7,389
Adjunct Faculty	\$7,389
Assistant Professor In Residence	\$20,628
Laboratory Technician 1	\$8,152

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FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Student Success	Job Title	Amount
Institute for Student Success (ISS)		\$569,448
Public Service Technician		\$457,305
Student Employee - Class 4 Default		\$10,575
Student Employee - Class 4 Default		\$12,690
Student Employee - Class 4 Default		\$2,634
Student Employee - Community Assistant		\$11,409
Student Employee - Lump Sum 2		\$6,183
Student Employee - Student Admin Asst		\$2,061
Student Employee - Student Admin Asst		\$2,199
Student Employee - Student Admin Asst		\$640
Student Employee - Student Admin Asst		\$1,751
Student Employee - Student Admin Asst		\$5,560
Student Employee - Student Admin Asst		\$1,434
Student Employee - Student Admin Asst		\$544
Student Employee - Student Admin Asst		\$4,486
Student Employee - Student Admin Asst		\$2,734
Student Employee - Student Admin Asst		\$2,900
Student Employee - Student Admin Asst		\$4,359
Student Employee - Student Admin Asst		\$5,687
Student Employee - Student Admin Asst		\$728

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Student Success	Job Title	Amount
Institute for Student Success (ISS)		\$569,448
Student Employee - Student Admin Asst		\$457,305
Student Employee - Student Admin Asst		\$901
Student Employee - Student Admin Asst		\$320
Student Employee - Student Admin Asst		\$1,060
Student Employee - Student Admin Asst		\$782
Student Employee - Student Admin Asst		\$308
Student Employee - Student Admin Asst		\$6,399
Student Employee - Student Admin Spec		\$2,519
Student Employee - Student Admin Spec		\$1,775
Student Employee - Student Admin Spec		\$1,482
Student Employee - Student Admin Spec		\$2,174
Student Employee - Student Admin Spec		\$2,174
Student Employee - Student Admin Spec		\$2,938
Student Employee - Student Admin Spec		\$910
Student Employee - Student Admin Spec		\$7,281
Student Employee - Student Dining Service Trainee		\$2,396
Student Employee - Student Instructional Spec		\$624

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
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July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Student Success	Job Title	Amount
Student Success		\$569,448
Institute for Student Success (ISS)		\$457,305
Student Employee - Student Orientation Spec		\$425
Student Employee - Student Peer Counsel Spec		\$160
Student Employee - Student Peer Counseling Asst		\$961
Student Employee - Student Peer Counseling Asst		\$255
Student Employee - Student Peer Counseling Asst		\$374
Student Employee - Student Peer Counseling Asst		\$1,981
Student Employee - Student Peer Counseling Asst		\$391
Student Employee - Student Res Review Asst Asst		\$96
Student Employee - Student Res Review Asst Asst		\$112
Student Employee - Student Theater Trainee		\$1,589
Student Employee - Student Tutoring Spec		\$2,052
Student Employee - Student Tutoring Spec		\$1,854
Student Employee - Student Tutoring Spec		\$3,006

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Student Success	\$569,448
Institute for Student Success (ISS)	\$457,305
Student Employee - Student Tutoring Spec	\$2,376
Student Employee - Student Tutoring Spec	\$3,839
Student Services Prog Coord	\$13,847
Student Services Prog Coord	\$12,681
Temp University Specialist Ne	\$28,131
Temp University Specialist Ne	\$38,436
Temp University Specialist Ne	\$20,555
Temp University Specialist Ne	\$5,272
Temp University Specialist Ne	\$6,651
Temp University Specialist Ne	\$13,035
Temporary University Specialist	\$469
Uconn Graduate Assistant	\$10,540
Student Success	\$112,144
Student Employee - Student Tutoring Trainee	\$1,584
Student Employee - Student Tutoring Trainee	\$620
Student Services Prog Coord	\$109,940

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
University Libraries	\$46,695
Archives and Special Collections	\$1,881
Student Library Spec	\$1,881
Homer Babbidge Library	\$44,814
Temporary University Specialist	\$1,242
Uconn Graduate Assistant	\$43,572
Vice President For Global Affairs	\$1,166,375
Dodd Human Rights Impact Programs	\$465,064
Academic Technician Ne	\$36,234
Assistant Professor	\$77,559
Associate Professor	\$15,630
Distinguished Professor - Law	(\$7,752)
Graduate Student Technician	\$26,187
Graduate Student Technician	\$23,098
Post-doctoral Research Associate	\$26,311
Professor & Director	\$36,178
Professor & Director	\$36,225
Professor & Director, Gladstein Family Human Rights Institute	\$20,941
Public Service Specialist	\$3,645

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FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Vice President For Global Affairs	\$1,166,375
Dodd Human Rights Impact Programs	\$465,064
Public Service Specialist	\$3,645
Public Service Technician Ne	\$5,375
Student Employee - Community Assistant	\$144
Student Employee - Lump Sum 1	\$2,164
Student Employee - Stdnt Lab & Fid Analysis Spec	\$504
Student Employee - Stdnt Youth Outreach & Ed Asst	\$4,318
Student Employee - Stdnt Youth Outreach & Ed Asst	\$2,301
Student Employee - Stdnt Youth Outreach & Ed Asst	\$1,755
Student Employee - Stdnt Youth Outreach & Ed Asst	\$2,194
Student Employee - Student Admin Asst	\$950
Student Employee - Student Admin Spec	\$819
Student Employee - Student Admin Spec	\$513
Student Employee - Student Admin Spec	\$1,080
Student Employee - Student Recruiting Asst	\$1,015
Uconn Graduate Assistant	\$33,178

