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### Our mission

The mission of The University of Connecticut Foundation, Inc. is to solicit, receive and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University of Connecticut. The Foundation operates exclusively to promote educational, scientific, cultural and recreational objectives of the University of Connecticut. As the primary fundraising vehicle to solicit and administer private gifts and grants that will enhance the University's mission, the Foundation supports the University's pursuit of excellence in teaching, research and public service.

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# Accelerating POTENTIAL

The pursuit of achievement is constantly unfolding at the University of Connecticut, where the experience of the past decade has revealed much about the value our state places on higher education.

Ten years ago, UConn was blessed by a series of fortunate developments that changed the institution's course in clear and meaningful ways. A new president, an unprecedented influx of public support through UCONN 2000, and the success of men's and women's athletics on the national stage were the catalysts. Slowly, after years of living in the shadows, a new University began to take shape.

Among the first to notice was the next generation of faculty, noted educators and researchers drawn by the promise of exceptional new facilities and a renewed spirit born of fresh thinking and boundless opportunities for inquiry.

In growing numbers the students also arrived – increasingly gifted and motivated – who now looked upon UConn as a first and vibrant option for the best university education in the arts, humanities, science, business, law, medicine and more.

This convergence of human potential formed a critical mass, until almost overnight the University of Connecticut emerged in national higher education circles as a truly outstanding public research university.

Which brings us to the present. Today, UConn is once again on the doorstep of a major leap forward. We have a compelling story to tell about the quest for knowledge, discovery and the highest ideals of public service; and it is embodied in the hopes and dreams of our faculty and students.

Through it all the role of the University of Connecticut Foundation remains to promote the loftiest goals of those who come on our campuses to study and to teach.

By no means exhaustive, the profiles in the following pages provide a deeper insight into the ways individual lives have been shaped by the inspired generosity of our supporters. They are a statement of how far the University has come through private support, but also an indication how much further and higher our aspirations can take us.

To those who have already helped on this journey, we can never say "thank you" enough. And for those whose commitments to our purpose lie yet in the future, we welcome you to become partners with us in a truly outstanding institution...one whose greatest achievements are still to come.

Chairman, Board of Directors UConn Foundation

John K. Mater

President UConn Foundation



# State of the UNIVERSITY

The 125th anniversary of the University of Connecticut brought with it some wonderful recollections of an inspiring past, recognition of current challenges and awareness of an even more promising future. It also reminded us that to an ever-greater extent, private giving is a key instrument to enhance the University's core missions of research, education and public service.

These thousands of individual gifts are leveraged into something much greater than the already-impressive sum of their parts. Most immediately, they have enabled the wonderful stories you will read in this Annual Report. These individuals, and thousands more like them, ultimately have an impact beyond the borders of our own University. They often help UConn contribute mightily to a better quality of life for the people of our state, our nation and our world.

Through private giving, promising and deserving students are able to attend UConn, where they learn, grow and add to the quality of our academic community. It is clear that our student body as a whole is richer, livelier and more diverse because of the presence of these outstanding young men and women.

The entire state benefits from the cutting-edge research conducted in Storrs, at the UConn Health Center and in all of our regional campuses. From the latest scientific breakthroughs to creating the next generation of scholars and scientists, UConn plays a large and growing role as one of the nation's outstanding public research universities.

Much of that strength comes from a highly acclaimed faculty, often recruited and retained with the help of private support. Endowed chairs give members of our world-class faculty the tools they need to expand the frontiers of scholarship in the sciences, the humanities and the arts.

Perhaps most importantly, private giving demonstrates the public trust in the University as a whole, along with the recognition that UConn is a true public asset that symbolizes our state's commitment to knowledge and creative thought.

As we prepare together for a new multi-year campaign, I hope we take the stories contained in this report as a reminder of the potential that exists within UConn. I look forward to working with you in the months and years to come.

Vinl Antin

Philip E. Austin President University of Connecticut A university is not simply a finite entity, but rather an accelerator of humanity's limitless potential. The 15 individuals profiled on the following pages *inspire, teach, heal* and *personify* private giving's ability to change a life, the University of Connecticut and our world. The stories represent their hopes, dreams and potential, while also serving as a reminder of the many others at UConn whose lives have been shaped by a donor's generosity – yesterday, today and for many tomorrows to come.

Their extended stories may be read at www.foundation.uconn.edu.



MATHEW P. JASINSKI, J.D., '06 School of Law

Despite the tempting number of law schools and influential firms in nearby New York City, Ridgefield native Mathew Jasinski decided to attend UConn, largely because of the financial support offered, the Honors Program and a single visit to the Storrs campus.

"I couldn't believe what I saw when we came to Storrs," he recalls. "It was very impressive, and through the Honors Program I found myself experiencing the very same things that my friends at other universities were experiencing, except they were paying a lot more."

His decision led to a half-tuition scholarship, and academic success both as an undergraduate and eventually at UConn's School of Law. After graduating this past May, Jasinski now finds himself clerking for a Connecticut Supreme Court justice and contemplating his future in Hartford, thanks to a job offer at one of the city's leading law firms.

"I love Hartford," he says. "There's a great sense of community here, and I want to leave my mark on that – if nothing else, to show that Hartford can keep young professionals like myself."

That mark may be political in nature some day; he is extremely interested in politics and hopes to become active in local issues. For now, though, as Jasinski immerses himself into the legal profession, he'll have an opportunity to realize his lifelong goal of being a lawyer. Jasinski quickly credits his UConn education for getting him to where he is.

"It's been an amazing experience, including the support from the University," he says. "It's made life a lot easier and put me in a better position to now give something back."



SHAWNET JONES, '09 Biological Sciences and Spanish College of Liberal Arts and Sciences

"I've always wanted to be a pediatrician," says Shawnet Jones, who is in the Honors Program and the Combined Program in Medicine. "I love working with children. And because I love children and science, I put the two together."

What Jones finds so intriguing about science are its dynamic nature and its potential to change lives. 
"The science field is always changing...[and] you can go in and make a difference," she explains. 
Jones notes that the opportunity to conduct original research while an undergraduate was a selling point for UConn because it would "provide a strong science background" prior to medical school.

The financial support Jones receives as a Day of Pride Scholar has enabled her to attend UConn. "Definitely the scholarship made a difference," she says. "I'm very grateful for everything because I know I wouldn't be here otherwise." Jones is also a John and Valerie Rowe Health Professions Scholar and a MassMutual Scholar.

Always looking for a new challenge, Jones will begin peer mentoring and tutoring during her sophomore year. She is also considering studying abroad in both Spain and South Africa.

After she becomes a doctor, Jones plans to return to her hometown of Hartford. "I definitely want to go back to Hartford and give back to my community," she explains. Additionally, she's hoping to join Doctors Without Borders. "If I can bring my experience and education to help other people, that's always something I'm interested in," says Jones.

DANIEL F. CONNOR, M.D.

