

**Second FY26 Statement of Work
for
Additional Fundraising Activities in Support of UConn Health**

This Second FY26 Statement of Work is made and entered into effective the 13th day of November, 2025 among the UNIVERSITY OF CONNECTICUT, inclusive of its academic medical center, UConn Health (“University”), a constituent unit of the state system of higher education in the State of Connecticut and Connecticut’s land grant university, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INC. (“Foundation”), a Connecticut non-stock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

WHEREAS the University and the Foundation entered into a Second Amended and Restated Master Agreement, as amended by that certain First Amendment effective June 30, 2025 (the “Agreement”) under which the Foundation has responsibility for fundraising efforts for the benefit of the University, management of endowment and other funds designated to benefit the University and for performing alumni relations activities in support of the University;

WHEREAS the Agreement stipulates that the University and Foundation will from time to time, but in no event less frequently than once every five (5) years, enter statements of work which outline the specific fundraising, investment management and alumni relations activities, goals, and objectives that the University and Foundation have agreed upon and the consideration to be provided to the Foundation each fiscal year (July 1st through June 30th)(“Fiscal Year”), and the University and Foundation entered a statement of work addressing such activities, goals and objectives effective July 1, 2025 (“SOW I”);

WHEREAS the Parties desire to enter into this Second FY26 Statement of Work (“SOW II”) to address additional fundraising activities, goals, and objectives specific to the University’s academic medical center which includes its direct patient care services at John Dempsey Hospital and within the University Medical Group (collectively “UConn Health”) during the period from July 1, 2025 through June 30, 2026 (“Period”); and

WHEREAS the Foundation serves as UConn Health’s Institutionally Related Foundation under the Health Insurance Portability and Accountability Act of 1996;

NOW THEREFORE, in consideration of the mutual promises contained herein, the University and Foundation (collectively, the “Parties”), agree as follows.

1. Payments

The Parties agree that UConn Health will provide up to TWO HUNDRED AND FIFTY THOUSAND DOLLARS (\$250,000) to the Foundation during the Period to support hiring up to three (3) new development officers and one (1) new administrative assistant (“Positions”) to support the fundraising activities, goals and objectives for UConn Health, as more fully described below.

The Parties further agree that, absent University or UConn Health budget exigencies such funding will be renewable in the subsequent two (2) Fiscal Years to support the Positions at the following funding levels: FIVE HUNDRED AND TWENTY-ONE THOUSAND DOLLARS (\$521,000) in the Fiscal Year 2027, and FIVE HUNDRED AND FORTY-ONE THOUSAND DOLLARS (\$541,000) in the Fiscal Year 2028, subject always to duly executed and authorized Statements of Work between the Parties for each such fiscal year incorporating the applicable renewed funding amount.

2. Foundation Mission

The Foundation is an independent, not-for-profit, tax-exempt organization operating for the principal purpose to receive or use private funds for charitable, scientific, cultural, educational or related purposes that support or improve the objectives of the University of Connecticut inclusive of UConn Health's clinical mission and strategic plans of its healthcare and research facilities and initiatives. The Foundation pursues this mission by, among other activities, raising philanthropic gifts to promote the educational, scientific, cultural, clinical, research, outreach and recreational objectives of the University and UConn Health; growing, managing and administering endowed and non-endowed funds established for these purposes; and promoting engagement of the University's diverse alumni and supporters. The Foundation solicits, administers, and invests private philanthropic funds for the sole benefit of the University and its areas of strategic focus including: Providing access to a world-class education; Excelling as a renowned academic and research institution that catalyzes innovation in our state, nation, and world; Fostering the physical, mental, and environmental wellness of patients, students, faculty, staff, and the world at large; and Promoting Husky Pride through Athletic excellence and lifelong engagement of alumni, donors, and friends in UConn's mission.

3. Fundraising Positions

In consideration of the compensation provided to the Foundation by the University through its academic medical center, UConn Health hereunder, and consistent with the Foundation's mission, the Parties agrees as follows:

- a) The Positions referenced in Section 1 above will include: two (2) Directors of Development, one (1) Associate Director for Corporate and Foundation Relations, and one (1) Administrative Assistant.
- b) UConn Health will participate with the Foundation in interviewing and selecting the individuals hired for the Positions, who will be employees of the Foundation and will have access to the Foundation's standard salary and benefits programs subject to the Foundation's policies and procedures.
- c) The Positions will be fully dedicated to growing philanthropy to Fifty Million Dollars (USD \$50M) annually for UConn Health over the course of the Foundation's *Because of UConn* fundraising campaign, with exclusive focus on UConn Health philanthropy priorities as more fully described in Section 4 below.