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Vice President For Global Affairs	\$1,166,375
Dodd Human Rights Impact Programs	\$465,064
Uconn Graduate Assistant	\$800
Uconn Graduate Assistant	\$16,589
Visiting Assistant Professor	\$75,060
Visiting Assistant Professor	\$18,404
Human Rights Institute	\$549,894
Adjunct Faculty	\$10,302
Admin Program Support 2	\$7,497
Assistant Professor	\$2,500
Assistant Professor	\$1,145
Associate Professor	\$7,500
Associate Professor	\$2,143
Distinguished Professor - Law	\$33,470
Ed Program Administrator	\$7,647
Graduate Assistant	\$9,753
Graduate Student Technician	\$10,587
Graduate Student Technician	\$42,414
Graduate Student Technician	\$6,252

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Vice President For Global Affairs	\$1,166,375
Human Rights Institute	\$549,894
Post-doctoral Research Associate	\$8,005
Post-doctoral Research Associate	\$44,453
Professor	\$30,133
Project/Program Specialist	\$69
Research Scholar	\$1,889
Student Employee - Stdnt Facility Monitoring Asst	\$98
Student Employee - Student Admin Asst	\$621
Student Employee - Student Admin Spec	\$1,147
Student Employee - Student Equip Des & Test Asst	\$278
Student Employee - Student Museum Asst	\$908
Student Employee - Student Museum Asst	\$660
Student Employee - Student Research Trainee	\$1,754
Student Employee - Student Research Trainee	\$278
Student Employee - Student Research Trainee	\$1,422
Temp University Specialist Ne	\$37,427

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

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Job Title	Amount
Vice President For Global Affairs	\$1,166,375
Human Rights Institute	\$549,894
Temp University Specialist Ne	\$1,876
Uconn Graduate Assistant	\$9,791
Uconn Graduate Assistant	\$16,589
Uconn Graduate Assistant	\$34,911
Uconn Graduate Assistant	\$5,189
Uconn Graduate Assistant	\$688
Uconn Graduate Assistant	\$4,624
Uconn Graduate Assistant	\$29,160
Uconn Graduate Assistant	\$37,346
Uconn Graduate Assistant	\$210
Uconn Graduate Assistant	\$33,178
Uconn Graduate Assistant	\$16,589
Uconn Graduate Assistant	\$150
Visiting Assistant Professor	\$89,242
Vice President for Global Affairs	\$151,417
Assistant Professor In Residence	\$3,680
Assistant Professor in Residence (Political Science)	\$59,413

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FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)
July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Vice President For Global Affairs	\$1,166,375
Vice President for Global Affairs	\$151,417
Assistant Research Professor	\$28,835
Ed Program Assistant 1	\$3,646
Graduate Student Technician	\$8,683
Graduate Student Technician	\$8,772
Professor	\$3,030
Public Service Specialist	\$2,325
Public Service Specialist	\$1,111
Temp University Specialist Ne	\$31,922
Vice President For Research	\$232,373
(InCHIP) Institute for Collaboration on Health, Intervention, & Policy	\$210,413
Graduate Student Technician	\$12,301
Graduate Student Technician	\$5,900
Professor, Human Dev. & Family Sciences; Deputy Director, Rudd Center for Food Policy & Health	\$111,514
Publicity/marketing Admin	\$1,124
Research Assistant	\$79,574

FY2023 Report of UConn Salary, Wages, and Fringe Benefit Expenses Supported by the UConn Foundation continued

UConn Foundation Compensation Reimbursement in Support of the University

Salary, Wages and Fringe Benefits
Public Act No. 16-93 Sec. 4-37f(9)(J)

July 1, 2024 to June 30, 2025

As a result of the generosity of donors to the UConn Foundation, the University's obligation to pay salary, wages and fringe benefit payments was defrayed by disbursements made to the University by the Foundation.

Job Title	Amount
Vice President For Research	\$232,373
Sponsored Programs	\$15,831
Research Specialist	\$15,831
Systems Genomics	\$6,129
Graduate Student Technician	\$3,981
Graduate Student Technician	\$2,148
Waterbury Regional Campus	\$104,550
Waterbury Campus	\$104,550
Adjunct Faculty	\$9,500
Ed Program Assistant 2	\$50,598
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Stuart Smith Reading Room

Connecticut Green Bank Professor of Green Hydrogen and Fuel Cells

DJ and Eva Doyle Family Conference Room

Michael J. Galchus '66 B.A, '72 MBA and Barbara E. Myers PhD Locker Room

Lucy A. LaCava Study Room

Henrietta Bernal Faculty Office

Hodson Family Pathway to Emotional Well Being

Alice Murray Heilig Music Chair in the School of Fine Arts

Nayden Academic Excellence Center

Rob & P.J. Brewer & Family Women's Ice Hockey Assistant Coach's Office

Urman Peace Garden



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