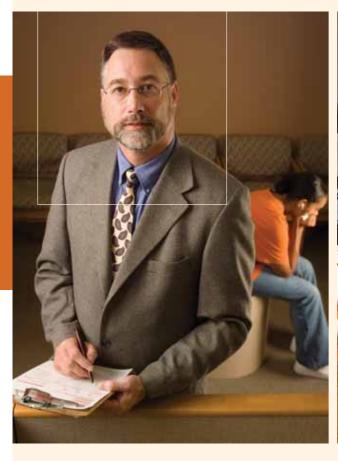
Lockean Distinguished Chair in Mental Health Education,
Research and Clinical Improvement
Division Chief, Child and Adolescent Psychiatry
School of Medicine, UConn Health Center

ANDREW WINOKUR, M.D., PH.D

Dr. Manfred J. Sakel Distinguished Chair in Psychiatry

Director, Psychopharmacology

School of Medicine, UConn Health Center





From cutting-edge neuropharmacology research to much-needed adolescent psychiatrics, the UConn Health Center's Department of Psychiatry has leapt to the forefront with two new distinguished chair awards to Daniel Connor and Andrew Winokur.

"This gift is the oxygen that supports what we do," says Connor, who was recruited to UConn to develop the Division of Child and Adolescent Psychiatry with the award. "The message being sent here is that the University values child psychiatry research and clinical care, and that's extremely powerful."

While the doctors' work differs, both seek to move beyond the psychiatric developments of the 1950s and 1960s that still resonate in their fields. Winokur's work on thyrotropin-releasing hormone (TRH) could lead to treatments for mental illness that outpace today's, which have origins in that earlier era. In collaboration with the Carole and Ray Neag Comprehensive Cancer Center, Winokur also researches whether TRH helps cancer patients and others with chronic fatigue. He believes his research follows in the footsteps of its namesake, Dr. Manfred J. Sakel, an early proponent of biological components to mental illness.

"If [Dr. Sakel] were here today, he'd be excited at the work being done in our department, working on factors like TRH that weren't even known in his time," says Winokur.

Funded anonymously, Connor's award addresses the perennial shortage of adolescent and child psychiatrists. The field has developed a "critical mass" in the last 20 years, Connor says, due to an explosion of research. The Division now offers educational programs to disseminate information, provides presentations to pediatricians and family doctors and is developing a solid foundation of research and clinical care. It also operates a new outpatient child and adolescent clinic in West Hartford.

The direct care is "entirely consistent with our public mission," Connor says, "and will provide an enormous direct benefit to the people of Connecticut."

J.STEPHEN FERKETIC, '08

Biological Sciences and Political Science

College of Liberal Arts and Sciences

It's a long way from Storrs to Cape Town, South Africa, where high unemployment, poverty, crime, pollution, urban overcrowding and HIV/AIDS have ravaged the outlying townships.

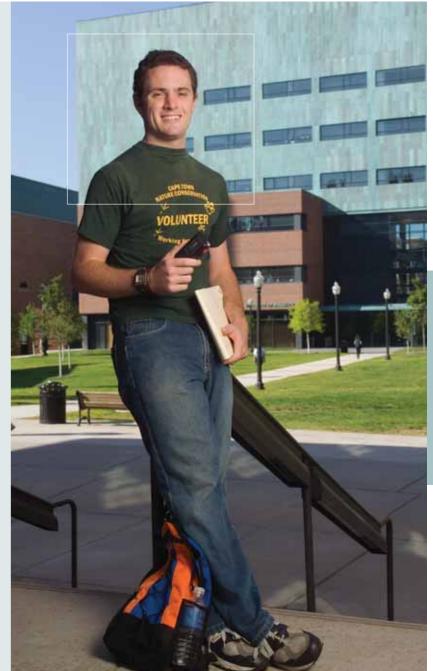
For Steve Ferketic, Cape Town represents something else: the global conflict between the need for basic housing and natural resources conservation. Through the UConn Study Abroad program and grant support from the Summer Undergraduate Research Fund, Ferketic worked in the Cape Flats region of Cape Town for six months, studying how urban sprawl is impacting the famed Macassar Dunes.

Ferketic's research, involving more than 80 taped interviews, crossed all economic boundaries and was conducted in both the highest levels of the conservation movement and in the squalor of the dune shanties. He soon discovered common threads of humanity.

"Conservation is typically looked at as an activity of the affluent, but when you interview these residents right below the dunes, you see that we're all the same," Ferketic says. "The similarities between us were what I noticed."

Ferketic says financial support, including a partial scholarship to UConn and support for extending his African trip, has been "vital" to his success. He is soon embarking for Brazil to further research coastal development.

"When I started the research, I wasn't sure how valuable it was really going to be. What I saw, though, was that for social change to happen, it's not a matter of making big steps, but instead making a lot of little ones," he says. "If you work on a micro level, eventually you get to influence larger things."



"If you work on a micro level, eventually you get to influence larger things."

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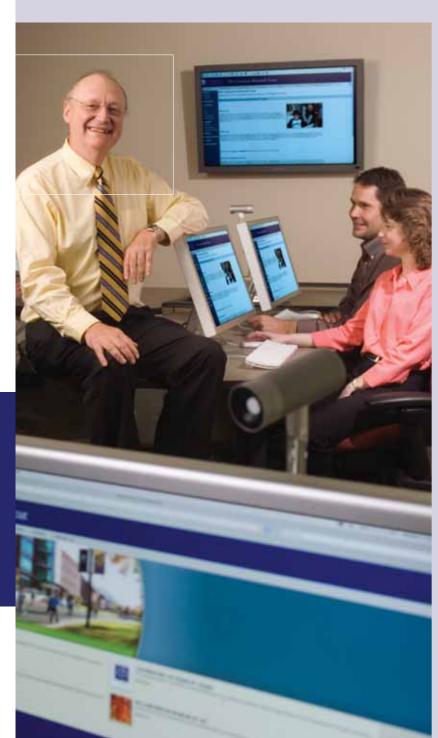
DONALD LEU, JR., PH.D.

John and Maria Neag Chair in Literacy and Technology

Director, New Literacies Research Lab

Departments of Curriculum and Instruction, and Educational Psychology

Neag School of Education



Donald Leu and his research team are in a race, and at the end lies a connected world that is more just, literate and productive.

Leu's team at the New Literacies Research Lab in the Neag School of Education conducts research on "the new literacies of the Internet," or the skills needed to read and communicate effectively in today's multimedia, networked, online world. The team seeks to fundamentally improve reading and writing instruction by integrating the new literacies of the Internet into every classroom.

His colleagues, like Leu himself, were attracted to UConn from other universities by the innovative work made possible by the Neag endowment.

"For a professor, my endowed chair is the gift of a lifetime," Leu says. "It frees me to do the work that I know is critical to our nation's future."

Leu's team has been awarded five major research grants, published six books, written nearly 100 articles and leveraged more than \$8 million of additional research funding.

Believing that America is behind many industrial nations in preparing students for 21st century reading. Leu also worries about the broader implications of a society unable to critically interpret the information encountered online. Many other countries have taken steps to ensure students know how to communicate on the Internet, as well as use technology to the fullest. Leu sees this as a vital challenge for America's schools.

"The race has just begun, but if something isn't done, we're going to lose it," Leu says. "We're trying to prevent our kids from becoming second-class citizens of technology."