- d) The Foundation will be responsible for paying the usual and customary fundraising overhead expenses related to the Positions such as, without limitation, travel and entertainment, technology, licenses, prospect research, and professional development.
- e) The University, through UConn Health will be responsible for paying any extraordinary, atypical, or exceptional expenses related to fundraising for UConn Health philanthropy priorities including, but not limited to, consulting, professional services, and direct mail campaigns, to the extent such expenses are for the direct benefit of UConn Health or agreed to by the University in writing.
- f) The Foundation will separately fund the recruitment, salary, and benefits of an additional fundraising position, the *Senior Director of Principal Gifts*, who will exclusively focus on the UConn Health philanthropy priorities, through funding sources unrelated to this SOW II.

4. Fundraising and Alumni Engagement Goals

UConn Health agrees to develop specific *Because of UConn* fundraising campaign priorities, such as Labor and Delivery and to use appropriate efforts to leverage UConn Health leadership, including, but not limited to, UConn Health Board of Directors members and physicians to create a culture of philanthropy. These efforts will include the creation of a UConn Health campaign advisory committee to be led jointly by the Chief Executive Officers of Foundation and UConn Health or their designees.

With the hiring for the new Positions, the Foundation will aspire to grow total fundraising for Fiscal Year 2026 to at least \$19,000,000 (inclusive of John Depsey Hospital, School of Medicine, and School of Dental Medicine).

The Foundation will establish the following Key Performance Indicators (“KPI”) for the Positions and provide a progress report to UConn Health, on or about August 1, 2026, outlining progress of fundraising efforts for UConn Health. KPIs shall be measured in accordance with Foundation policy and aligned with best practices for fundraising for academic medical centers and health systems. The following KPIs represent combined annual totals for all of the Positions:

Fiscal Year 2027

- Fundraising goal: \$3,250,000
- Major gift solicitations of \$100,000 or more: 40 per year
- Major gift commitments of \$100,000 or more: 30 per year
- Donor qualification meetings: 75

Fiscal Year 2028

- Fundraising goal: \$5,000,000
- Major gift solicitations of \$100,000 or more: 55 per year

- Major gift commitments of \$100,000 or more: 40 per year
- Donor qualification meetings: 80

5. Master Agreement and Amendments

This SOW II is issued pursuant to and subject to the terms and conditions of the Agreement, the terms of which are hereby incorporated in this SOW II by reference as if fully set forth herein. In the event of any conflict between this SOW II and the Agreement, the Agreement shall control. This SOW II applies solely to the activities set forth herein and does not amend, modify or otherwise affect any other statement of work between the parties. This SOW II may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

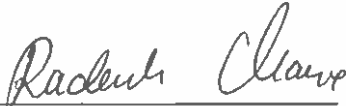
6. Governing Law

This SOW II is governed by the laws of the State of Connecticut.

7. Counterparts and Electronic Signatures

This SOW II may be executed by electronic signature and/or in two (2) or more counterparts, each of which will be deemed an original instrument, but all of which will constitute one and the same agreement.

FOR THE UNIVERSITY OF CONNECTICUT



Radenka Maric, PhD
President, University of Connecticut

11/19/2025
Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED


[Jonathan Greenblatt \(Nov 14, 2025 12:41:48 EST\)](#)
Jonathan Greenblatt
Chair, The University of Connecticut
Foundation, Incorporated

11/14/2025
Date


[Amy Yancey \(Nov 13, 2025 13:54:42 EST\)](#)
Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

11/13/2025
Date

APPROVED AS TO FORM

N/A
Assistant Attorney General,
Connecticut State Attorney General's Office

Date


UConn Foundation - UConn Health SOW - Final 11.13.25

Final Audit Report

2025-11-14

Created:	2025-11-13
By:	Judy Smith (jsmith@foundation.uconn.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAoPKjkXbaBD6Mc_h4Mry5-pucpZlunsxk

"UConn Foundation - UConn Health SOW - Final 11.13.25" History

-  Document created by Judy Smith (jsmith@foundation.uconn.edu)
2025-11-13 - 6:15:07 PM GMT- IP address: 136.226.80.127
-  Document emailed to Amy Yancey (AYancey@foundation.uconn.edu) for signature
2025-11-13 - 6:16:45 PM GMT
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2025-11-13 - 6:16:53 PM GMT- IP address: 72.153.153.23
-  Document e-signed by Amy Yancey (AYancey@foundation.uconn.edu)
Signature Date: 2025-11-13 - 6:54:42 PM GMT - Time Source: server- IP address: 137.99.143.13
-  Document emailed to jongreenblatt@gmail.com for signature
2025-11-13 - 6:54:49 PM GMT
-  Email viewed by jongreenblatt@gmail.com
2025-11-14 - 4:32:41 PM GMT- IP address: 66.102.8.172
-  Signer jongreenblatt@gmail.com entered name at signing as Jonathan Greenblatt
2025-11-14 - 5:41:46 PM GMT- IP address: 149.102.226.216
-  Document e-signed by Jonathan Greenblatt (jongreenblatt@gmail.com)
Signature Date: 2025-11-14 - 5:41:48 PM GMT - Time Source: server- IP address: 149.102.226.216
-  Agreement completed.
2025-11-14 - 5:41:48 PM GMT