KIRSTIN (KERSEY) LAWRENCE-APFEL, '07 Wildlife Management (M.S.) College of Agriculture and Natural Resources

If pumas themselves were as easy to find as the signs that they are present, Kirstin Lawrence-Apfel's skills wouldn't be as important to ecological research in the rugged, high terrain of Patagonia.

Pumas are lean, muscled and refined predators, as well as cryptic beasts. Capable of living on the fringes of their environment, they are so adaptable that man's efforts to remove them have often failed. Lawrence-Apfel is a tracker, following pumas from faint clues like broken branches, pawprints or the warning cries of their prey.

"Tracking is asking questions about the everyday mysteries all around us, following clues until the questions are answered," she says. "It's really very addicting."

From previous Patagonian trips with Dr. I. Morty Ortega of the College of Agriculture and Natural Resources, she is preparing for a seminal journey in 2007, when she will track, tranquilize, tag and follow the 150-pound cats for a year.

In recognition of her work, Lawrence-Apfel has been awarded a Bishop-Carder Scholarship, which allows her to attend the 2006 International Society of Professional Trackers Annual Symposium.

Her research is important, she says, because as pumas and humans move into common territory around the world, encounters will increase. Proven census and tracking systems in Patagonia may be applicable elsewhere. The work will also lead, she hopes, to an opportunity to teach the art of tracking to the next generation.

"It's about bringing it back, and sharing a passion of learning and knowing," she says. "A lifetime of learning is wasted unless you can pass it on in some way."



"A lifetime of learning is wasted unless you can pass it on in some way."

JAMES CHEN, '07 Molecular and Cell Biology College of Liberal Arts and Sciences

After two high school internships with the National Institutes of Health, James Chen knew he wanted to become a doctor. "It was the field I felt was most relevant... I knew I wanted to go to medical school definitely, and I wanted to treat patients," Chen explains.

Chen, who is in the Honors Program and the Combined Program in Medicine, chose UConn because of the vast resources, range of programs and high number of co-curricular activities. The aid he received as a recipient of a University Honors Scholarship and a Leadership Scholarship was another deciding factor. Additionally, for his outstanding academic performance, Chen has been named a University Scholar.

As a fellow at the UConn Health Center, Chen is shadowing clinicians working in various specialties and exploring his options. UConn fellows "see a really broad range of clinical needs that most people don't have an opportunity to do," says Chen.

"Having a chance to really see it helps me formulate an idea of the kind of doctor I want to be, and that's really important."

During summer 2006, Chen worked alongside Professor Stephen Wikel, Ph.D., on the Ixodes Scapularis Genome Project, conducting research that may lead to a vaccine for tick-borne diseases. Since his freshman year, Chen has also had the extraordinary opportunity to work on stem cell research with Professor Xiangzhong "Jerry" Yang, Ph.D., at the UConn Center for Regenerative Biology, where Chen is also conducting his own original research.

"You can see that in 10 to 20 years, this will amount to something great," Chen notes about the research projects he's been involved with. "Being on the cutting edge brings me that much closer to being a part of finding a cure."

V. KUMAR, PH.D.

ING Chair in Financial Services

Executive Director, ING Center for Financial Services

School of Business

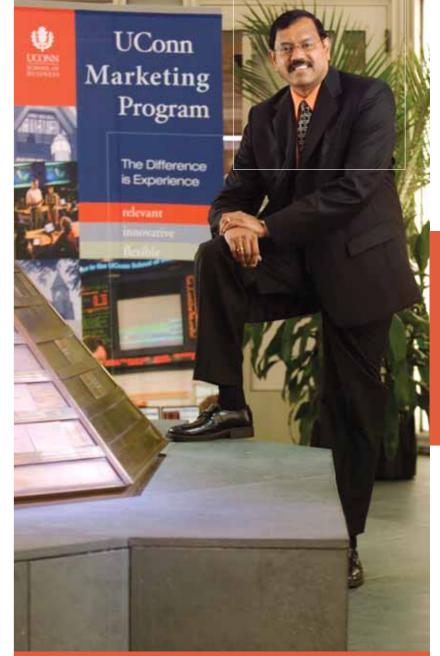
V. Kumar was recruited to the School of Business in 2001 to be the first ING Chair in Financial Services and executive director of the ING Center for Financial Services, which are both supported by an endowment from ING Financial Services. With strong high-tech, financial services and retail industries, Connecticut is the perfect forum for research in marketing, explains Kumar. He immediately recognized what the Center could accomplish in terms of academic research and practical modeling for the business world.

"The challenge here—this place was a raw talent. The Marketing Department was growing and it needed a catalyst, a spark to put it on a different plane. And that challenge was exciting," Kumar says.

The Center is a prolific source of scholarly papers and groundbreaking work in marketing intelligence. Kumar credits the Center's team of talented faculty and doctoral students. "I never expected this five years ago. One paper published a year is considered highly productive. In the five years I've been here, we've published 50," he elaborates.

Most recently, Kumar and then-doctoral candidates Rajkumar Venkatesan, Ph.D., and Werner Reinartz, Ph.D., developed a model that predicts what a consumer will buy next based on the consumer's last few purchases. Their paper, featured in Harvard Business Review (March 2006), has been a critical success and has turned the national spotlight on the UConn School of Business.

Kumar summarizes, "We are now becoming the capital... in customer relationship management research. Cutting-edge research is done here. We are multiple steps ahead because one, we have the know-how; two, we have the staff; and three, we have the data."



"I never expected this five years ago. One paper published a year is considered highly productive. In the five years I've been here, we've published 50."



ADAM S. RAEFSKI, PH.D., '06 Molecular and Cell Biology College of Liberal Arts and Sciences

When researchers eventually unravel the genetic mystery of autism, they very well may have a sports-related injury to thank for it.

It was during therapy for a back injury suffered during a high school baseball game that Adam Raefski first became interested in science, and his journey has since led him to the forefront of genetics. Raefski and his advisor, Professor Michael J. O'Neill of the Molecular and Cell Biology Department, recently culminated four years of research with the publication of their discovery of imprinted genes in mice. The results have been embraced by researchers studying Turner Syndrome, a condition that resembles a mild form of autism.

For his work, Raefski was the 2006 recipient of the Walter E. Hildick Prize for Innovation in Neuroscience, funded by private giving.

"It's a cliche, but the recognition this award brings proves that hard work really does pay off," Raefski says. "The award inspires this kind of research, which even if it doesn't result in a discovery, may spark the questions needed for someone to take the next step."

While scientists are still several steps removed from the discovery of an "autism gene," Raefski believes his work may spur other UConn students and researchers in a quest for additional imprinted genes and the study of how they first become imprinted.

To those with autism, Raefski's work may mean that accurate diagnostics and improved treatment methods are that much closer. That potential is part of what drew this Ph.D. graduate, new father and now prize-winning genetic researcher to science from the baseball diamonds of upstate New York.

MICHIE HESSELBROCK, PH.D.

Judith M. and Henry M. Zachs Chair in Social Work

Director, Ph.D. Program

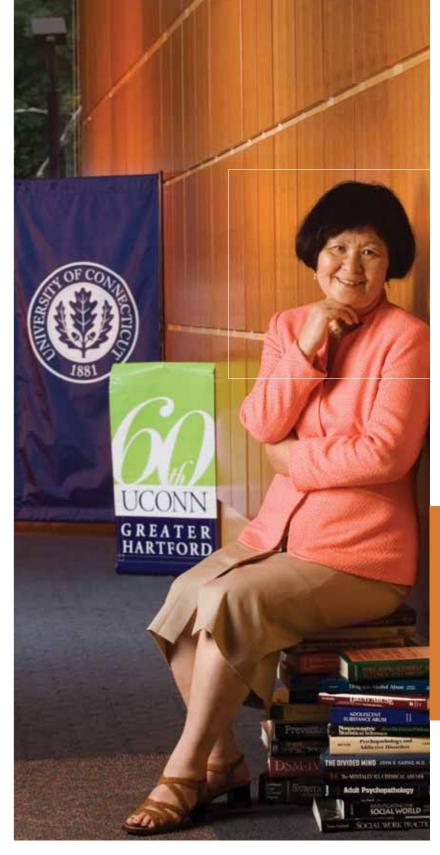
School of Social Work

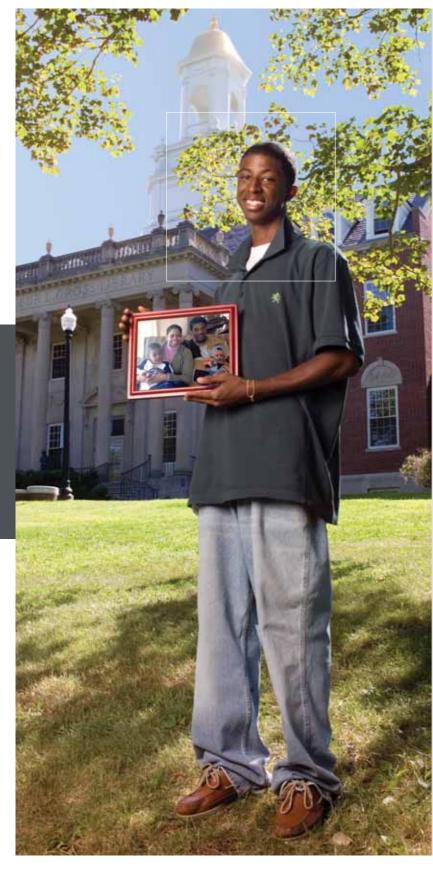
Named the Judith M. and Henry M. Zachs Chair in Social Work in 2002, Michie Hesselbrock spearheaded the development of the School's now burgeoning Ph.D. program. The program welcomed its first doctoral students just four years ago and now has 22 active students. The Ph.D. candidates are researching various pressing issues—both locally and nationally—including social work policies, child and elder welfare, homelessness, acculturation, substance abuse, alcohol dependency, medical problems and mental health problems.

Hesselbrock's current objective is to continue boosting the School's visibility. The recipient of numerous grants, Hesselbrock has received high-profile awards for her substantive research in substance abuse, medical problems, mental health problems and their co-occurrence. She notes that the increasing number of conference presentations and publications in scholarly and peer review journals by faculty and Ph.D. students are showcasing the compelling research being done at UConn.

Going forward, Hesselbrock will continue expanding and improving the Ph.D. program. She is successfully integrating research into the field and developing an evidence-based program. "I hope to bring research into practice," she elaborates. "Social work is new to the research discipline. I'm trying to get them together, and now I see a change."

The Ph.D. program is supported by a \$1 million endowment from Judith ('77, M.S.W.) and Henry Zachs. Hesselbrock notes the transforming effect their gift and, in turn, the Ph.D. program have had on the School, which is now ranked 22nd in the country: "We were very, very disadvantaged in terms of getting research funding and ranking. [Judith's] contribution was one of the major impacts on the School of Social Work."





KE'LAND DENNEY, '09 Sociology College of Liberal Arts and Sciences

Ke'Land Denney was in the first week of his freshman year at Delgado Community College when Hurricane Katrina smashed into New Orleans, submerging many of the college's facilities.

His family became refugees, evacuating to Houston. Although the Denneys were relieved to learn that their house emerged largely unscathed, most of New Orleans remained without power, phones and basic services. Unable to stay in Houston or go back home, the family reached out for help and found themselves in Connecticut, living with Ke'Land's aunt. Local community support followed, and a house was offered so that the family could live in less-cramped quarters.

It was during this time that Ke'Land learned that UConn was offering assistance for displaced students. Within a week, he was attending classes in Storrs.

"It really happened very fast after that first phone call," he says. "The ACES [Academic Center for Exploratory Students] staff helped me out a lot, and financial assistance was offered to offset the cost of out-of-state tuition. I'd never have been able to come here otherwise. It's just been a great opportunity for me."

While being half a country away from his family is "very scary," Ke'Land says his family is very proud and supportive of him for continuing his studies. The experience of being aided in a crisis made an impact on Denney, who changed his coursework from computer science to social work.

"I've been helped out so much," he says.
"Working with people to help them get though
problems; that's what I'm most interested in.
I want to give something back."

"The bottom line here is that the Center couldn't open or exist without this financial support."



CENTER FOR IMPLANT AND RECONSTRUCTIVE DENTISTRY

Thomas Taylor, D.D.S.

Department Head, Oral Rehabilitation,
Biomaterials and Skeletal Development
School of Dental Medicine
UConn Health Center

The tiny dental implants that Thomas Taylor specializes in offer the great potential of safer, easier dentistry in the future for everyone. Recently, two major gifts have helped to ensure that potential is realized.

Through financial support from leading dental implant companies Straumann USA and Astra Tech Inc., as well as others, the work of Taylor and his researchers will soon be boosted with the opening of the University of Connecticut's Center for Implant and Reconstructive Dentistry in Farmington. The Center will serve as a regional teaching, research and patient care organization, as well as a training facility and support network for dental implant studies and practice.

Focusing on translational research, the Center will bridge the gaps between the dental community, academics and ongoing research. Industry support helps build the program's foundation and ensure that it has the resources to open and function.

"The gifts help build the critical mass that demonstrates the value of this program to the residents of Connecticut and to dentists everywhere," Taylor says. "The bottom line here is that the Center couldn't open or exist without this financial support."

Despite the advantages of implants over other technologies, many community dentists have not yet been trained in their use, something that Taylor says the Center will address both through intensive community outreach and by serving as a resource center for ongoing professional development.

"Implant technology is growing rapidly," Taylor says. "For that growth to be orderly, the field needs resources for research, education and lastly, training for local dentists. We're going to do all three in one location at the Center. This is a win/win for everyone."





ASYLUM AND HUMAN RIGHTS CLINIC

Jon Bauer

Michelle Caldera William R. Davis Clinical Teaching Fellow

School of Law

Without legal representation, petitioners for political asylum in the United States face a high risk that their applications will be denied. Language barriers, unfamiliarity with the U.S. legal system and a lack of financial resources are common obstacles. In the Asylum and Human Rights Clinic at the UConn School of Law, students represent Connecticut residents seeking asylum in the U.S. The Clinic provides excellent legal representation with the help of donors whose generous support covers the expenses incurred in order to build a convincing case for individuals like Munira Okovic.

As a Bosnian Muslim, Okovic witnessed Serbian ethnic cleansing and faced the constant threats of sexual assault and death throughout the 1992-1996 Bosnian-Serb conflict. Following the end of the war, she came to the U.S. and sought asylum so that she

would not have to return and endure further persecution. Successfully represented by the Asylum and Human Rights Clinic, Okovic was granted asylum in July 2005. She is currently enrolled at the UConn Stamford campus in the Bachelor of General Studies program with a focus in international political economy and diplomacy.

The Clinic's 84 percent success rate far exceeds the national average of 25 to 30 percent. "I attribute our success to the incredible work that the students put in and their incredible commitment," says Clinic Director Jon Bauer.

Through their participation, law students refine such key skills as research, client interaction and counseling, case planning, direct and cross examination and working with field experts. The Clinic also prepares

students to work effectively with clients despite language or cultural differences.

Additionally, clinic experience instills a sense of duty to the community. "One of our missions as a public law school is to train legal practitioners who will work in the public interest," says Bauer. The experience "inculcates a passion for doing pro bono and public service work," he explains.

Initially funded through a combination of support from UCONN 2000 and an endowment from William R. Davis, '55, the Clinic has also received ongoing major support from the Wilson Wilde Family Foundation and the Joshua Greenberg ['95] Memorial Client Assistance Fund.



KENNETH REIFSNIDER, PH.D., NAE Pratt & Whitney Chair Professor in Design and Reliability Director, Connecticut Global Fuel Cell Center Department of Mechanical Engineering School of Engineering

If you don't know what fuel cells are today, Kenneth Reifsnider is working to ensure you will soon, for everyone's sake.

Reifsnider is the director of the Connecticut Global Fuel Cell Center, on UConn's Mansfield Depot campus, which researches, develops and builds this efficient and long-lasting renewable energy technology. UConn is positioned as a leader in fuel cell technology, and since 2002,

the Center has received nearly \$20 million in industry and government funding for work on applications ranging from cell phones to power plants.

"The endowed chair was key, both in bringing me to UConn and in supporting the Center," Reifsnider says. "It has provided the means to build a group here, bring in additional Ph.D. staff and market our work internationally. This would never have happened otherwise."

The global demand for energy is rapidly coming to a head, Reifsnider believes.

"As a country, we're going to have to face the truth soon, and there will probably be a shaking out period where we try new technologies. There's a big chance here to prove what fuel cells can do."

STORIES OF ACHIEVEMENT NO.15

New England is a fuel cell hotbed; Connecticut alone provides more than a third of the nation's fuel cell-related jobs and hosts nearly 30 related companies. A strong program at UConn provides research leadership and economic growth, and Reifsnider sees private giving taking a key role.

"I've been involved with a lot of universities, and it's usually private giving that drives the research initiative process," he says.

With the Center's success so far, its fuel cells may be literally driving us all soon.

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# Year in review

As UConn celebrated its 125th anniversary and realized the first fruits of the 21st Century UConn program, private giving led the way with the athletic, faculty, student and program support that a leading public research university both deserves and requires to thrive.

#### **Athletics Thrive From Private Giving**

The single largest source of private giving for the University, the UConn Division of Athletics generated nearly  $\rm S_{15}$  million in pledges and contributions. The opening of The Burton Family Football Complex and the Mark R. Shenkman Training Center cemented UConn's reputation for world-class athletic facilities and programs. Both facilities, initiated from major private gifts, opened in the summer of 2006. Philanthropy was not limited to improved facilities, however – for the fourth consecutive year, private giving to UConn athletics broke records and funded more than 90 percent of the scholarships for student athletes. This total of  $\rm S_{7.5}$  million was more than seven times the amount awarded in 1990.

#### **Annual Giving Celebrates Another Strong Year**

The annual fund experienced an extremely strong year, generating nearly \$3.8 million, a 23 percent increase over FY05 and 15 percent over this year's goal. The Foundation kept abreast of industry trends in designing its 2006 annual fund efforts, particularly in the use of technology. Online giving has rapidly grown in recent years and was reflected in the annual fund's growth. The success of the annual fund was partly due to the enhancement of online giving options; the increased use of email to educate, update and solicit donors; and of course, the student calling program, which saw another very positive year. More than 29,300 donors contributed to the annual fund, which represents an 8 percent increase over 2005.

#### **Advocacy and Changes for State Matching Program**

The Foundation took a leadership role advocating for public support of higher education. Staff and volunteers, along with the University's Office of Government Relations and the UConn Advocates, worked with Connecticut's elected leaders to amend the Higher Education Endowment Matching Grant Program. A 50 percent match for endowment gifts made in early 2005 was restored, creating a potential \$1.3 million in matching funds. Although the program was reduced for current gifts and remains tied to the state's "rainy day" fund, it continues to show strong public support for higher education.

#### Positive Changes at UConn and the Foundation

New academic and research facilities, such as the Pharmacy/Biology building, attracted key staff, attention and private philanthropic support. Many new major donations pledged in the past year helped complete the academic picture, enhancing the University and its faculty, staff and students in many ways. The wide range of gifts included support for a new marine research vessel at the Avery Point campus named in honor of former governor Lowell P. Weicker Jr. and a \$584,000 scholarship program funded by the MassMutual Foundation for Hartford, Inc., for academically talented Hartford high school graduates who might not otherwise have had the chance to attend UConn.

The Foundation itself also grew in terms of capability and focus. The newly-created Advancement Services Department combined the forces of Management Information Systems with the Research Department and Gift Accounting. This new capability led the entire field with a 2006 Grand Gold Medal 'best practices' award from the Council for Advancement and Support of Education (CASE). It was one of only 13 Grand Gold Medal winners selected from more than 3,100 international entries.

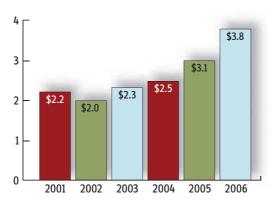
Changing trends in private giving were also recognized by the Foundation and then incorporated to meet donors' needs. A Women's Philanthropy Council was launched in October 2005 to recognize the increasingly powerful role women play in philanthropy in the 21st century. By supporting and promoting innovation and excellence at the University, its members affirm UConn's role as a public research university that is changing lives for the better through education, research and service to community.

#### Recognizing Excellence

Of course, recognizing those who have contributed so much privately also remained a high priority. On Saturday, April 22, more than 175 guests, including UConn President Philip E. Austin, attended the 10th annual 2006 Founders Society Dinner and Induction Ceremony. More than 70 couples and individuals were recognized as new members, or members who ascended into a higher giving circle during calendar year 2005, including five couples who joined the 1881 Circle for lifetime giving of more than \$1 million.

Whether through new gifts or the lasting potential of endowments under Foundation stewardship, private giving continues to be a fuel that powers the public mission of the University of Connecticut. By supporting athletics, students, faculty and programs, private donors see the importance of their gifts to research, athletics, education and public service at UConn, and – by extension – the impact that the University has on the entire state.









### Financial Performance

Favorable fundraising results coupled with strong investment returns have yielded a robust financial picture for fiscal year 2006. Although amounts decreased in some categories, the overall outlook remained positive. The pooled endowment and total assets reached record highs, continuing the steady growth over the past four years.

Surpassing the initial goal to raise \$50 million, the Foundation received \$51.5 million in cash-based gift receipts, showing a \$4.7 million, or 8 percent, decline from 2005. A total of \$43.7 million was received in new gifts and commitments, down \$12.5 million, or 22 percent, from last year.

Of the new gifts and commitments received, donors specified \$1.5\$ million for faculty, \$4.5\$ million for scholarships and \$37.7\$ million for programs. The total designated for operations was \$36.3\$ million. Another \$7.3\$ million was received for endowments. New gifts and commitments brought in \$15\$ million for athletics, \$11.4\$ million for the UConn Health Center and \$17.2\$ million for the Storrs and regional campuses.

Thirty-nine new endowments were established. The total number of endowments held by the Foundation is now 1,072.

A strong 24 percent of UConn alumni donated in 2006, ranking UConn seventh among national public universities for alumni giving, according to *U.S. News & World Report.* Alumni, who gave a total of S10.2 million, represented 23.4 percent of all donors. Corporations represented a third of the donors, and gave S13.2 million. Another S7.8 million was provided

by private foundations, which represented 17.8 percent of donors. The total number of donors was 34,006, up slightly from 2005.

The Foundation's endowment investments showed strong performance, bringing in a return of \$32 million. Investments gained 14.4 percent despite a turbulent year for financial markets with sharp increases in oil and natural gas prices, general inflation pressures, interest rate hikes and hurricanes Katrina and Rita. The portfolio exceeded its benchmarks, outpacing the policy fund and a composite of market indexes by 2.9 percent and 2.6 percent, respectively. For comparative purposes, the \$8.P 500 gained 8.6 percent, the MSCI EAFE gained 26.6 percent and the Lehman Aggregate Bond Index lost 0.8 percent.

The most significant contributions to the solid investment performance were from international equities, where investments in developed markets gained 27.5 percent and investments in emerging markets gained 34.8 percent. Gains were also realized from real estate investment trusts (21.3 percent), hedge funds (18.9 percent), domestic mid-sized company stocks (15.2 percent) and private capital (12.9 percent).

Continuing a positive trend, this is the fourth consecutive year showing a marked gain in the pooled investment portfolio, which now stands at \$281 million. That represents a \$122 million, or 76.7 percent, increase since 2002.

The Foundation's endowment total now stands at \$299 million, making a significant \$27 million, or 10 percent, increase from 2005. The Foundation is also pleased to report that there has been a 52 percent growth over the past four years.

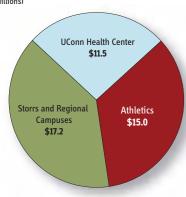
In other positive news, the endowment total is expected to jump by approximately \$1.3 million when the state implements a retroactive increase in matching funds from 25 to 50 percent for gifts to endowments made between January 1 and June 30, 2005. Endowment gifts eligible for matching funds from the state, which tallies on a calendar-year basis rather than a fiscal year, so far total \$9.5 million. As of June 30, the Foundation had raised 63 percent of the funds needed to reach the 2006 calendar year's goal of \$15 million.

Thanks to continued successful fundraising efforts and endowment growth, a record \$50.5 million was made available for various purposes. That figure represents an \$800,000, or 1.6 percent, increase over last year. The total called upon by the University increased by \$5.7 million, or 20 percent, to a record \$33.6 million. Of that, \$10.3 million was disbursed for scholarships and fellowships. The University also disbursed \$6.3 million for faculty support, \$8.8 million for programs and \$8.2 million for facilities.

Finally, the Foundation's total assets grew by \$23.4 million, or 7 percent, to reach a record \$366.4 million. Over the past four years, the total assets have increased by \$122 million, or 50 percent. With continued generous support from dedicated alumni, parents and friends, we endeavor to continue this upward trend.

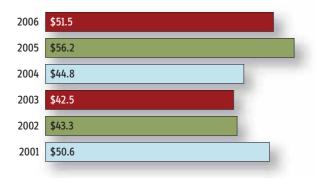
# Distribution of New Gifts and Commitments





### Cash Gifts Received

(Dollars in millions)



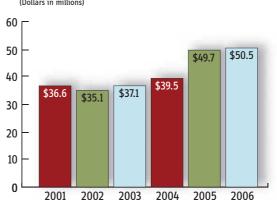
# Consolidated Statements of FINANCIAL POSITION

(Dollars in thousands)

	2006	2005
ASSETS		
Cash and cash equivalents	\$ 1,062	\$ 2,946
Cash restricted for debt service	899	900
Pledges receivable, net	30,845	37,593
Prepaid expenses and other receivables	704	468
Investments	319,580	287,509
Funds held in trust by others	6,536	6,244
Cash surrender value of life insurance	220	206
Donated property and collections	6	805
Property and equipment for operations, net	5,495	5,538
Deferred bond issuance costs, net	287	299
Research and Development Corporation	789	488
Total assets	\$ 366,423	\$ 342,996
Liabilities		
Accounts payable and accrued expenses	\$ 2,962	\$ 7,698
Trusts and annuities payable	2,573	2,445
Accrued debt service interest	188	192
Bonds payable	7,195	7,350
Research and Development Corporation	424	150
Total liabilities	\$ 13,342	\$ 17,835
Net Assets		
Unrestricted		
Research and Development Corporation	365	338
Foundation	4,841	2,998
Total unrestricted	\$ 5,206	\$ 3,336
Temporarily restricted	109,346	93,114
Permanently restricted	238,529	228,71
Total net assets	\$ 353,081	\$ 325,161
Total liabilities and net assets	\$ 366,423	\$ 342,996

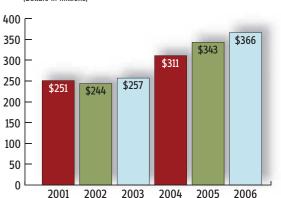
NOTE: This data is summarized from the Foundation's financial statements. Complete audited financial statements are available upon request.

# Dollars Made Available to Support University Programs (Dollars in millions)



#### **Total Asset Growth**

(Dollars in millions)



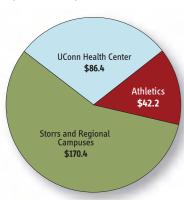
# Consolidated Statements of ACTIVITIES

		2006		2005
REVENUES, GAINS AND OTHER SUPPORT				
Contributions	\$	29,526	\$	39.004
Adjustment to endowed state match	•	-	•	(3.671)
Net total investment returns		34.154		22.577
Contractual payments from the University of Connecticut		8,348		7.184
Memberships and other income		1.159		1.072
Research and Development Corporation		50		4
Total revenues, gains and other support	\$	73,237	\$	66,170
EXPENSES				
University Support				
Student scholarships, fellowships and awards	\$	10,259	\$	7,135
Facilities construction, improvements and related expenses		8,193		6,663
Faculty and staff compensation		6,271		5,758
General program support		3,184		2,232
Fundraising, events, promotions and donor cultivation		1,959		1,956
Faculty, staff and student travel, conferences and meetings		1,792		1,562
Equipment		1,048		1,078
Research programs support		170		754
Alumni Association		230		357
Research and Development Corporation		543		444
Total University support	\$	33,649	\$	27,939
Foundation Support				
Development expenses	\$	8,501	\$	7,793
Fiduciary expenses		3,167		2,928
Total Foundation support		11,668		10,721
Total expenses	\$	45,317	\$	38,660
Change in net assets		27,920		27,510
Net assets, beginning of year		325,161		297,651
Net assets, end of year	\$	353,081	\$	325,161

NOTE: This data is summarized from the Foundation's financial statements. Complete audited financial statements are available upon request

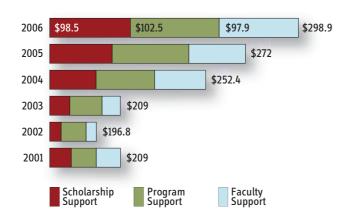
#### **Endowment Distribution**





### **Endowment Assets by Purpose**





# The FOUNDERS SOCIETY

The Founders Society, celebrating its 10th year of recognizing excellence in private giving, honors donors whose cumulative giving sets a leadership example for all other donors. All gifts to the University count toward membership in the Society, including contributions to the University of Connecticut Foundation, University Athletic Development Fund, the University of Connecticut Law School Foundation and on behalf of the UConn Health Center, The Society's members have contributed more than \$215 million in gifts and pledges, benefiting thousands of students, faculty and programs with their philanthropy.

#### NEAG CIRCLE

Named in honor of Raymond Neag '56, '01H, whose \$23 million gift in 1999 to the Neag School of Education and the UConn Health Center represents the largest single gift to the University by an individual, the Neag Circle recognizes donors who have made cumulative gifts and pledges in excess of \$5 million.

Ray '56 '01H and Carole J. Neag

#### 1881 CIRCLE

Named to mark the year of the University's founding, the 1881 Circle honors donors whose cumulative gifts and pledges total between \$1,000,000 and \$4,999,999.

Kevin A. '80 and Lorraine R. '80 Bouley Robert G. '00H and Paula Burton Arnold L. and Sandra M. Chase Cheryl A. Chase '78 and Stuart D. Bear David T. and Rhoda L. Chase Marianne Hartly\* and Irving Cohen William R. '55 and Doris M. Davis James L. Draper, Jr. '41 Barbara L. Flynn Keith R. '80 and Pamela A. Fox Edith D. Gampel Gary S. '66 and Judith A.\* Gladstein Yuji Hayashi

Charles E. Heilig, Jr. '94H Fred M. Hollfelder

Nafe E. Katter

John W. Kluge '03H

Michael C. and Vicki M. '96 Konover

Philip H. '66 and Christine '67 Lodewick

Philip J. '65 '67 and Joyce E. Mahoney

Denis J. '76 '77 and Britta R. '76 Nayden

Rodney R. and Janice B. Reynolds

David M '78 and Linda H Roth

John W. and Valerie A. Rowe

Raymond R. '98H and Beverly '98H Sackler Harold S. Schwenk, Jr. and

Paula H. Schwenk '79

Mark R. '65 and Rosalind E. Shenkman Richard Treibick

Wilda E. Van Dusen\*

George W. Whelen IV and Kathleen O. Whelen

Thomas J. '56 and Bette W. Wolff

Judith M. '77 and Henry M. Zachs

# Paul S. Polo. Sr.

John W. '71 and Dyanne M. '73 Rafal

Carl W. and Marian E. Rettenmeyer Frank D. Rich, Jr. '99H and Jean A. Rich

Theodore R. Rosenberg '55 and Mary F. McVay

William T. Sherman '58 and Ms. Sam Smith

Marc and Sara Simont

Lucia M. Spakowski

#### CHARTER OAK CIRCLE

Named in honor of the famed Charter Oak, symbol of Connecticut's fight for independence during the Revolutionary War, the Charter Oak Circle honors donors whose cumulative gifts and pledges total between \$500,000 and \$999,999.

Arthur O. Bayer '50

William R. and Marjorie J. '99 Berkley

Anthony T. Bianca, Sr.

Robert C. Burrill, Jr. '74 and

Barbara A Burrill '74

Charles J. Burstone

Carol Carrick

Richard W. '78 '90 and Ellen S. Cartun

Robert '53 and Jane Cizik

Tomie dePaola '99

J. Robert\* and Mary Jane Donnelly

Herbert L. '61 and Marcia B. Dunn

Donald F. Flynn '50

Mark E. Freitas '81

Helen D. Fyler

Erwin Jausz

David A. Gang '81 and Charmaine Dittmar

Evelyn S. Gilman '47

Harry J. '82H and Helen B. Gray

John Y. '87 and Diane M. Kim

Albert J. '53 '56 and Alida Kleban

Roland G. and Marilyn P. Labonte

Scott A. and Sally Labonte

Eric A. '80 and Penelope C. Marziali

# **CHARLES AND AUGUSTUS STORRS CIRCLE**

Named in honor of the Storrs brothers, whose gift of land and money in 1881 enabled Connecticut to establish the Storrs Agricultural School, the Charles and Augustus Storrs Circle honors donors whose cumulative gifts and pledges total between \$250,000 and \$499,999.

Charles Dean Bakes

Katharine Derr Barney\*

David I. '61 and Trisha Barton Lester J. and Devra N. Baum

Alan R. Bennett '69

Cameron '55 and Dorothy Carlyle

John P. and Susan Cary

Ruth W. Clark

Hill W. and Judy Colbert

Richard A. '65 and Patricia Demsey

Peter S. '64 and Hinda M. Drotch

Audrey E. Foster

Geraldine U. Foster

Roger A. '65 and Marjory C. '66 Gelfenbien

Dorothy C. Goodwin '57 '88H

Marylin Hafner

Jan Y. Hall

John M. '53 and Betty Y. Herr

John C. and Carol B. Hunt

Byiung Jun and Chunghi Park

Samuel S. '61 and Virginia S. Kalmanowitz

Chester W. Kitchings, Jr.

Charles R. Klewin '71 '74

Simon '96H and Doris M. '50 Konover

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<sup>\*</sup>Deceased

Karl J. and Tina K. Krapek Robert J. and Nihla Lapidus Henry B. Low John P. and Judith A. Maloney Denis M. '64 '65 and Linda H. McCarthy Michael E. '81 '94 and Barbara A. McPhee John H. and Anita Miller David C. Monaco William M. '57 and Diane E. Morlock Victoria C. Nozko Robert G. Oneglia Russell C. Ordway\* Raymond L. '52 and Marilyn Peracchio Robert N. Rich Anthony Rizza '87 Theodore P. and Ruth Rossi Robert T and Renee P Samuels Helene D. Scoville Peter M. '57 and Judy Shanley Pat L. '51 and Josephine A. '50 '55 Sinatro Benjamin J. and Helene R. Sisti Robert S. Smith '70 John A. and Florence M. Solomon

#### CONSTITUTION CIRCLE

J. Roger and Joyce A. Tamer

Zoltan B. and Joy Tuba

Named in honor of Connecticut, the Constitution State, the Constitution Circle honors donors whose cumulative gifts and pledges total between \$100.000 and \$249.999.

Ann S Abbott Elias F. '74 and Annette W. Aburdene David M. and Sandra Adams Jan A. Alpert '78 and Cal Beltman Samuel '50 and Nancy Jo Altschuler Carmen J. '68 and Marlene T. '68 Ammirato K. Tucker and Karen K. '66 Andersen Frank '77 and Rebecca Antonacci Nancy A. Antonez Timothy J. '79 and Suzanne '80 '84 Arborio Dorothy Archibald Geno and Kathryn Auriemma Karen H. and Carl S. '63 Balko Paul F and Barbara E Barbour Arline J. Baum Michael D. and Deidra L. Baum George R. and Diane Beckerman Ramona T. Beckius

\*Deceased

Scott F. '80 and Sandy Beecher Andy F. Bessette '75 and Cheryl Noel-Bessette '87 John M. Biancamano, Jr. '70 '88 and Shelley A. Biancamano Louis A. '67 and Claudia Boggio Kenneth L. and Judith W. Boudreau Bert Boyson Donald G. Brenner '75 Jeffrey L. '78 and A. Elaine '78 '80 Brickman Louis and Gertrude Y. Brown Ronald L. and Carin S. Buckman Peter S. and Sally R. '90 Burgess Carroll N. Burke '55 '59 '65 Christian S. and Jessica L. Burrill Ronald J. '56 and Rosemary Bushwell Peggy A. Busse '94 and Deborah E. Lynch George G. '65 '73 and Marilyn F. Butenkoff Christopher J. Buzaid '86 James A. and Patricia M. Calhoun Michael A. and Joan F. '74 Cariglia Frank V. Carollo '53 Robert A. '69 and Susan Caserta Samuel and Ann D. Charters Joseph M. Civetta and Judith A. Hudson-Civetta Walter H. and Margaret D. Clemens

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Rose A. Crispino Eugene A. Crocco, Jr. '77 and Ingrid M. Crocco Robert T. Crovo '74H '74

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Sandra B. Dobrowolsky '81 and

Stephen G. Perlman

Delphis A. Dufresne II and Stephanie P. Dufresne

Marjorie R. Eckels '42 Bruce and Judith Eissner

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Sanna Borge Feirstein

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Paul J. and Linda Havnes

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Ross Hollander

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Allan '58 '61 and Lois '59 Hutensky

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Michael C. '75 '78 and Linda C. Jainchill

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Neil A. Alan and Franciene A. Lehmann '89

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Donvell Marshall

Lewis C. '64 '67 and Sally '66 Maruzo

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Lorraine R. Mongrain

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Wendy R. '74 and H. Thomas Watkins

Robin A. '77 '81 '92 and Lynne P. '77 '81 Weeks Bruce A. Weitzman

Brian D. and Paula Whitney

Gary T. and Eileen Wolff Gregory S. '75 and Elizabeth M. Wolff

Bob L. Wright and Charlene M. Basso-Wright Laura Z. and Mark C. Yellin

Cornelius J. York '50 Peter and Ferne Youmans

J. Michael Zabkar, Jr. Eric M. and Jessica P. Zachs Arnold S '57 and Ruth L. Zackin

Bernard I Zahren

Edward A. '50 '52 and Rosalie Zuraw

\*Deceased

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William H. Trachsel '71 Senior Vice President and General Counsel United Technologies Corporation Hartford, CT

# New Board Members as of October 2006

#### David Barton '61



David Barton has held senior management positions at OSI Specialties Inc., International Specialty Products, GAF Chemicals Incorporated, Reichhold Chemicals Inc. and Loctite Corporation. Mr. Barton received a B.S. in Marketing in 1961 and served as a U.S. Navy officer from 1962 to 1965. He was inducted into the School of Business Hall of Fame in 1996. Mr. Barton

is an Emeritus Director and former Chair of the Foundation's Board of Directors. He and his wife, Trisha, are Storrs Circle lifetime members of the Founders Society.

#### Andy F. Bessette '75



Andy Bessette is the Executive Vice President and Chief Administrative Officer at St. Paul Travelers. Before the merger of The St. Paul and Travelers, Mr. Bessette was Senior Vice President and Chief Administrative Officer at The St. Paul. Prior to joining The St. Paul, he was Vice President of Corporate Real Estate and Services for Travelers, and had served in a

number of additional management positions since joining the company in 1980. He received a B.A. from the College of Liberal Arts & Sciences in 1975. He and his wife, Cheryl, are Constitution Circle lifetime members of the Founders Society.

#### Anthony Crosby '85



Anthony Crosby is an attorney in private practice, the Law Office of Anthony Crosby, LLC in Southington, representing commercial real estate companies and providing other legal services. Previously, he served as an attorney at Konover & Associates, Inc., representing one of the largest shopping center developers in the New England region. Mr. Crosby received a J.D. in 1985 from the UConn School of Law.

#### Keith R. Fox '80



Keith Fox was the Founder and Chief Executive Officer of Brandsoft, Inc., an enterprise software and services company. Prior to this, he was Vice President of Worldwide Corporate Marketing at Cisco Systems, Inc. During 15 years at Apple, he held a variety of sales, marketing and general management positions. He received a B.S. in Marketing in 1980 and was

inducted into the School of Business Hall of Fame in 2001. He and his wife, Pamela, are 1881 Circle lifetime members of the Founders Society.

#### Coleman B. Levy '61, '62, '66



Coleman Levy is a Founder and Senior Principal of Levy & Droney, P.C., a law firm with 35 attorneys. Mr. Levy's practice areas include business, real estate and finance. Additionally, he is the Chairman and Co-Owner of the New Britain Rock Cats minor league baseball team. Mr. Levy received a B.A. and an M.A. in Psychology in 1961 and 1962, respectively. He also

received a J.D. in 1966. He and his wife, Judith, are Constitution Circle lifetime members of the Founders Society.

#### David P. Marks '69, '71



David Marks is Chief Investment Officer for both CUNA Mutual Group in Madison, Wisconsin, and the CUNA Mutual Life Insurance Company in Waverly, Iowa. He also serves as the President and Chief Investment Officer of MEMBERS Capital Advisors. Mr. Marks is a former President of the Alumni Association and an Emeritus Director of the

Foundation Board. He is a member of the UConn Health Center's Board of Directors and has received degrees from the School of Business and the College of Liberal Arts and Sciences. He and his wife, Ann, are Constitution Circle lifetime members of the Founders Society.

#### William "Bill" C. Stone



Bill Stone is the Founder and Chief Executive Officer of SS&C Technologies, an enterprise applications software company. Mr. Stone is a founding partner of UConn School of Business Financial Accelerator Project, a real-world laboratory where students and business partners work together to find solutions to market challenges with real market data. Through

SS&C, Mr. Stone made a significant gift to the Financial Accelerator Project, which was named the SS&C Financial Accelerator in recognition of this support in October 2004.

#### Elease E. Wright '76



Elease Wright is the Senior Vice President of Human Resources at Aetna, Inc. Since joining the company in 1982, she has held numerous positions, including Head of Human Resource Re-engineering Implementation and Head of Human Resource Finance and Administration. She has also served in senior positions in the Construction. Education.

Pension and Employee Relations departments. She received a B.S. in Rehabilitation Services in 1976. Mrs. Wright is currently a member of the School of Business Board of Advisors

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